



The Science of Cities as Complex Systems II

Luís M. A. Bettencourt
Santa Fe Institute

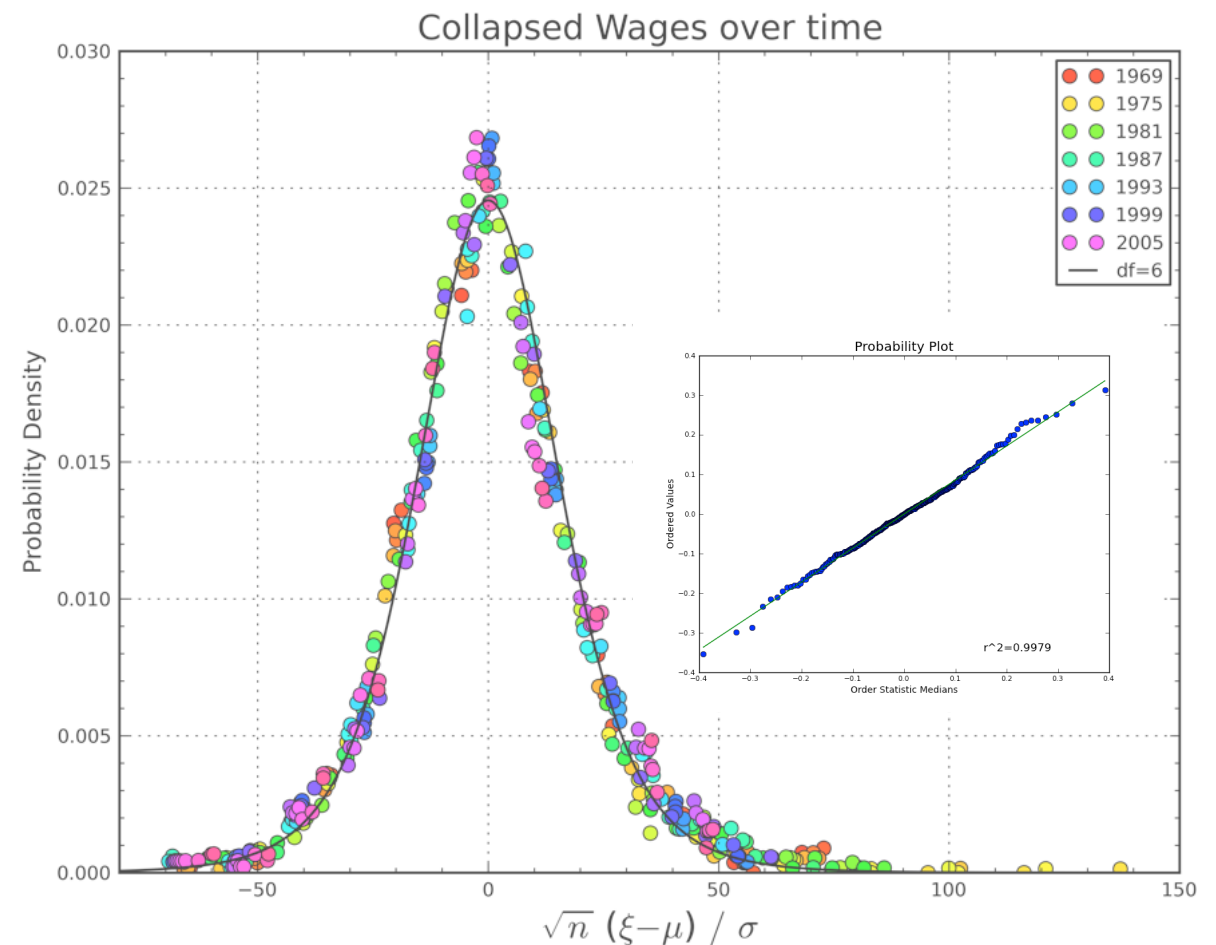
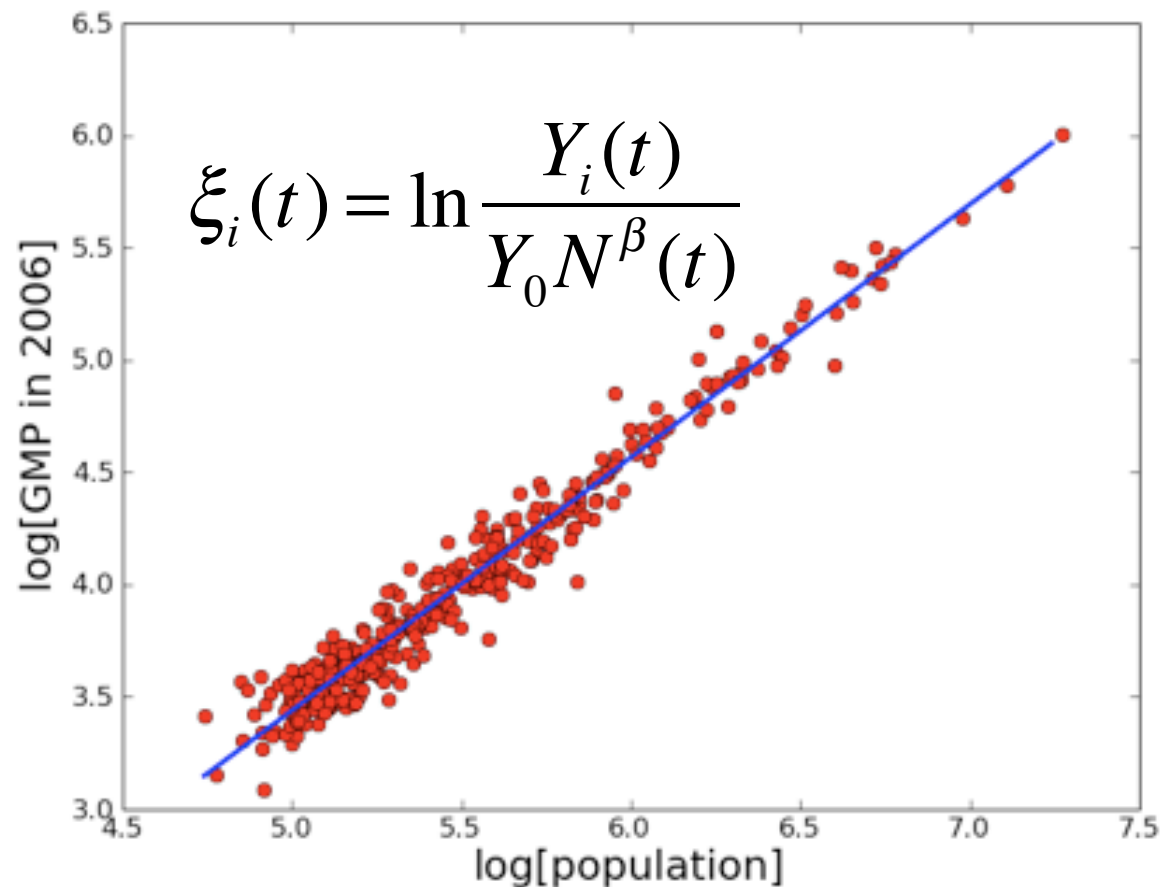


bettencourt@santafe.edu

[@BettencourtLuis](https://twitter.com/BettencourtLuis)

Deviations from Scaling

Scale Adjusted Metropolitan Indicators: SAMIs



What is the structure of each city's deviation?

What is Its local flavor ...?

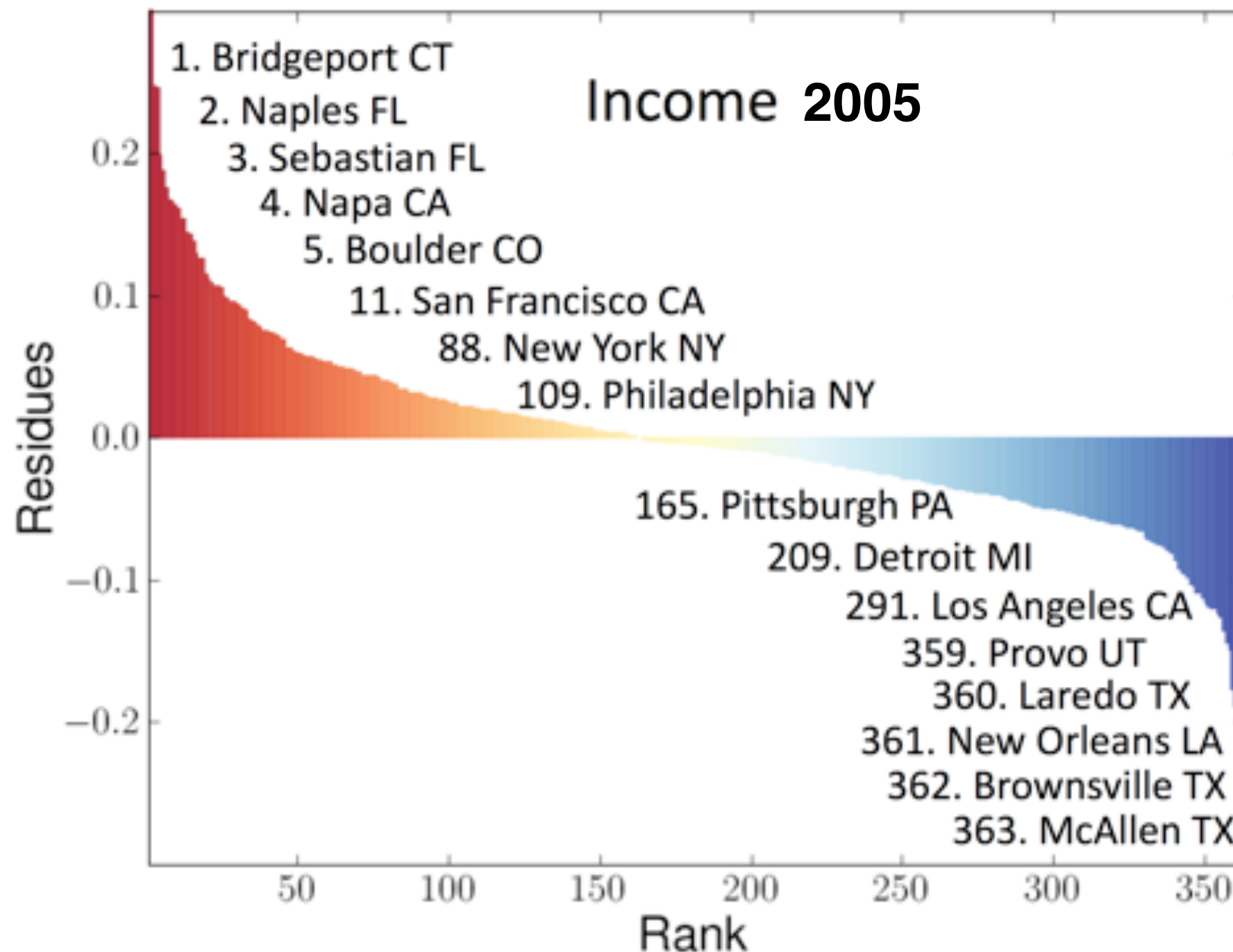
Urban Scaling and its Deviations,
Bettencourt, Lobo, Strumsky, West 2010

The differences **between** cities

how to measure the effects of **history** and **accident**?
how to build “performance” indices?

