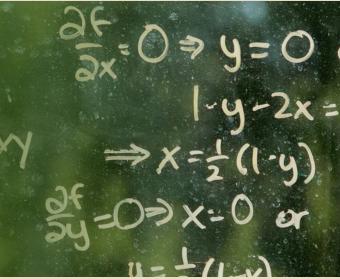


Conceptual Innovations and Major Transitions

Scott Ortman
CU-Boulder







A remarkable transformation



Pleistocene (12kya)

- Hunter-gatherer bands
- Most are kith and kin
- Generalized reciprocity
- Kin-based production
- Consensus, influence

Today

- Nation-states
- Anonymous strangers
- Economic exchange
- Firms, specialization
- Bureaucracy, laws

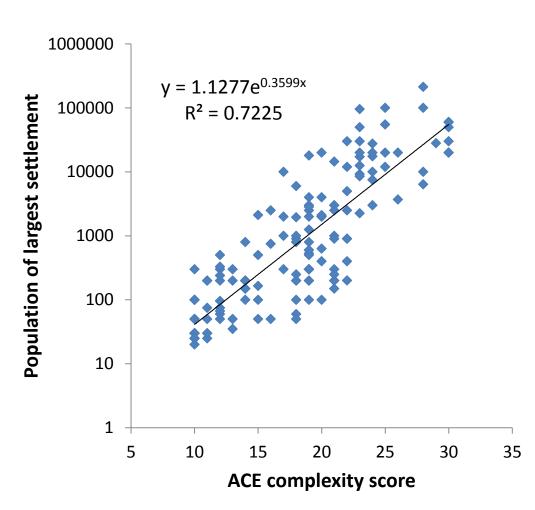
How to measure this process?

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Population of the Largest Settlement



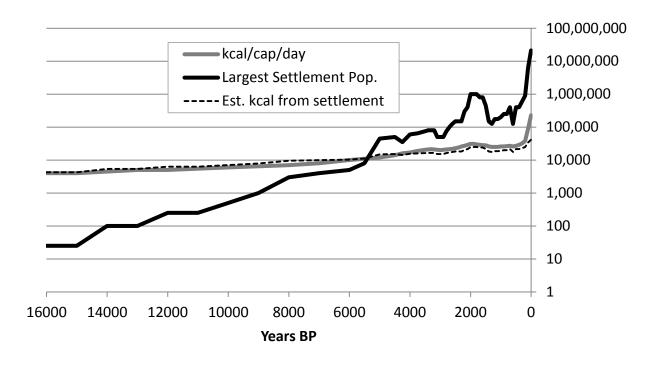
- Good proxy for overall complexity (Naroll, Chick)
- Relationship to division of labor, Zipf's Law
- Archaeologists pay attention to big sites



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Scale and technology (energy capture)

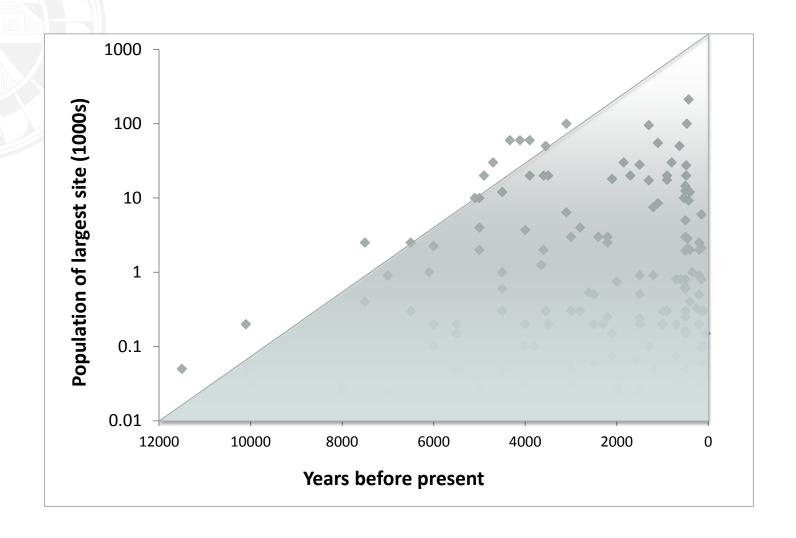




The history of energy capture and social organization in Western civilization, 16,000 BP to the present. The dashed line represents $y_t = y_0 N_t^{1/6}$, where y_t is an estimate of energy capture rate per capita at time t, y_0 is the minimal energy requirement of an individual (2,500 kcal/day), and N_t is the population of the largest settlement at time t.