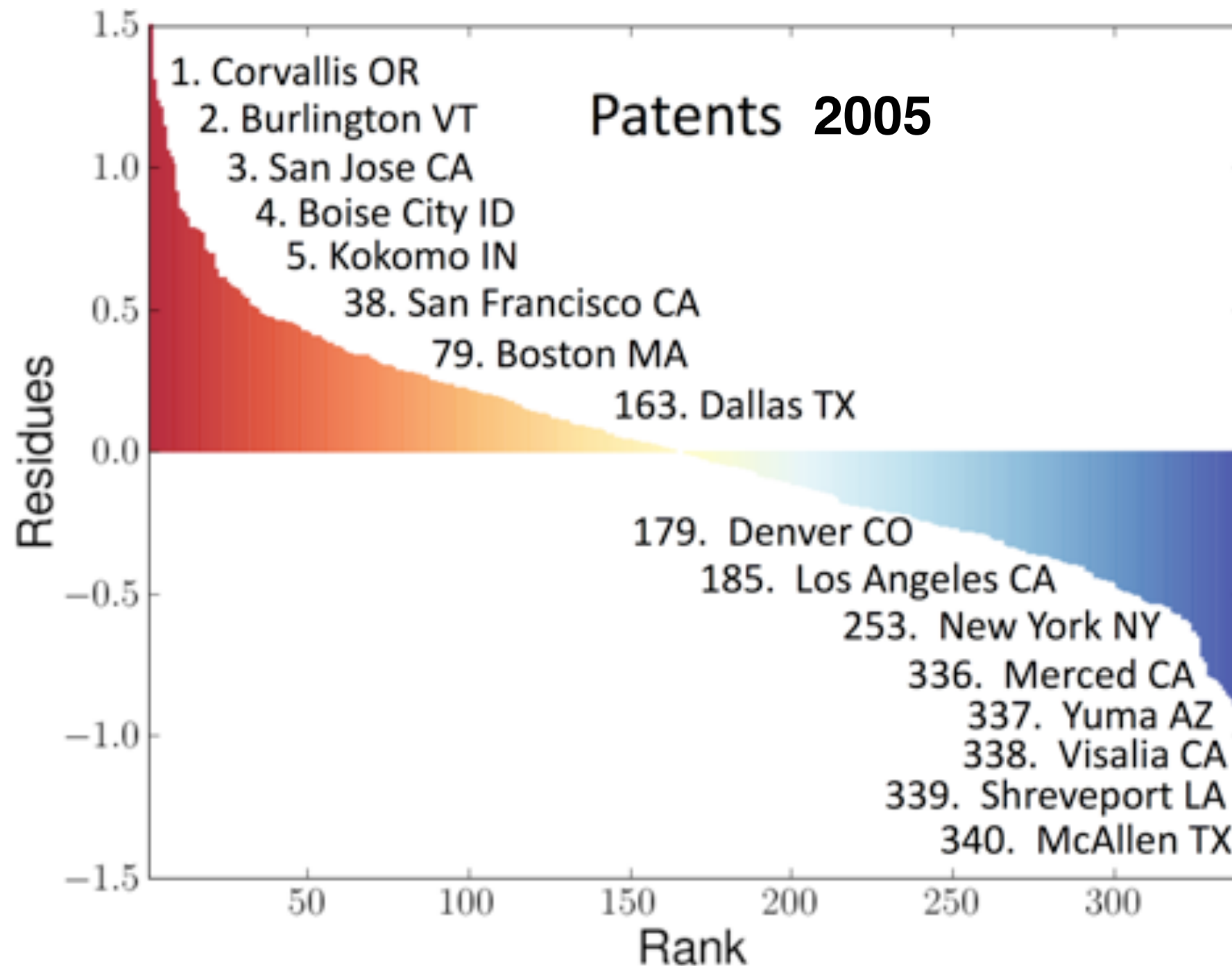
Ranking Cities

independently of their population size



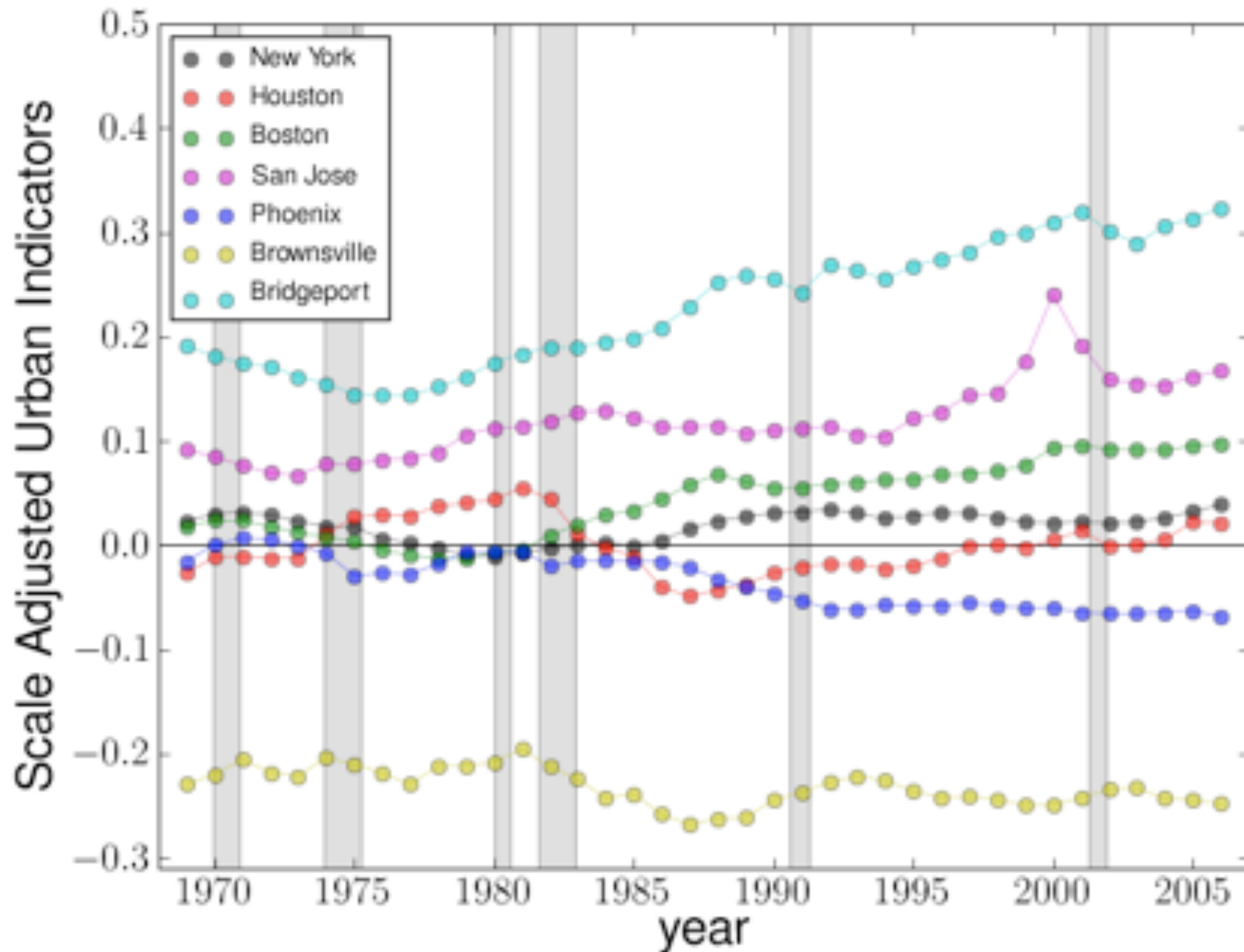
Ranking Cities

independently of their population size



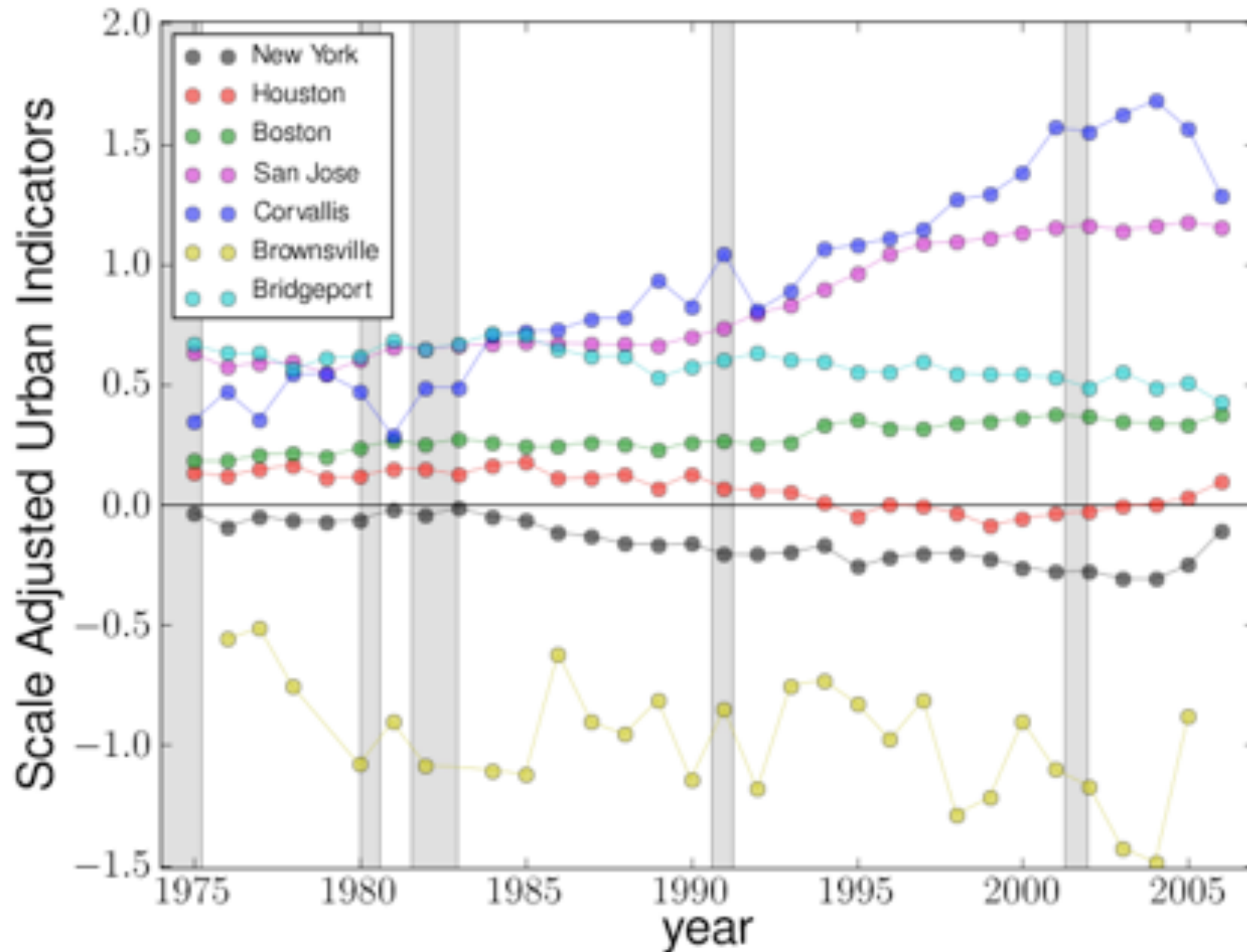
Temporal persistence

personal income

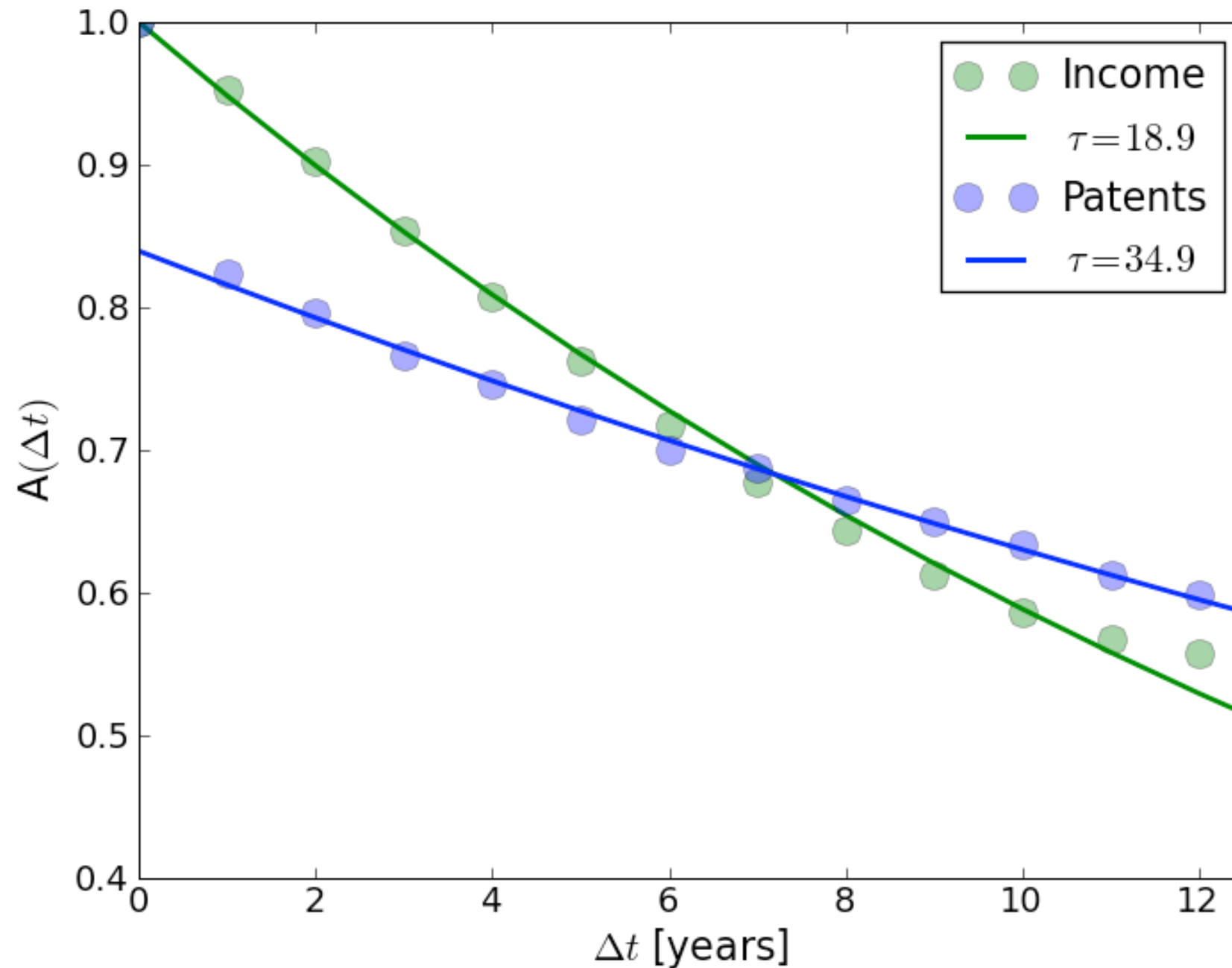


Temporal persistence

patents



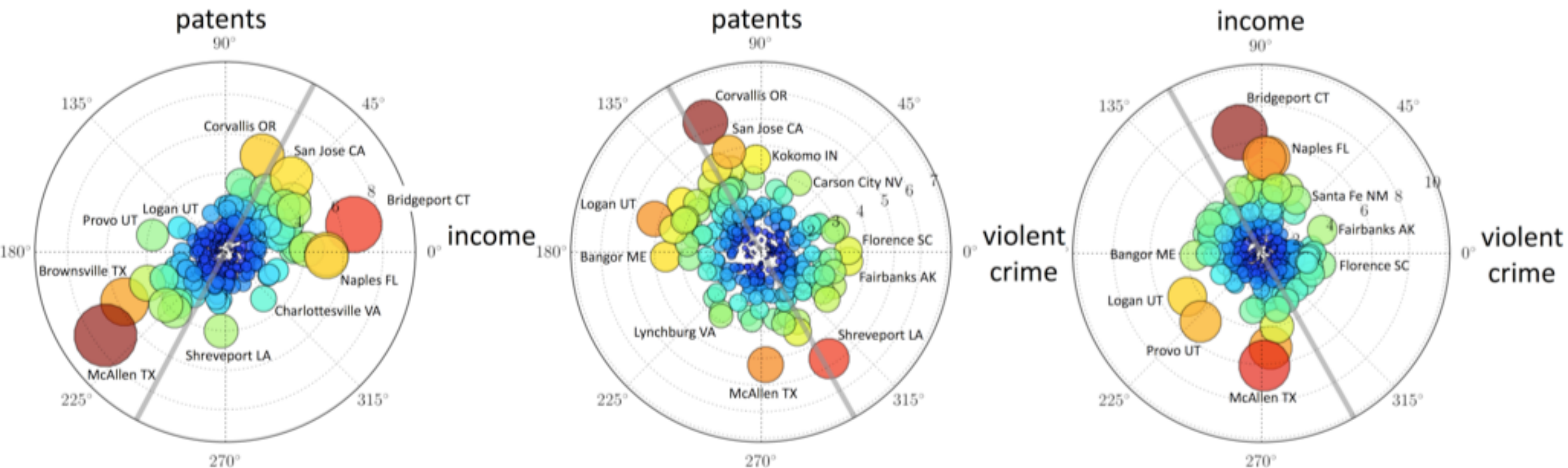
Temporal persistence of scaling deviations



Persistence times are in the order of a **few decades** !

Beyond population size

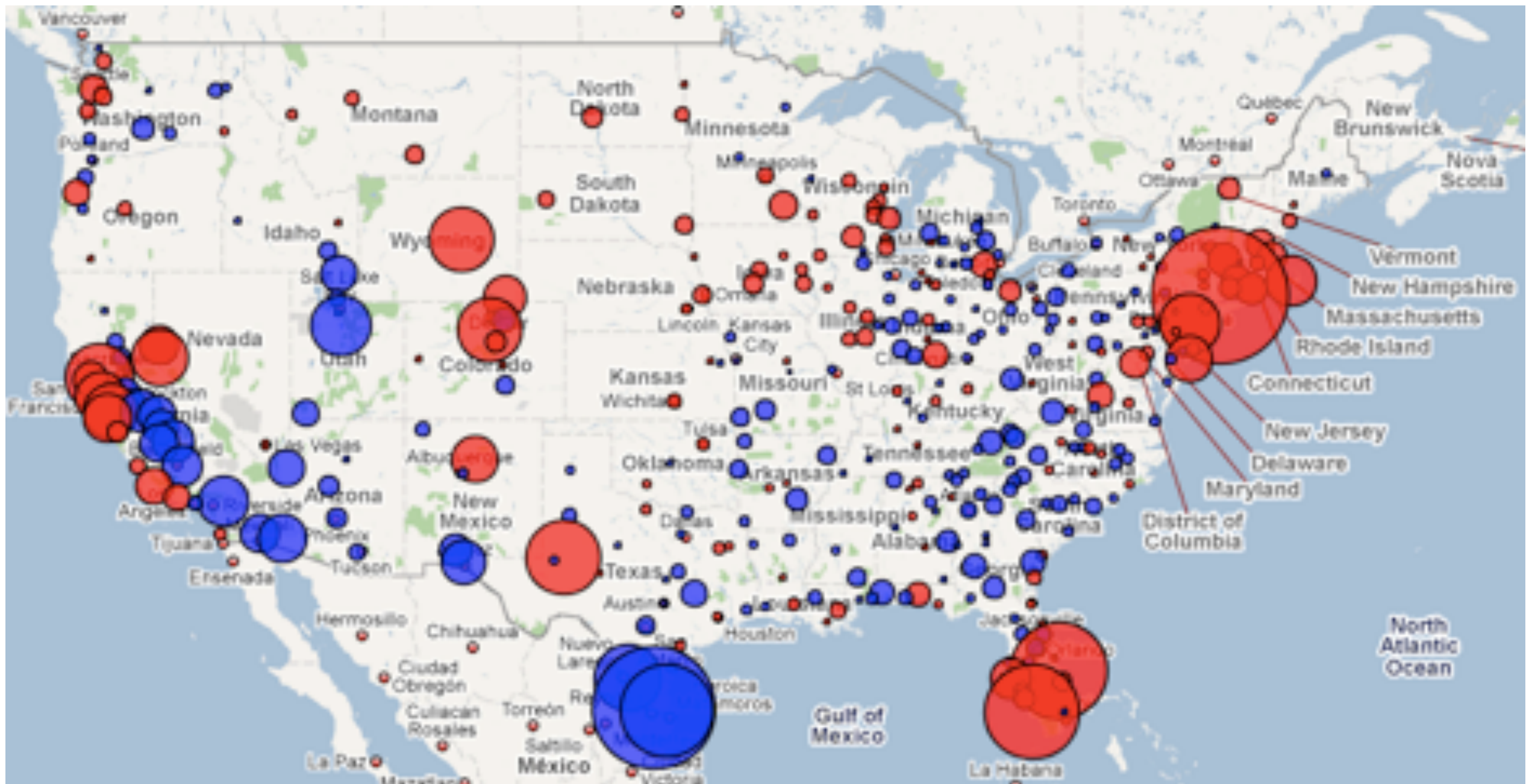
are there correlations between



Population size accounts for most of the (co)-variation in these quantities. Cross correlations explain only 5-15% of the variation.