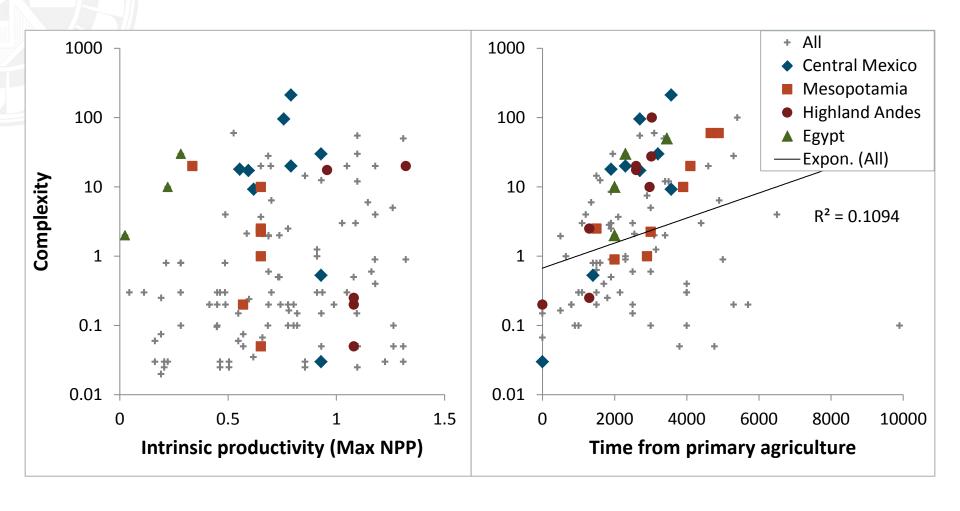
The expanding envelope of complexity





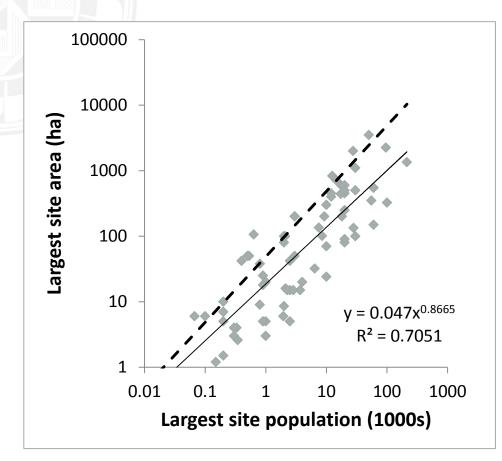
Not driven by environment or a specific innovation