spatial structure

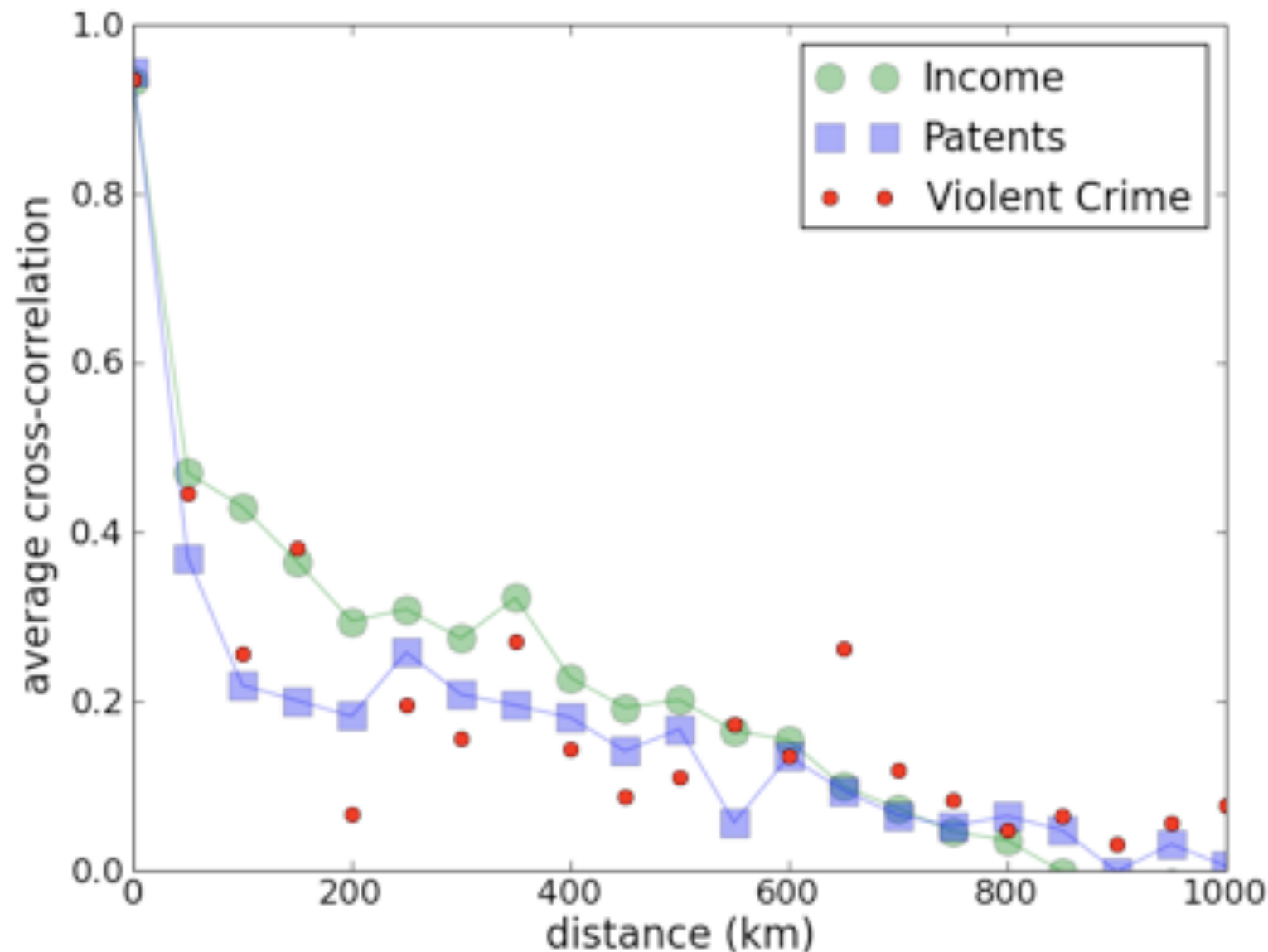
personal income



http://santafe.edu/urban_observatory/

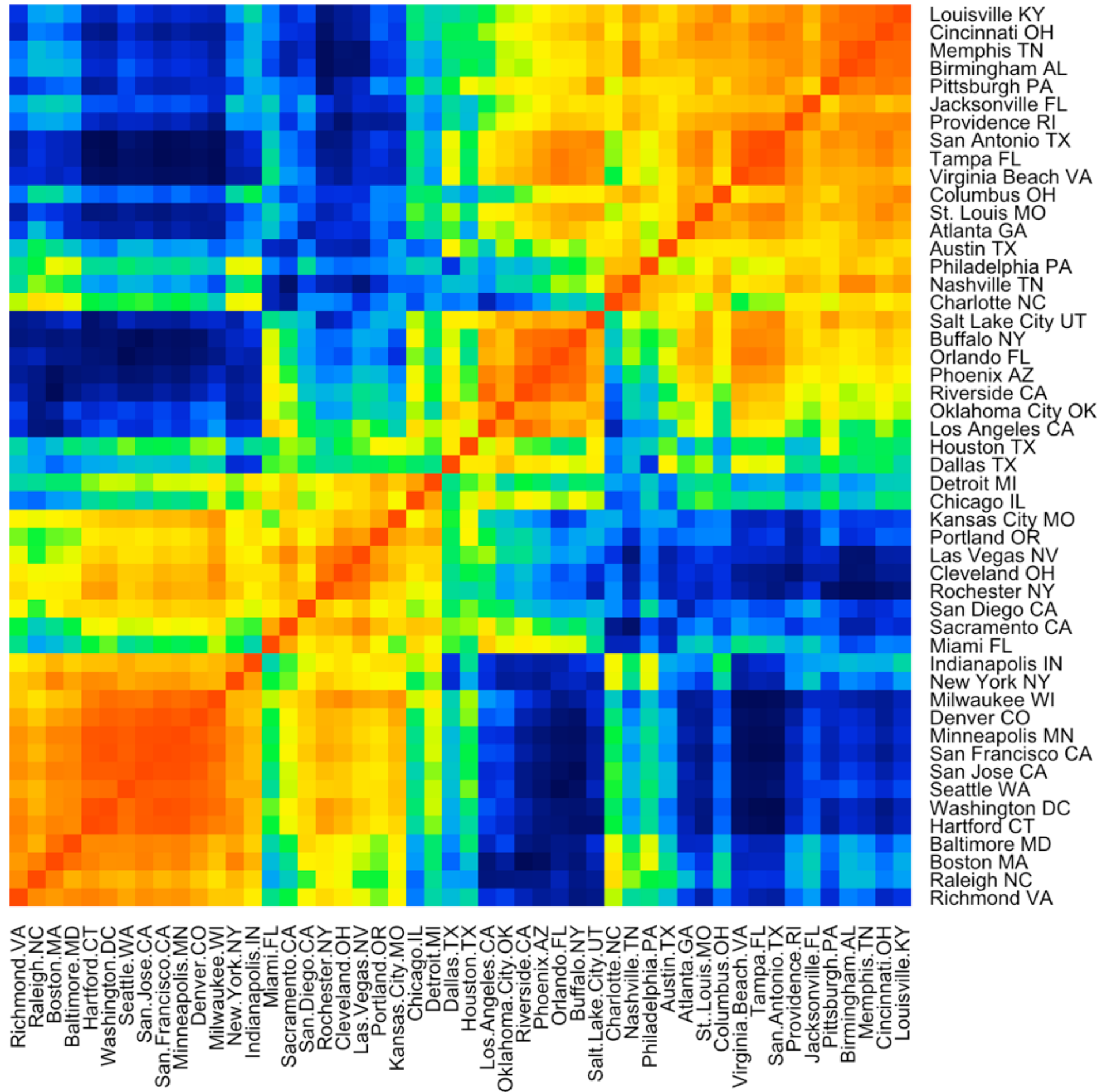
spatial structure

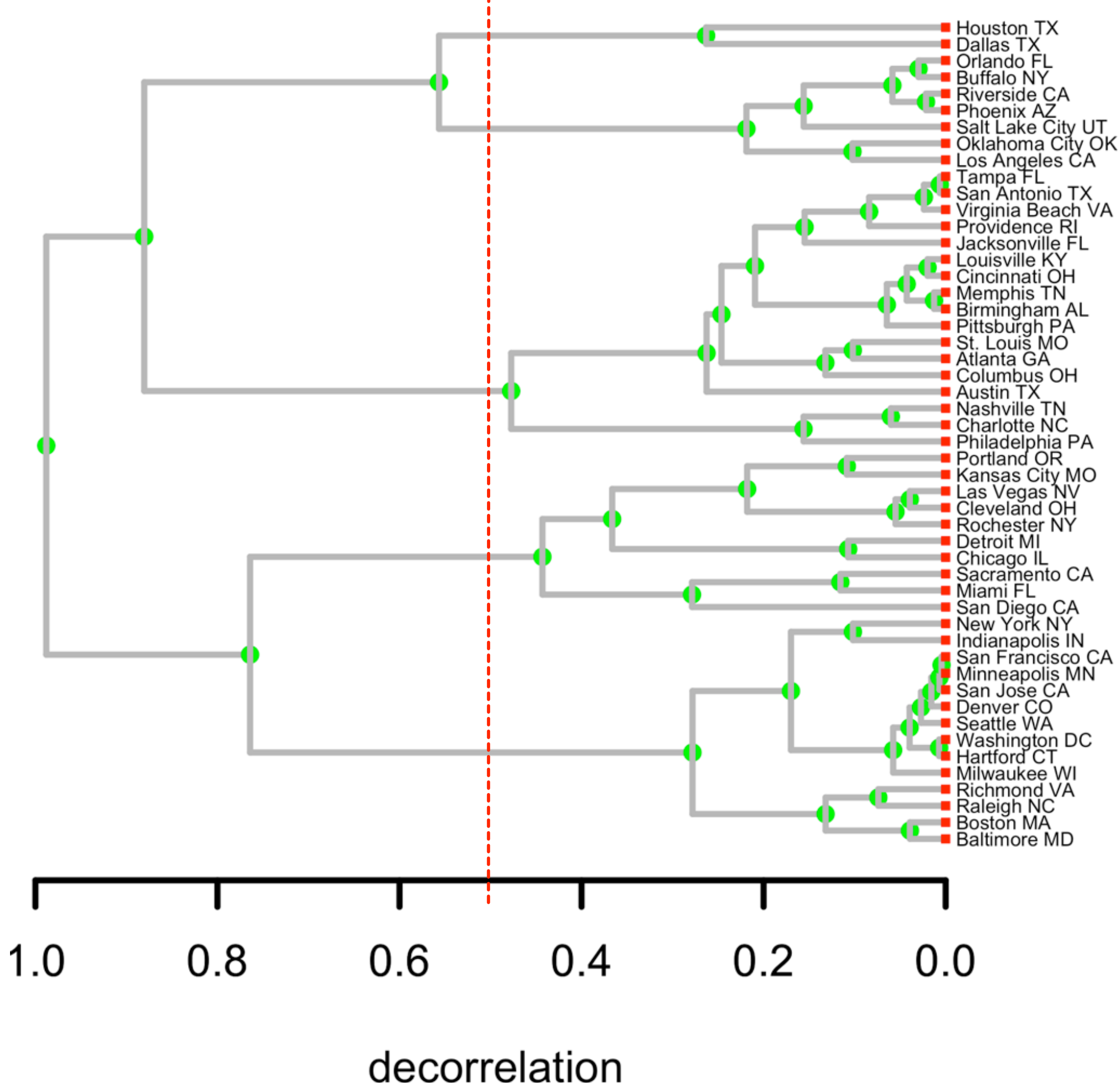
the death of distance



spatial correlations are not significant beyond 200 km

Heat maps from Urban History Clustering Analysis





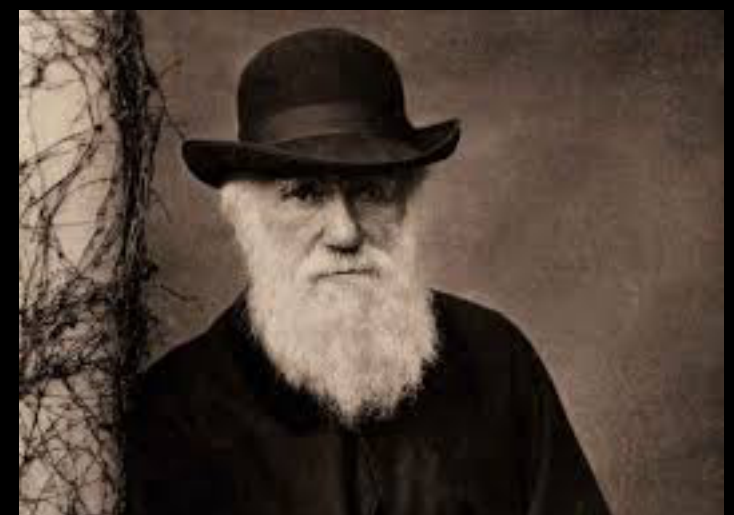
The differences **within** cities
neighborhoods and individual heterogeneity

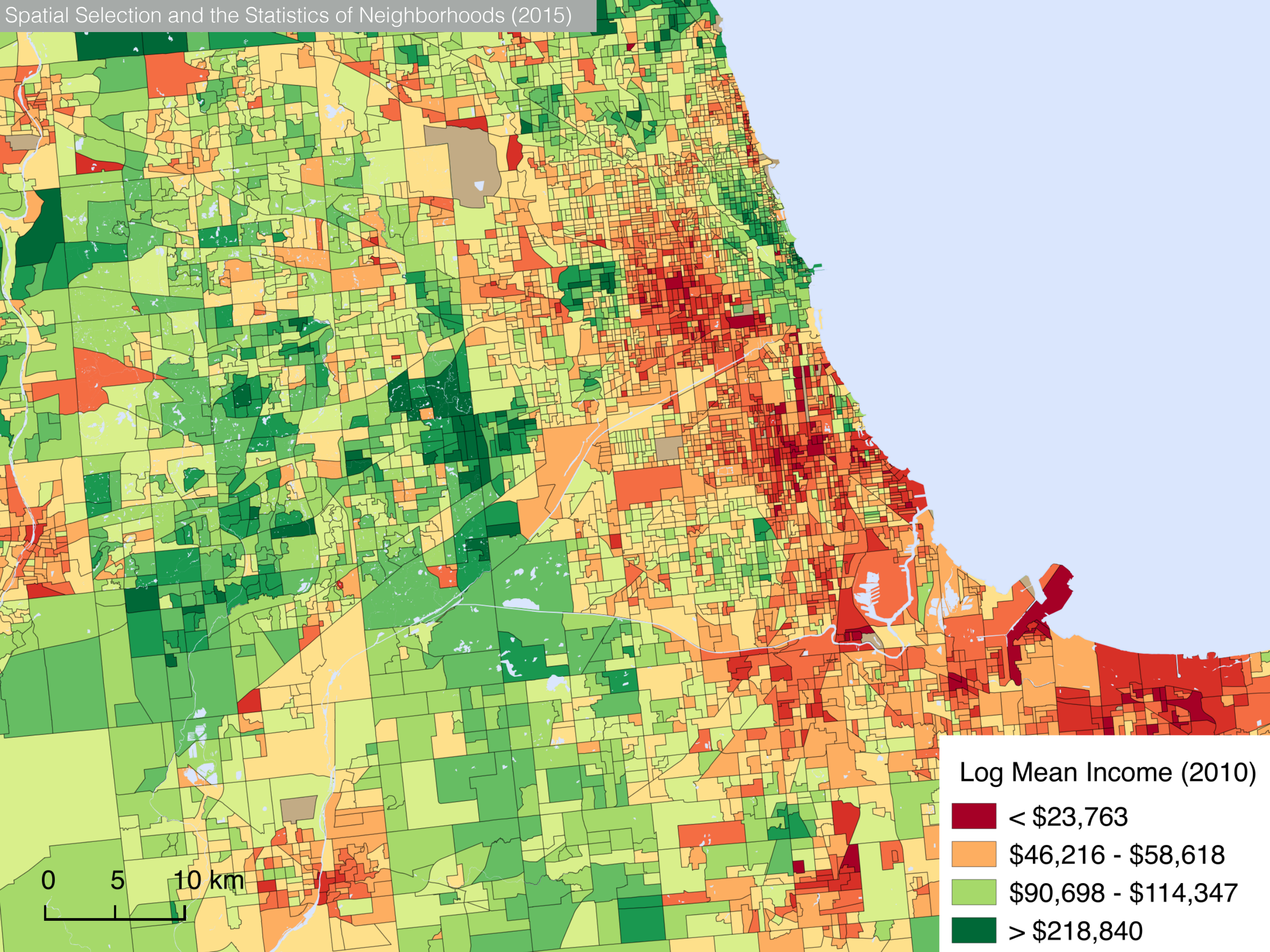


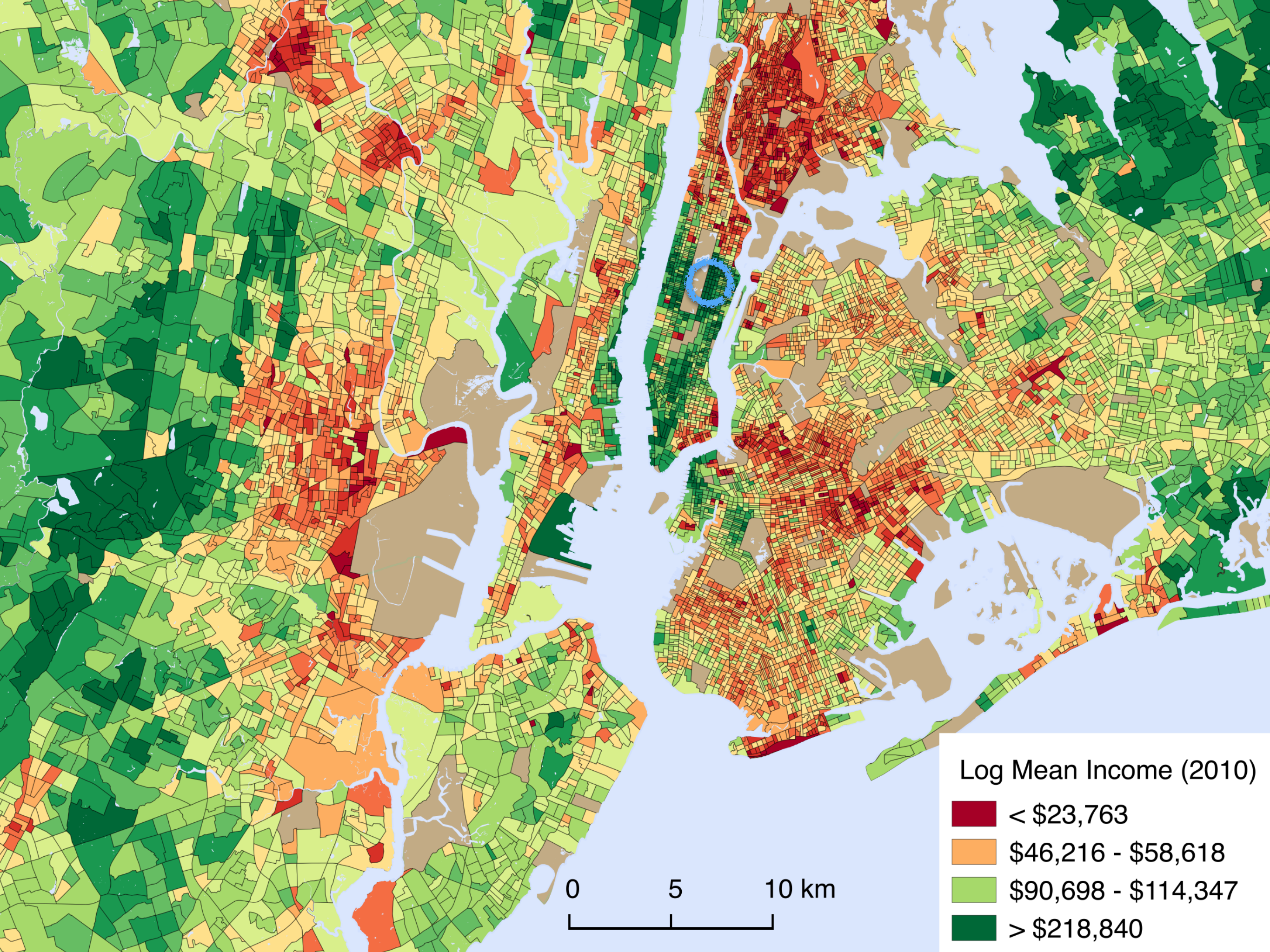
There are over 800 languages spoken in New York City

If every one were cast in the same mould,
there would be no such thing as beauty.

Charles Darwin







740 PARK AVENUE: Inside The Most Powerful Apartment Building In New York



JULIE ZEVELOFF



DEC. 29, 2011, 3:46 PM

🔥 815,377

💬 17



FACEBOOK



LINKEDIN



TWITTER



GOOGLE+



PRINT



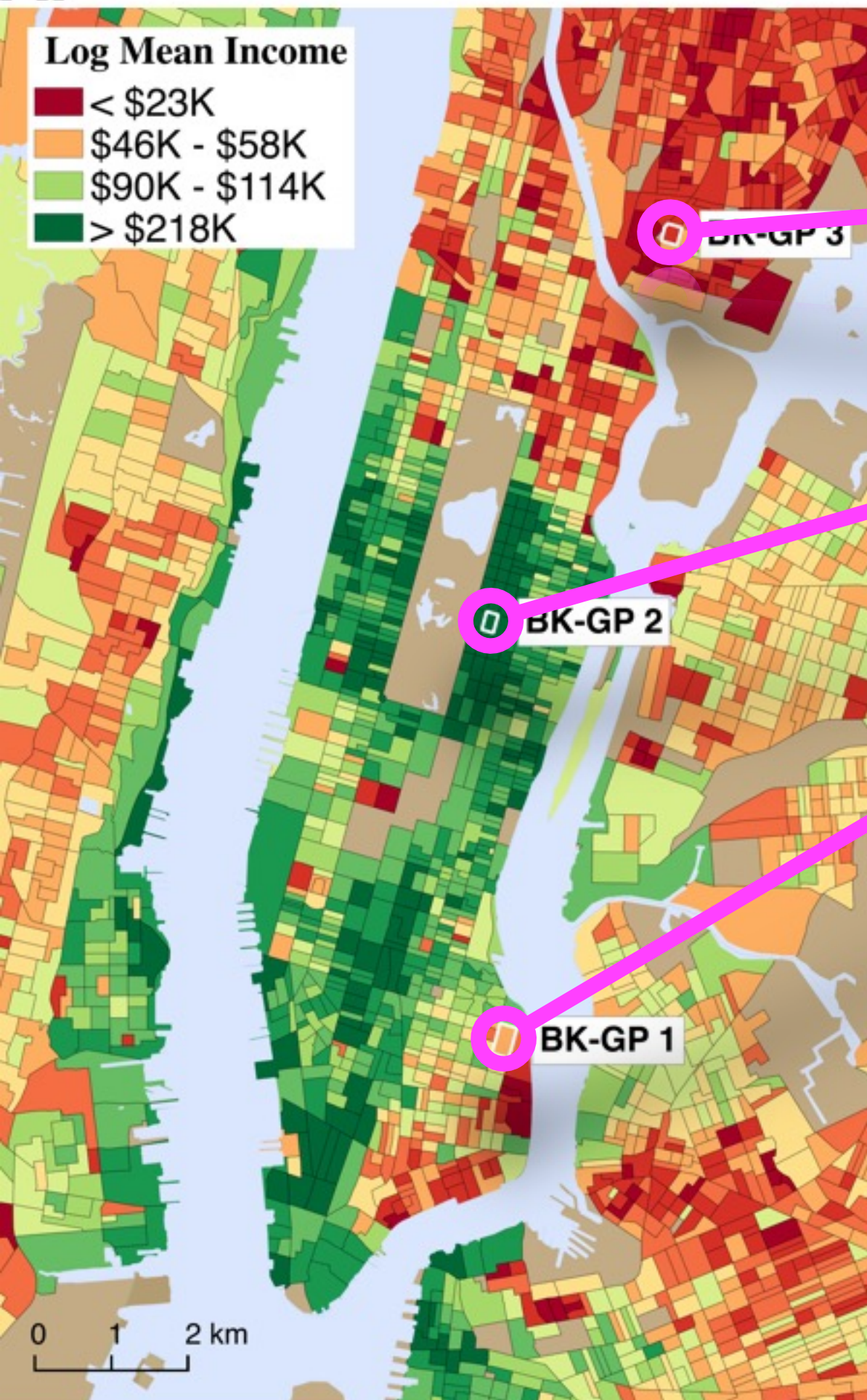
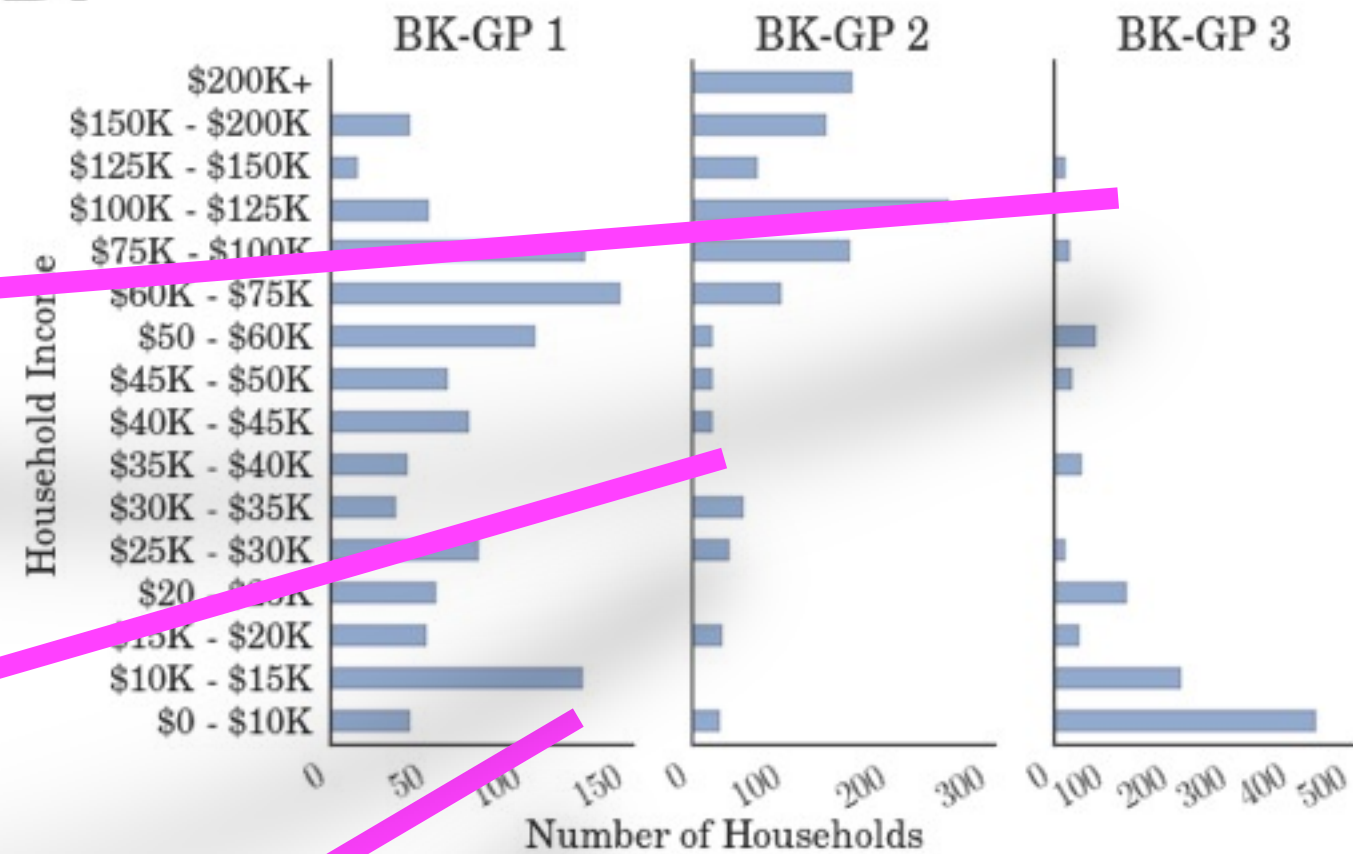
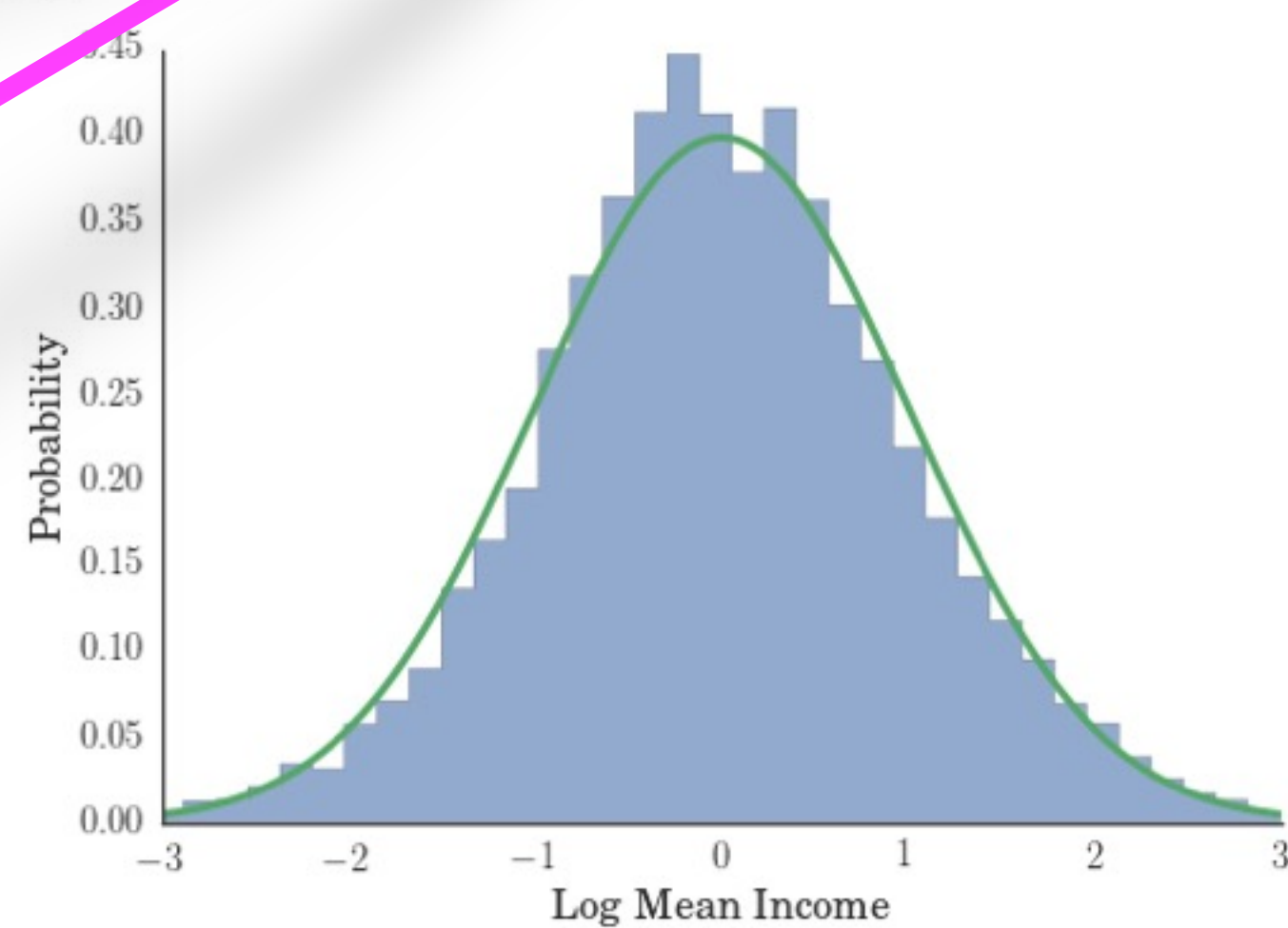
EMAIL

740 Park Avenue is a legendary address, at one time considered (and still thought to be by some) the most luxurious and powerful residential building in New York City.