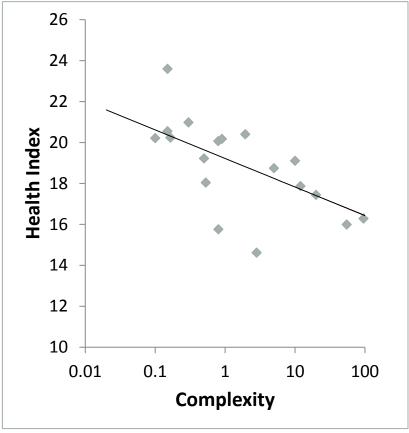




Group vs. individual benefits

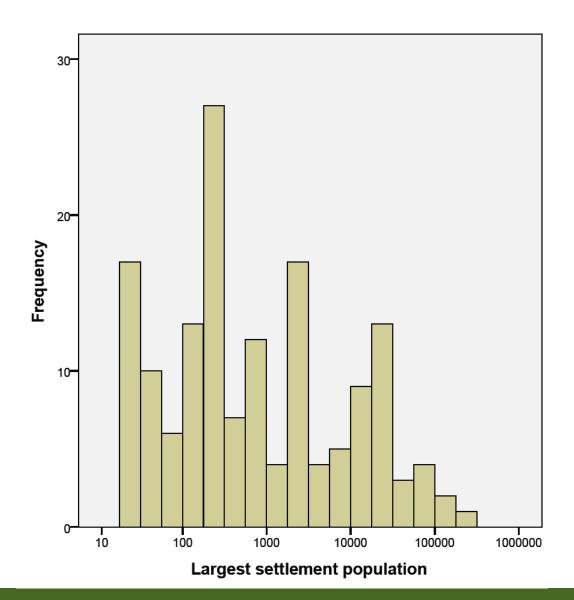






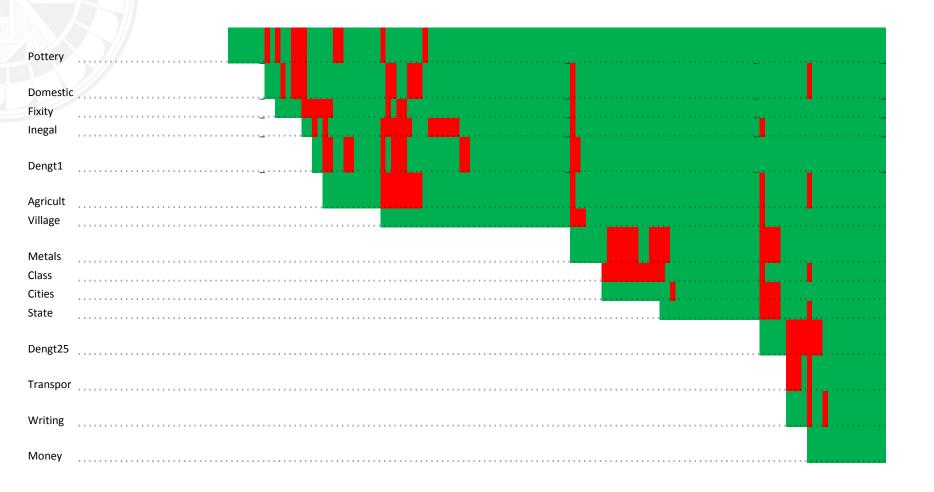
An uneven process





A cumulative process





The Nature of Social Complexity

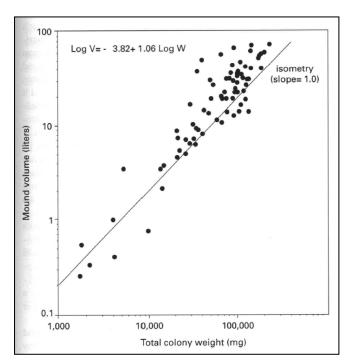


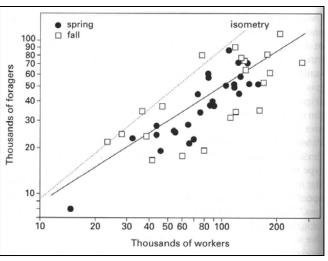
- Economies and returns to scale are intrinsic.
- They emerge from coordinated behavior (interaction and organization).
- Major transitions in social complexity involve rapid changes in the scale of coordination.
- Human societies involve the interplay of group and individual benefits (multi-level selection, collective action problems).
- Culture promotes social coordination, despite individual costs . . . BUT HOW EXACTLY?

Think about ants . . .



- Colonies illustrate economies of scale
- chemical signals (trails and antennae) induce hormonal changes that influence individual behavior
- workers are all siblings
- Genotypes that promote coordinated behavior spread despite individual costs







Patterns in early civilizations



- Many economic structures
- Two forms of political organization
 - city-states—farmers and elites in urban centers, market exchange
 - territorial states—urban elite, rural farmers, redistribution
- One set of religious ideas!
 - Humans : Natural forces :: Commoners : Rulers
 - Anthropomorphized forces of nature require material sacrifices to maintain the natural order
 - Elites require surpluses and labor to maintain the social order

The Social Psychology of "Religion"



- Social surveillance promotes cooperation
 - Supernatural monitoring has the same effect
 - Even among anonymous strangers
- Ideas influence in the moment
 - "Sunday effect"; priming studies (civic concepts also)
 - Religious situations matter more than religious persons
- Hell is stronger than heaven
 - Punishment a stronger deterrent than reward
- Credible sincere displays influence observers
- Emotion, intuition and prediction trump reason
- Adherence inspires trust in strangers
- Government does the same stuff (at greater cost)

Decomposing "Religion"



- Discourses—the actual ideas and their effects on individuals (more than Big Gods)
- Practices—group ritual, material symbols, displays of belief
- Communities—groups that share practices and discourses
- Institutions—people who regulate communities, practices and discourses