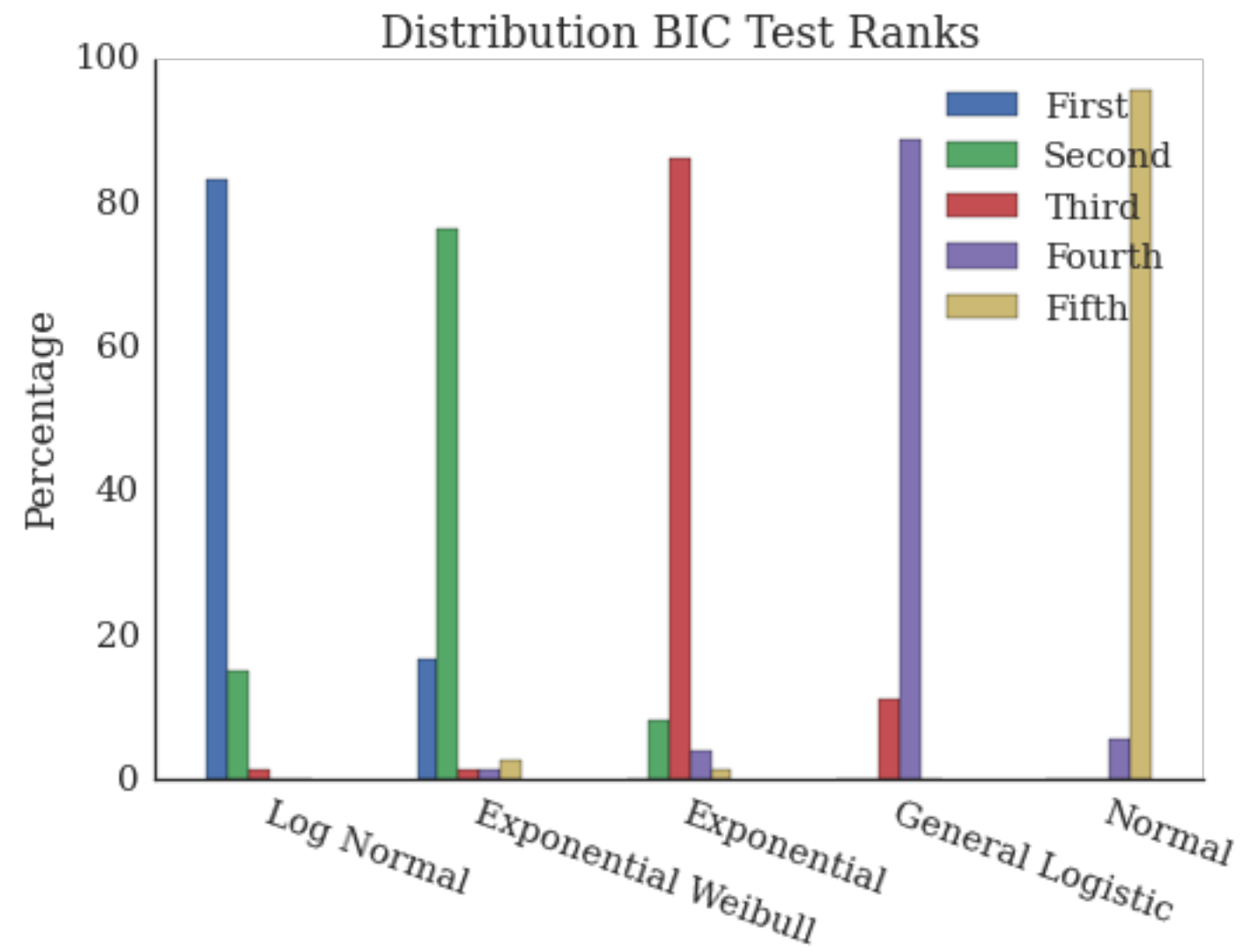
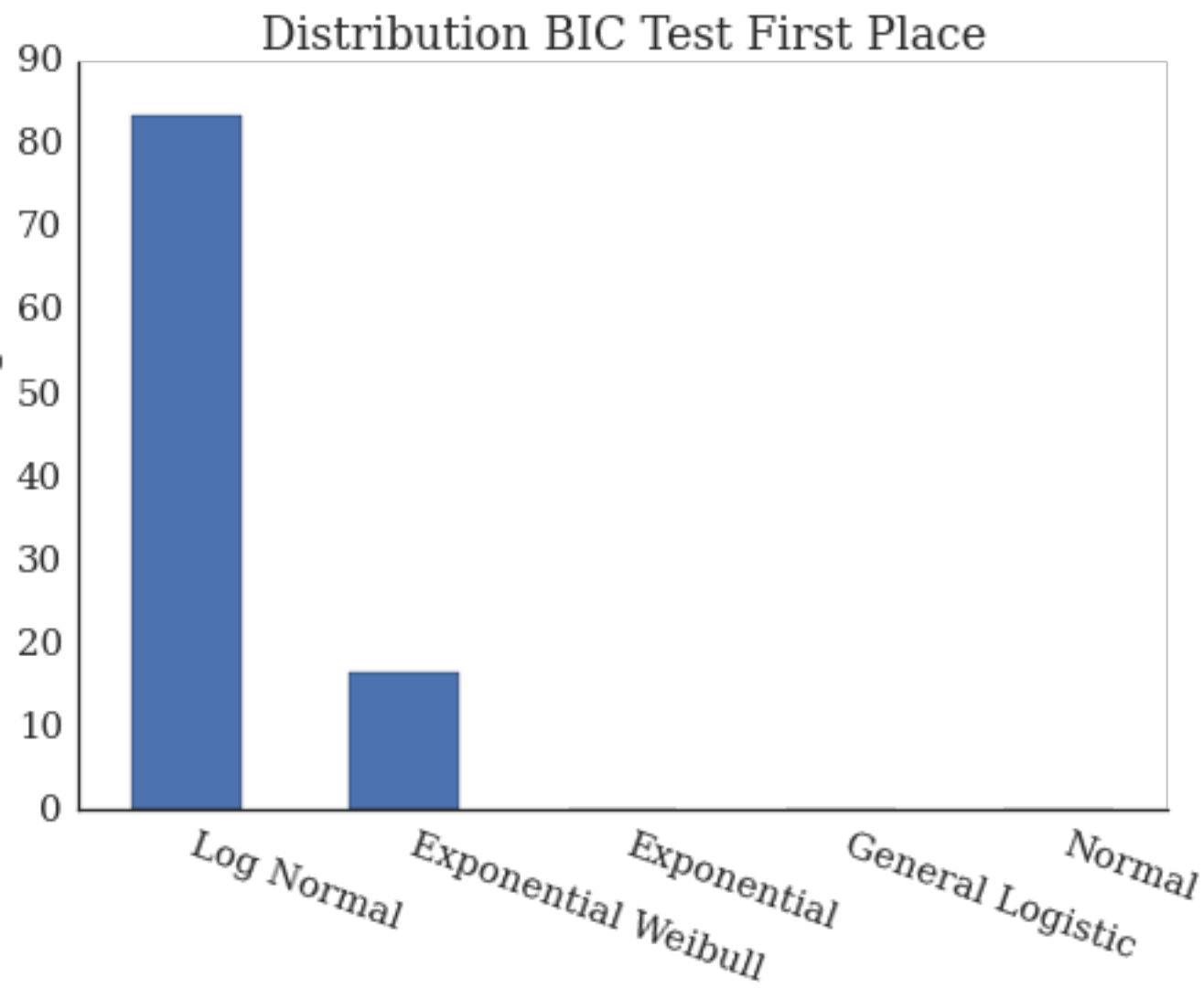
The co-op, on the corner of 71st Street and Park Avenue, has an impressive past.

Built in 1929 by the grandfather of Jacqueline Kennedy Onassis--who lived there as a child--740 Park has just 31 residences that have, over time, commanded some of the highest real estate prices in New York history.

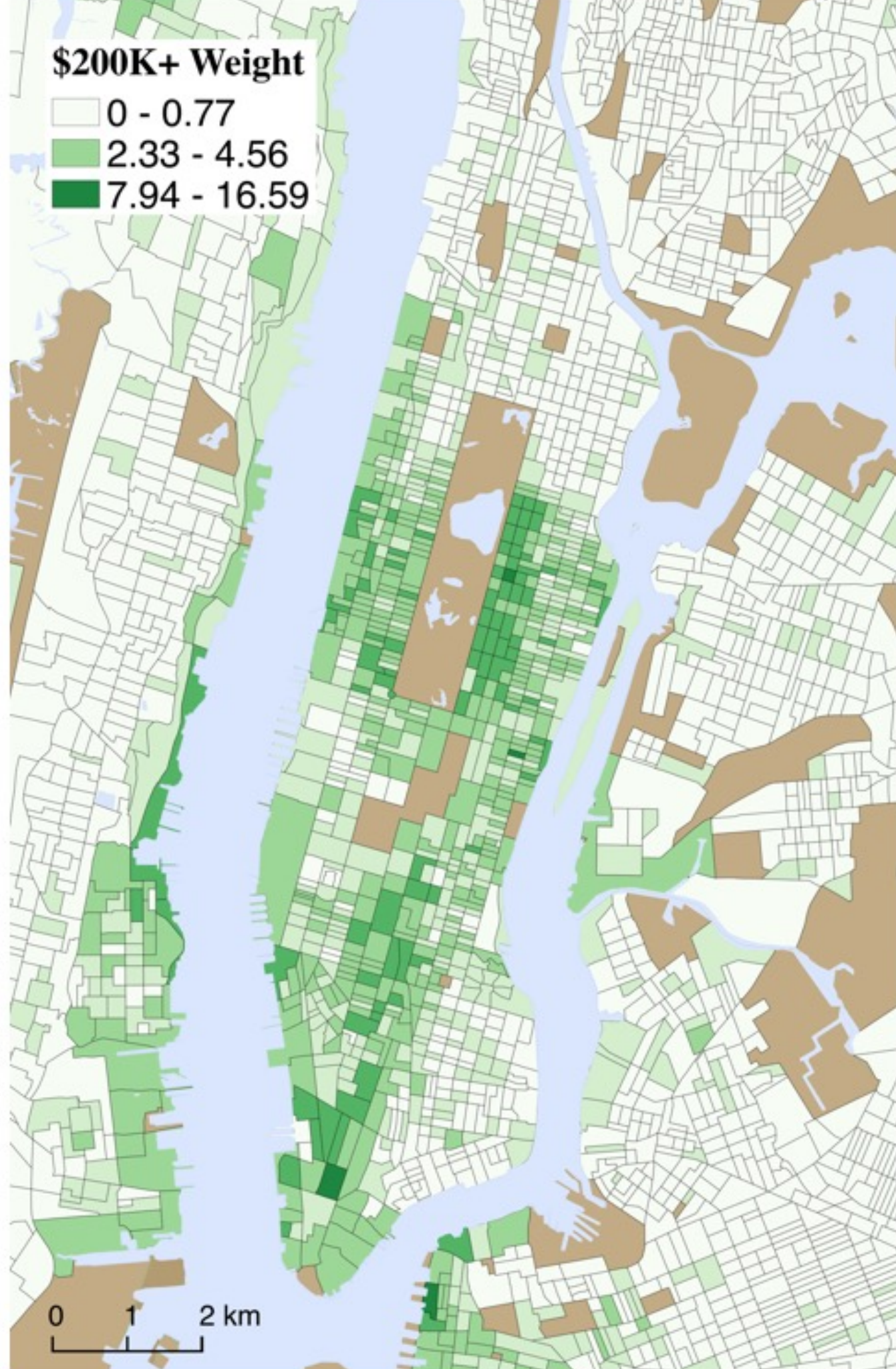
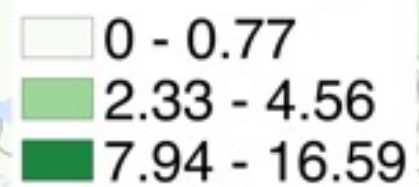
*Bing Maps/Wikipedia*

A.**B.****C.**

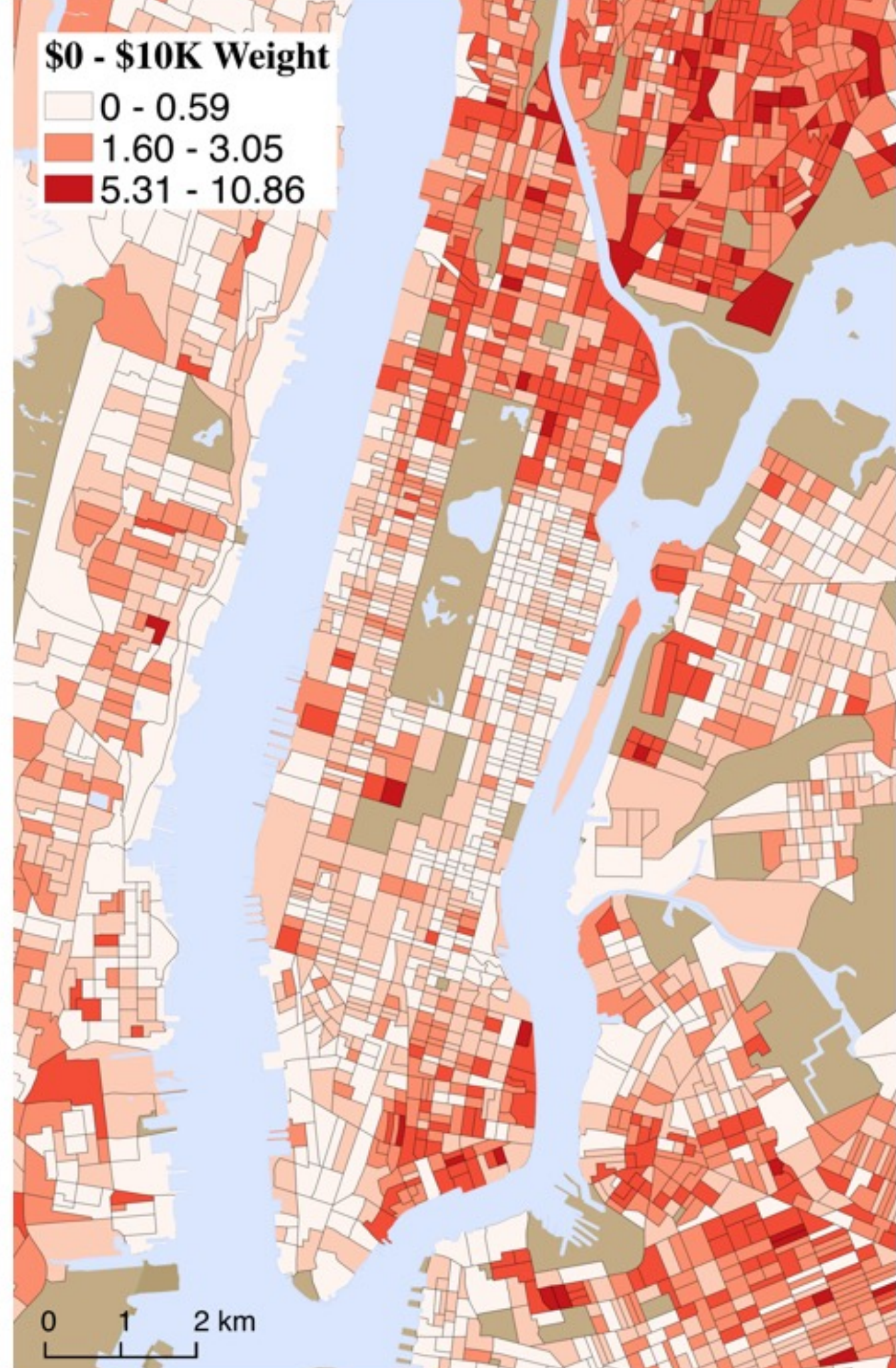
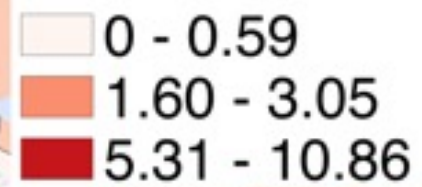
Universality of City-Wide Distribution



\$200K+ Weight



\$0 - \$10K Weight



Information & Spatial Selection

$$p(y_\ell | n_j) = w_{\ell,j} p(y_\ell)$$

 neighborhood i
income distribution

 city-wide
income distribution

$$p(y_\ell | n_j) = \frac{p(n_j | y_\ell) p(y_\ell)}{p(n_j)} \rightarrow w_{\ell,j} = \frac{p(n_j | y_\ell)}{p(n_j)} = \frac{p(y_\ell | n_j)}{p(y_\ell)} = \frac{p(n_j, y_\ell)}{p(y_\ell) p(n_j)}$$

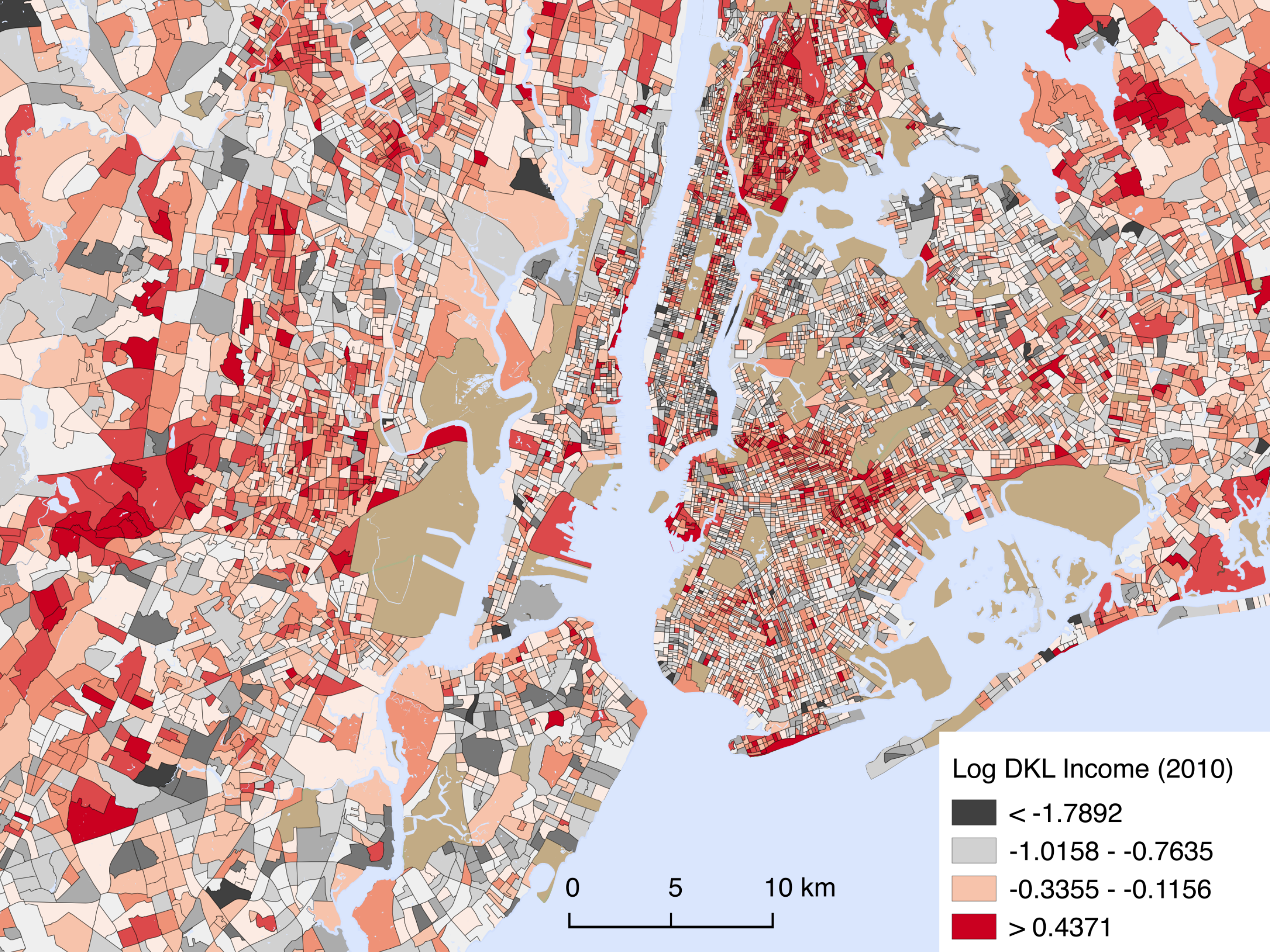
Bayes' Theorem

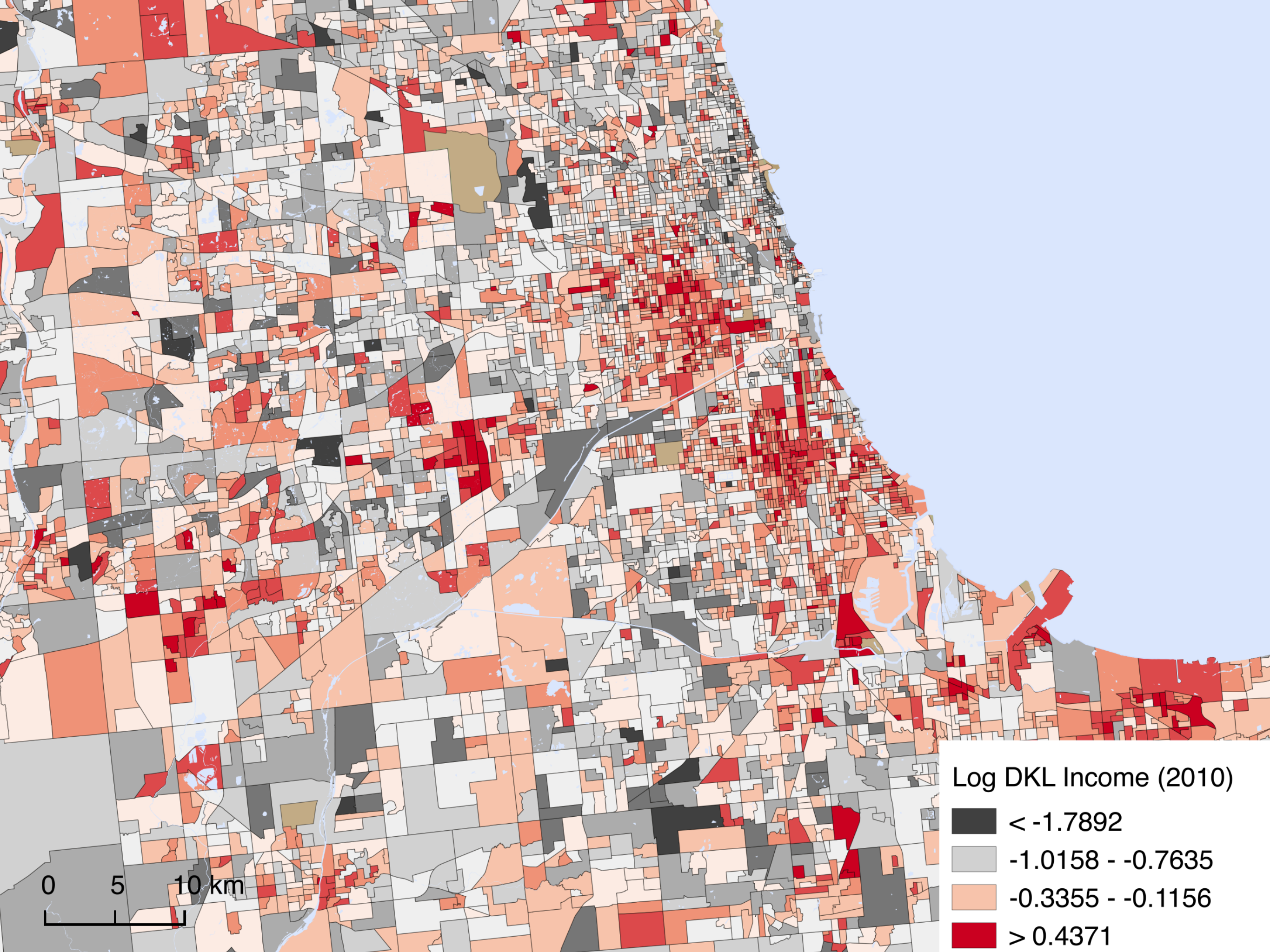
$$\langle \ln w_j \rangle = \sum_{\ell} p(y_\ell | n_j) \ln \frac{p(y_\ell | n_j)}{p(y_\ell)} = D_{\text{KL}} [p(y_\ell | n_j) || p(y_\ell)]$$

Information
to explain neighborhood j

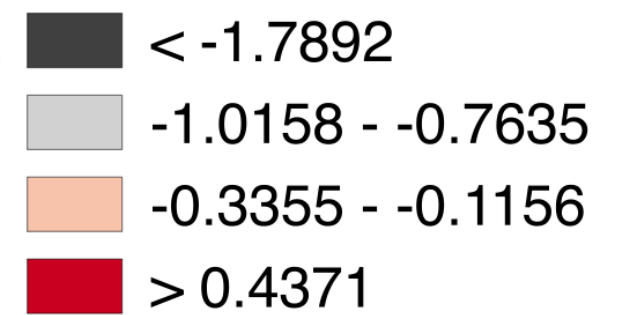
$$\langle \ln w \rangle = \sum_{i,l} p(n_i, y_l) \ln w_{i,l} = I(n; y).$$

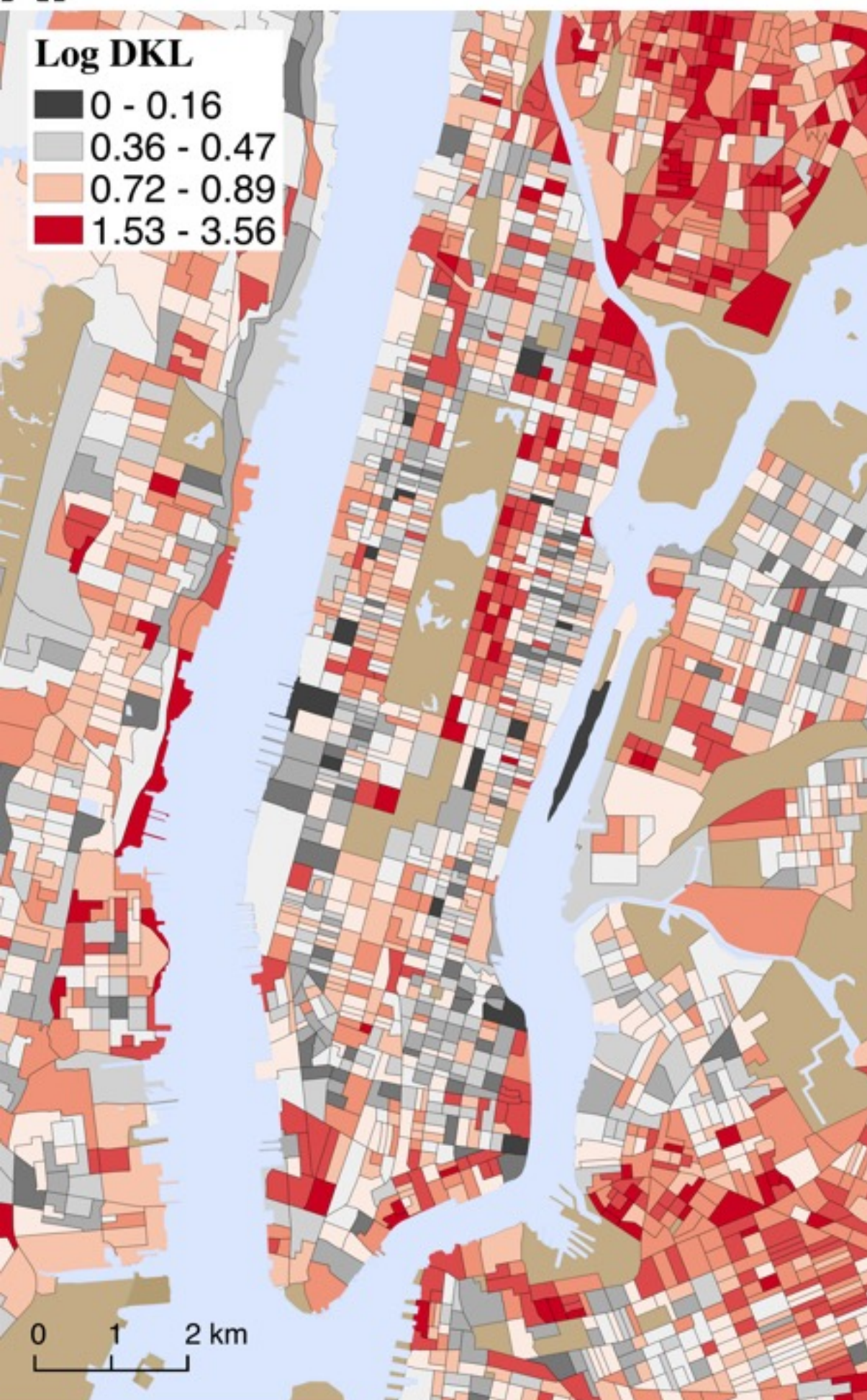
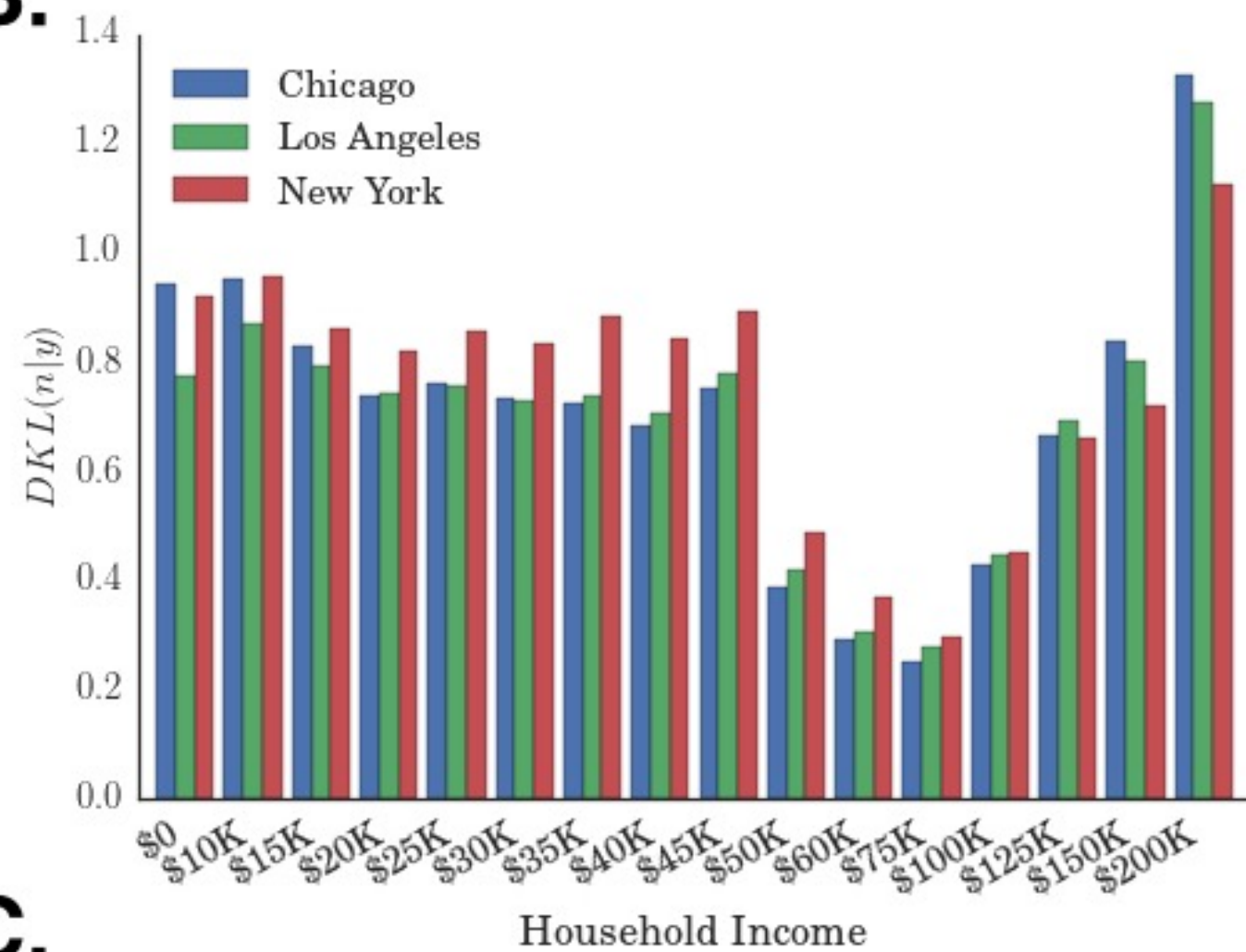
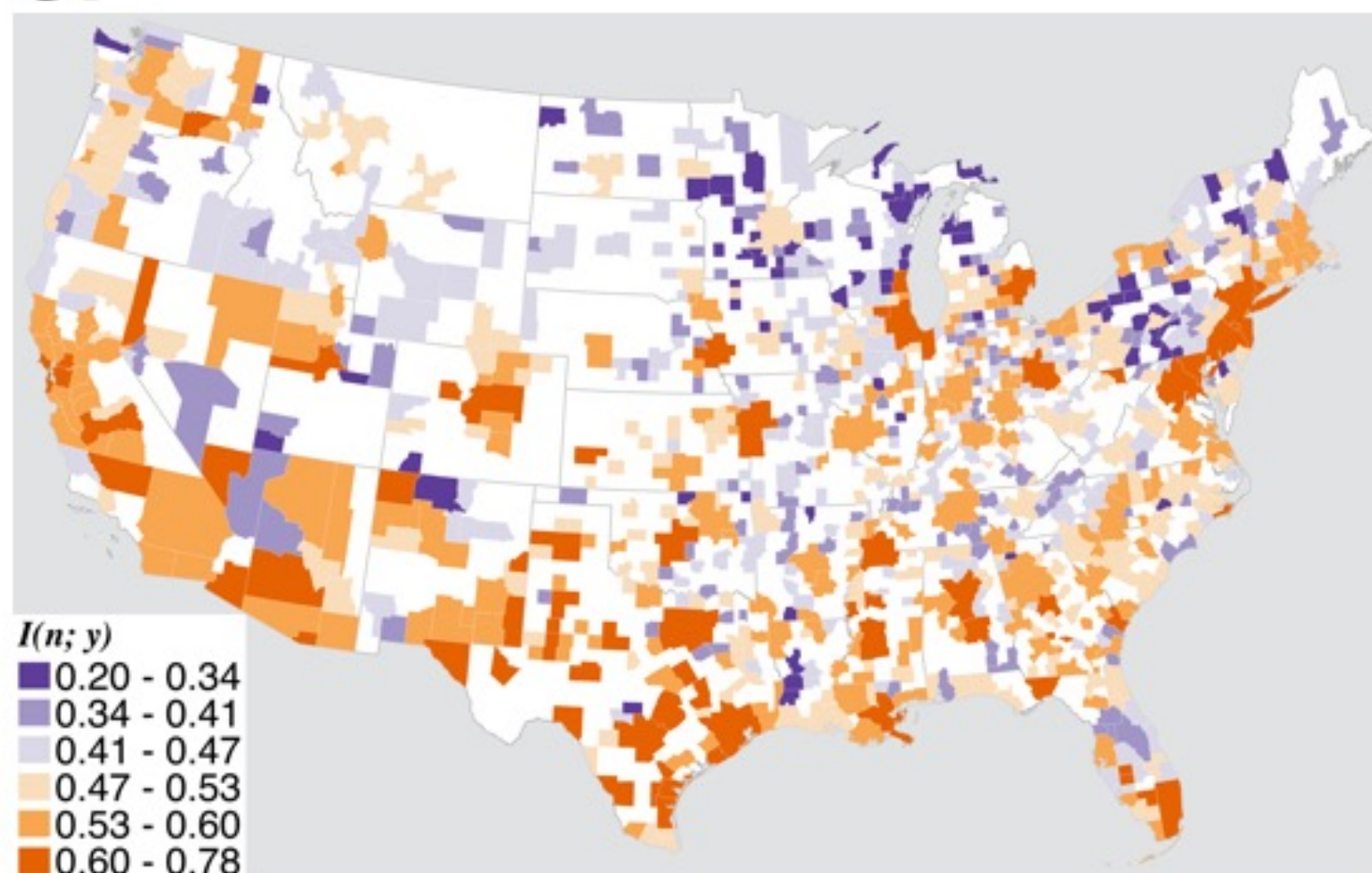
Information
between neighborhoods and income
for a city