The Framework

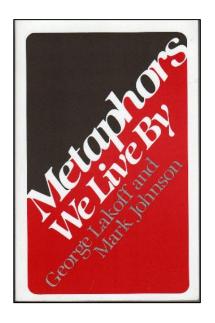


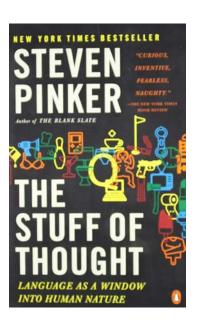
- Human nature is a mix of selfish and groupish (moral) instincts
 - care, fairness, loyalty, authority, sanctity
 - These are innately applied to kith and kin
 - Hamilton's rule applies at this scale
- 2. Thinking occurs through two processes
 - Fast—intuitive elephant
 - Slow—rational tail

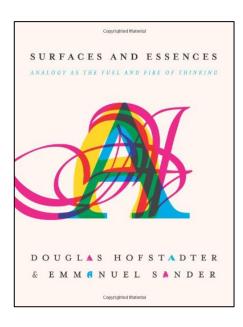
3. Add figurative thought



The cultural genotype is a network of concepts and the concepts are metaphors: use of a concrete source domain to conceptualize and reason about an abstract target domain







4. Metaphors are chemical!



- Primary emotions: automatic body-state responses.
- Secondary emotions: images of body states associated with primary emotions.
- Secondary emotions are an element of overall bodily experience.
- Intuitive choice based on emotional correlates of bodily experience.
- Experiences, including secondary emotions, become source domains for metaphors.

5. Metaphors and social coordination



- Metaphors encourage the projection of all source domain imagery onto the target domain.
- Through somatic marking, metaphors encourage behavior consistent with emotional correlates of the source.
- Coordinated behavior emerges from individuals behaving in terms of the emotional correlates of social metaphors.
- Major transitions follow from conceptual innovations that influence this process.

Relationship to foundational thinkers (WMD²)



- More Weber individual behavior is inflected by the specific ideas of culture
- Less Marx all consciousness is "false" (costs and benefits vary with social scale, time scale, and values)
- More Durkheim intuition, emotion, believing and belonging matter more than reason
- More Darwin groupishness is an evolved trait

What about Hobbes?



Collective Action Theory

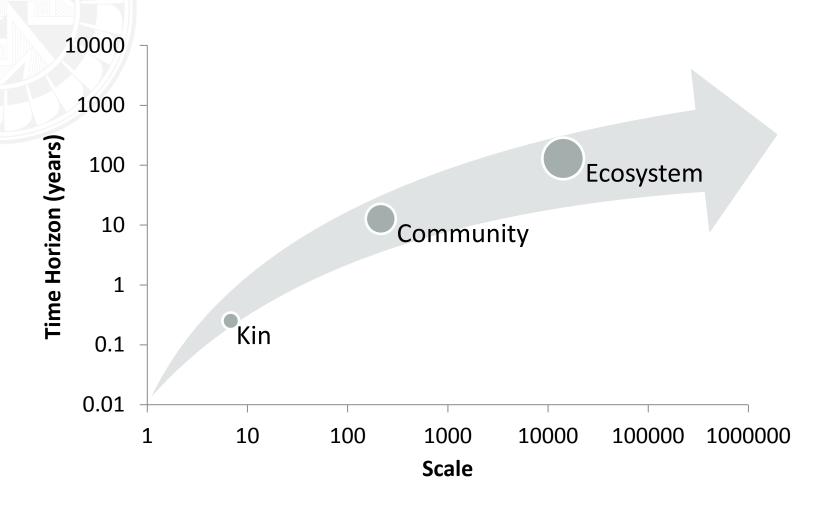
- Rationality and self-interest work against cooperation due to free-riding
- People have goals that can include others' welfare
- Institutions provide services in exchange for resources
- Institutions promote trust and fairness, punish defectors

Conceptual Innovation Theory

- People are primarily emotional, intuitive beings that rationalize afterward
- Such goals are grounded in metaphors for large groups
- Moral commitment trumps rational calculation
- Social metaphors do this at lower cost—the first solution to governance

Evolution in human decision-making





Questions/Issues



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- How to see this process?
- Is there a strong correlation?
- Is it really causal?
- When are people intuitive vs. rational?
- How strong is the influence?
- Specific semantic content? (FSM)
- Are there regularities in source domains?
- Is culture always needed?
- How to distinguish aggregate effects from other models?

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