Log DKL Income (2010)



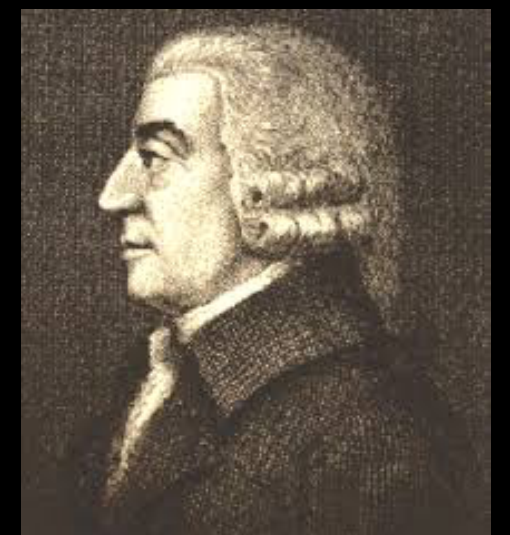
A.**B.****C.**

What is the **Ultimate** Function of Cities?

Observe the accommodation of the most day-labourer in a civilized and thriving country, and you will perceive that the number of people of whose industry a part, though but a small part, has been employed in procuring him this accommodation, exceeds all computation

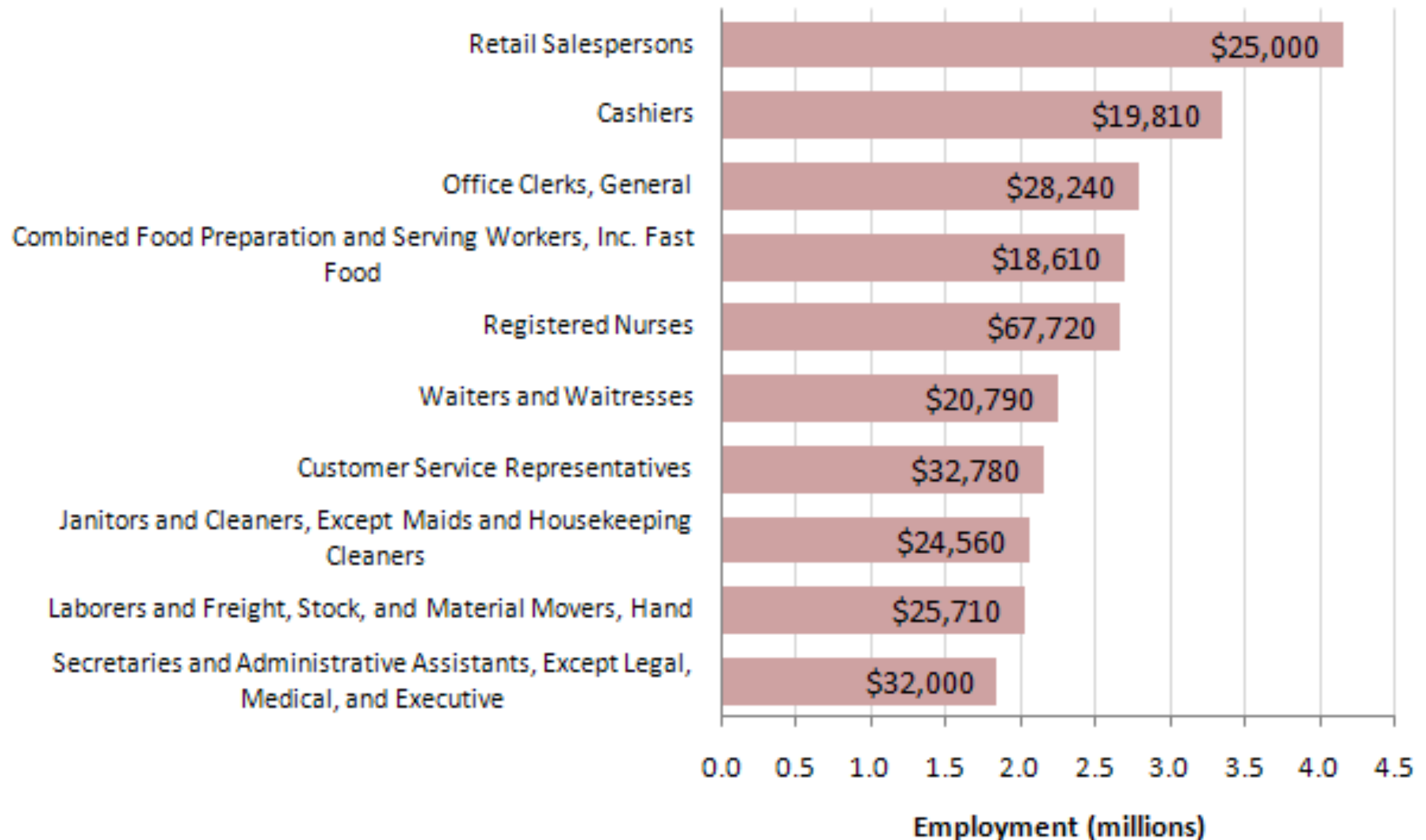
if we examine, all these things, and consider what a variety of labour is employed about each of them, we shall be sensible that without the assistance and co-operation of many thousands, the very meanest person in a civilized country could not be provided

Adam Smith (wealth of nations, ch 2)



Professions in the USA

Chart 1. Employment and Annual Mean Wages for the Largest U.S. Occupations, May 2010

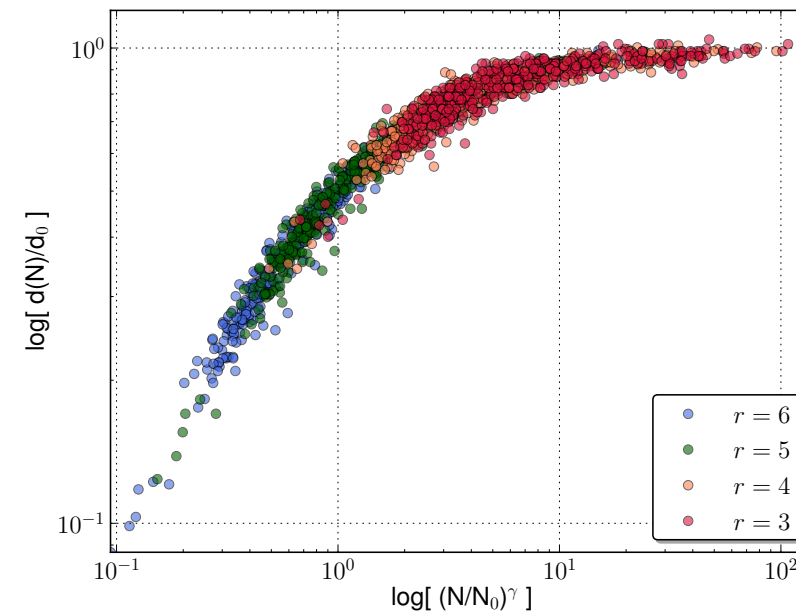
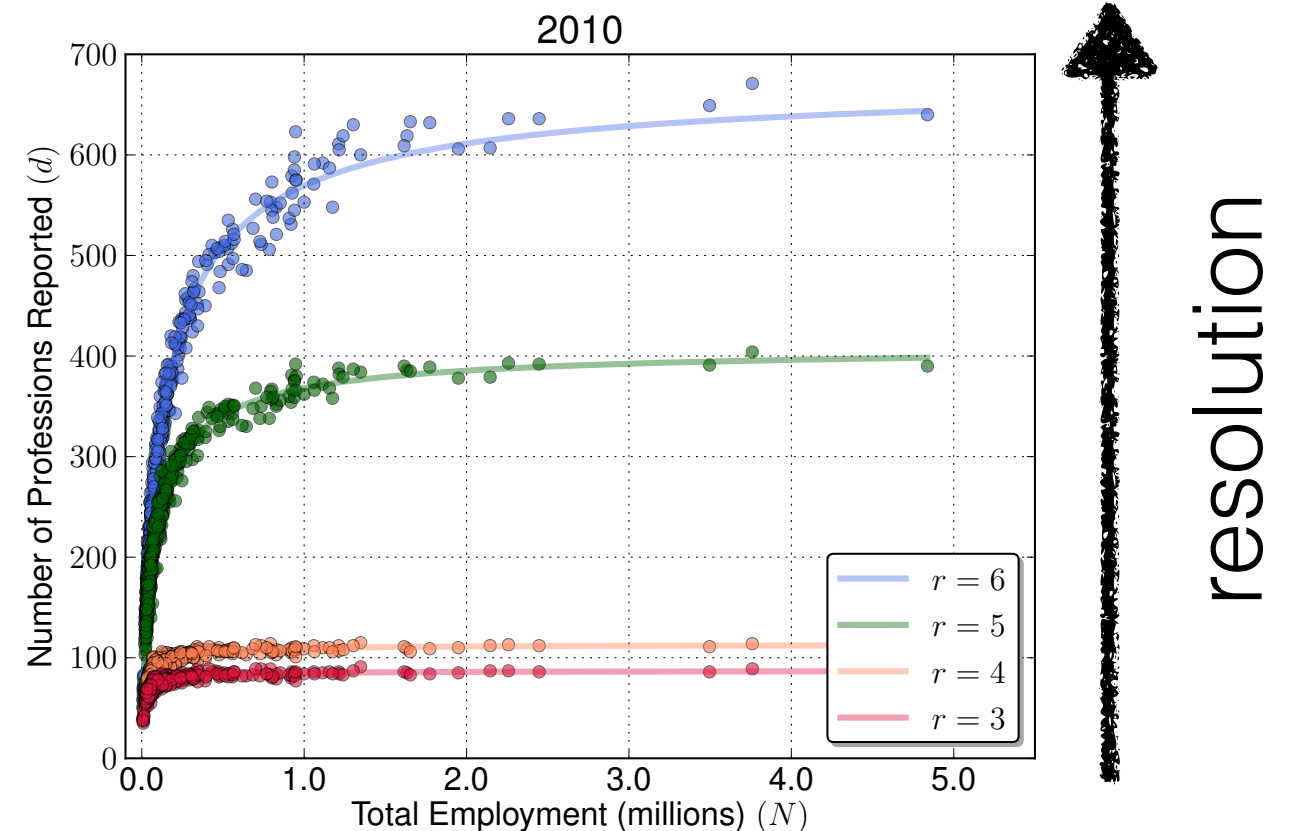


Professional Diversity and Classification Resolution

Occupations in US Metropolitan Statistical Areas

A good fit at all **resolutions**:

$$D(N_e) = d_0 \frac{\left(\frac{N_e}{N_0}\right)^\gamma}{1 + \left(\frac{N_e}{N_0}\right)^\gamma}.$$



Inferring actual diversity $D(N)$:

$$D(N) = d_0 h \left(\frac{N}{N_0} \right) \left(\frac{N}{N_0} \right)^\gamma \rightarrow \begin{cases} D_0 N^\gamma, & N \ll N_0, \\ d_0(r), & N \gg N_0, \end{cases}$$

In the limit:

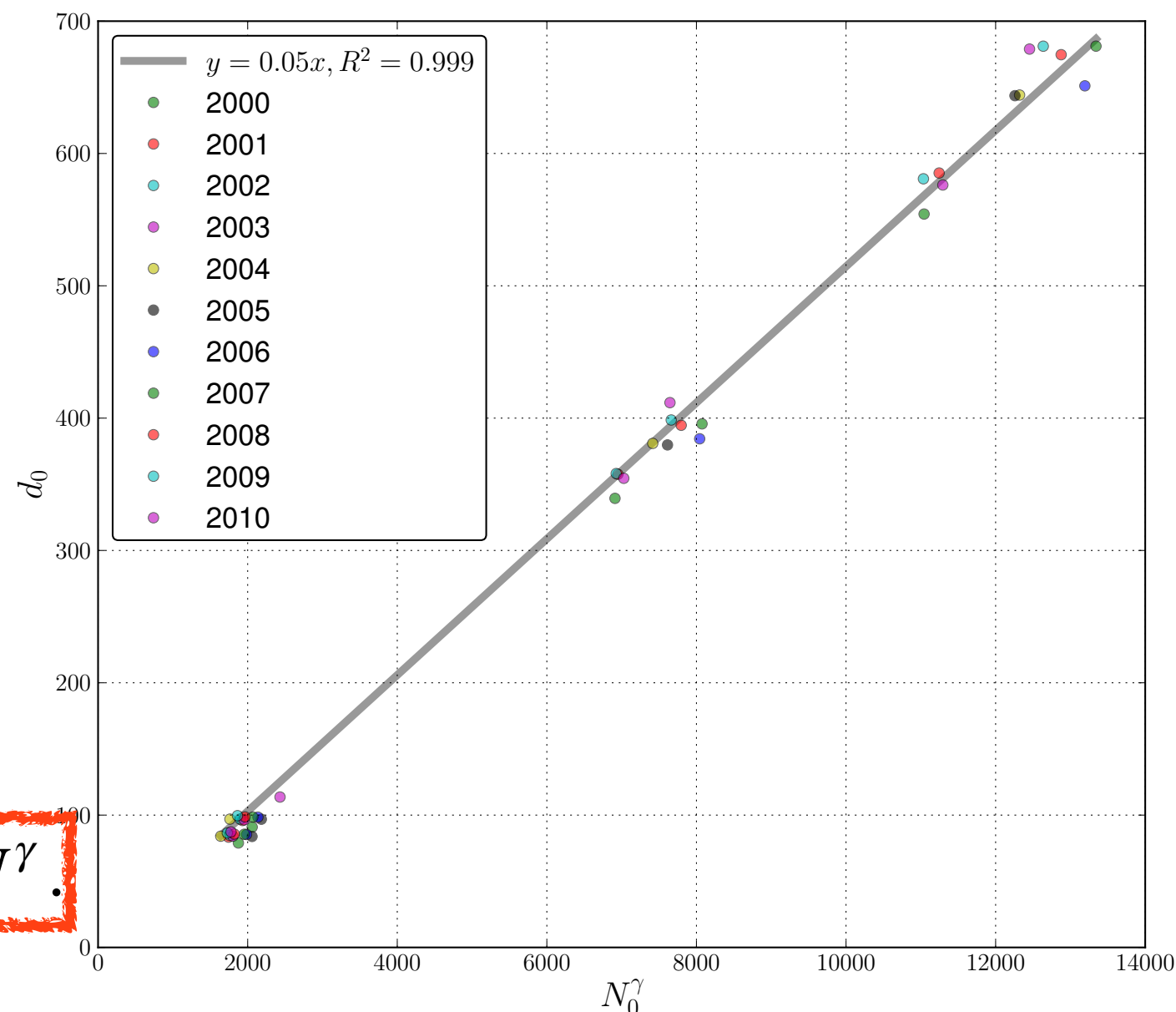
$$\frac{N}{N_0} \rightarrow 0; \quad h \rightarrow 1, \quad D_0 \rightarrow \frac{d_0}{N_0^\gamma}$$

In the limit:

$$\frac{N}{N_0} \rightarrow +\infty; \quad h \rightarrow \left(\frac{N_0}{N} \right)^\gamma,$$

A scaling limit exists iff:

$$D_0 \rightarrow \frac{d_0}{N_0^\gamma} = \text{const.} \quad \text{with} \quad \boxed{D(N) = D_0 N^\gamma}.$$



The rank size distribution of professions

From $D(N)$, for all N , derive **frequency distribution**

$$f(i) = \frac{N_e}{N_0} \left(\frac{d_0 - i}{i} \right)^{1/\gamma}.$$

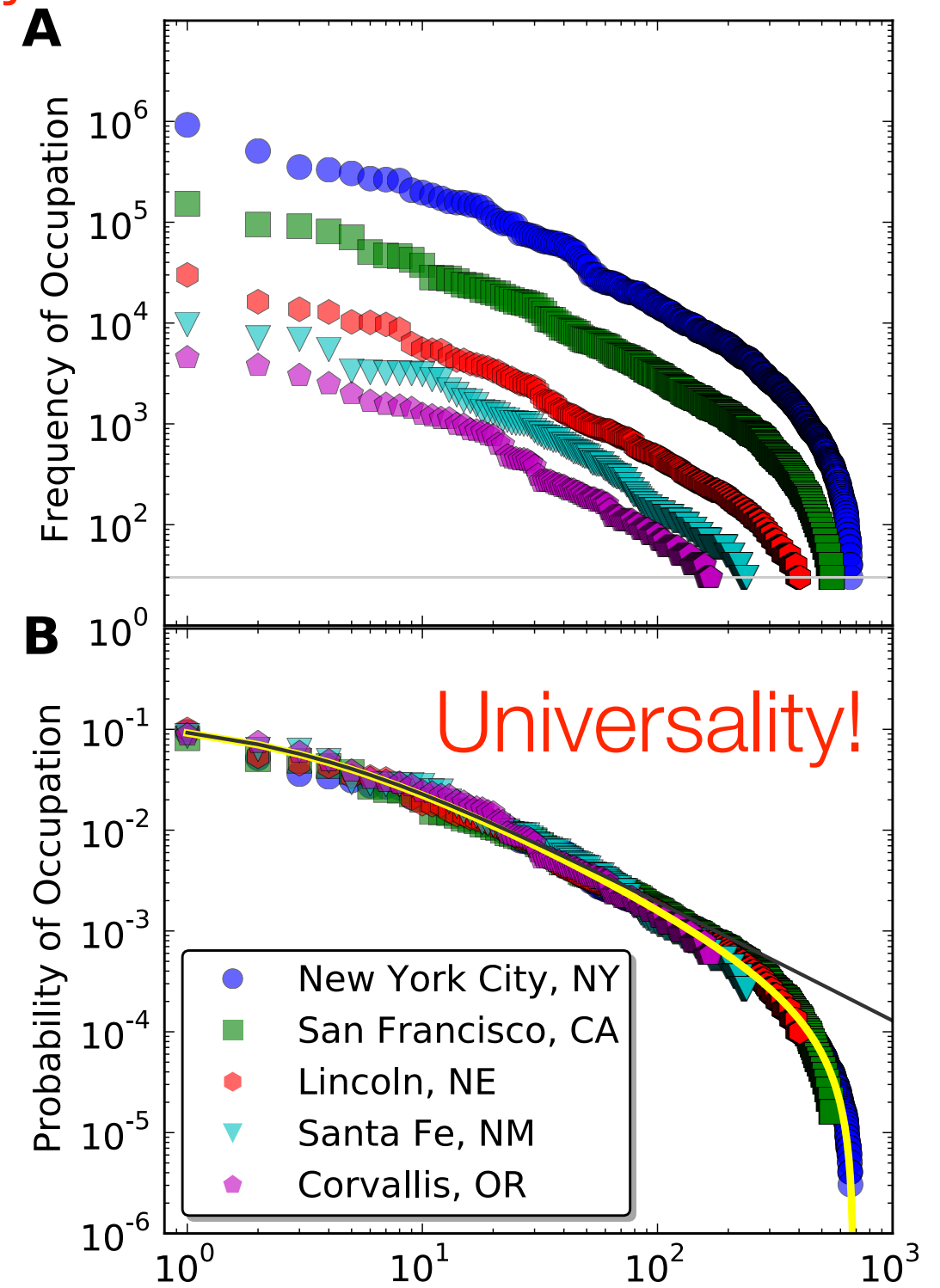
$$p(i) = \frac{f(i)}{\sum_{j=1}^{D(N)} f(j)} = \frac{1-\gamma}{\gamma} \frac{i^{-1/\gamma}}{1 - D(N)^{-\frac{1-\gamma}{\gamma}}};$$

Indices of Diversity:

$$HH(N) = \sum_{i=1}^{D(N)} p^2(i) = \frac{\delta^2}{1-\delta^2} \frac{1 - D_0^{-\frac{1+\delta}{1-\delta}} N^{-1-\delta}}{(1 - D_0^{\frac{\delta}{1-\delta}} N^{-\delta})^2}$$

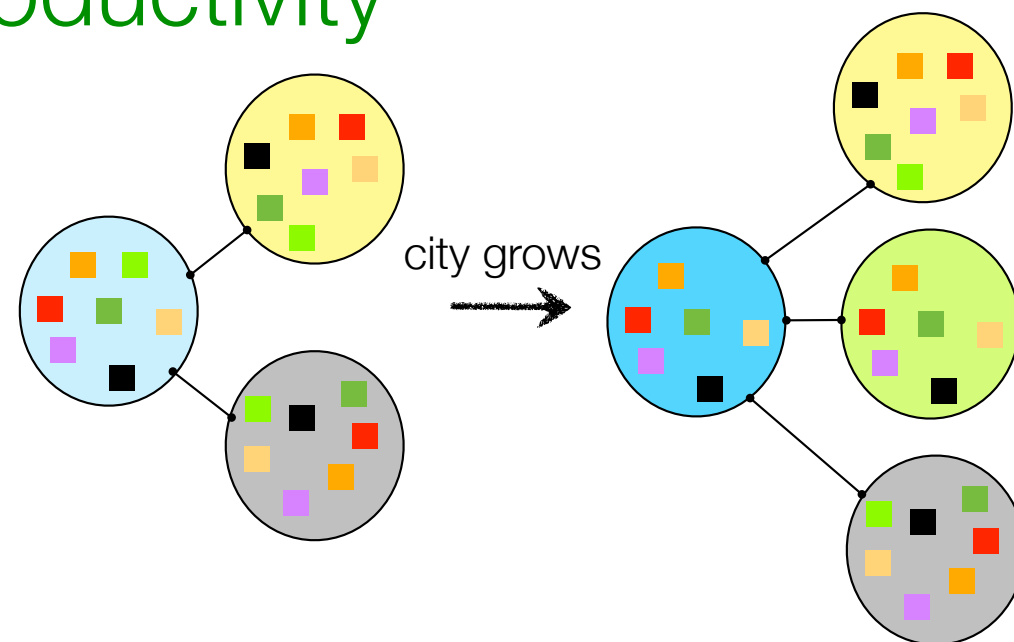
$$\simeq \frac{\delta^2}{1-\delta^2} \left(1 + \frac{2}{D_0^{\frac{\delta}{1-\delta}} N^{\delta}} \right).$$

$$S = - \sum_{i=1}^{D(N)} p(i) \ln p(i) \simeq \frac{1}{\delta} - D_0^{-\delta/(1-\delta)} N^{-\delta} \ln(D_0^{1/\gamma} N)$$



Professional Diversity and Urban Productivity

Specialization and Division of Labor
as sources of increases in urban productivity



$$\mathcal{L}(d; \lambda) = \frac{g(kd)}{d} - \lambda (kd - A) .$$

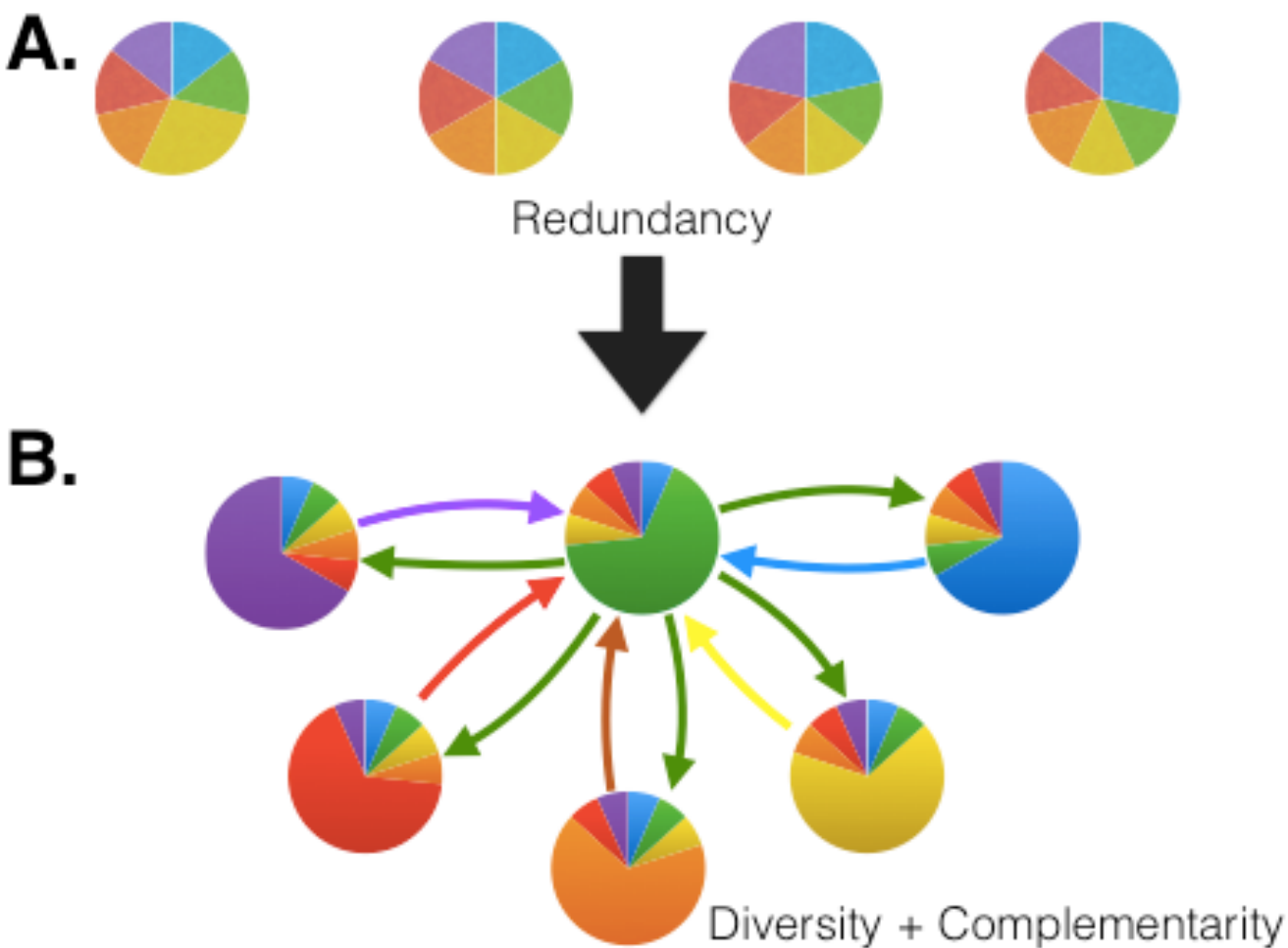
$$d = \frac{A}{k} = \frac{A}{k_0} \frac{1}{N^\delta}, \quad w = \frac{g(A)}{A} k = \frac{g(A)}{A} k_0 N^\delta ,$$

$$\frac{dg}{dA} - \frac{g}{A} - \lambda_1 A = 0 \rightarrow g(A) = \left[C + \int^A dA' \lambda_1(A') \right] A ,$$

$$D_0 = \frac{A}{k_0} = 0.05$$

Information, Connectivity & Productivity Growth

Poor

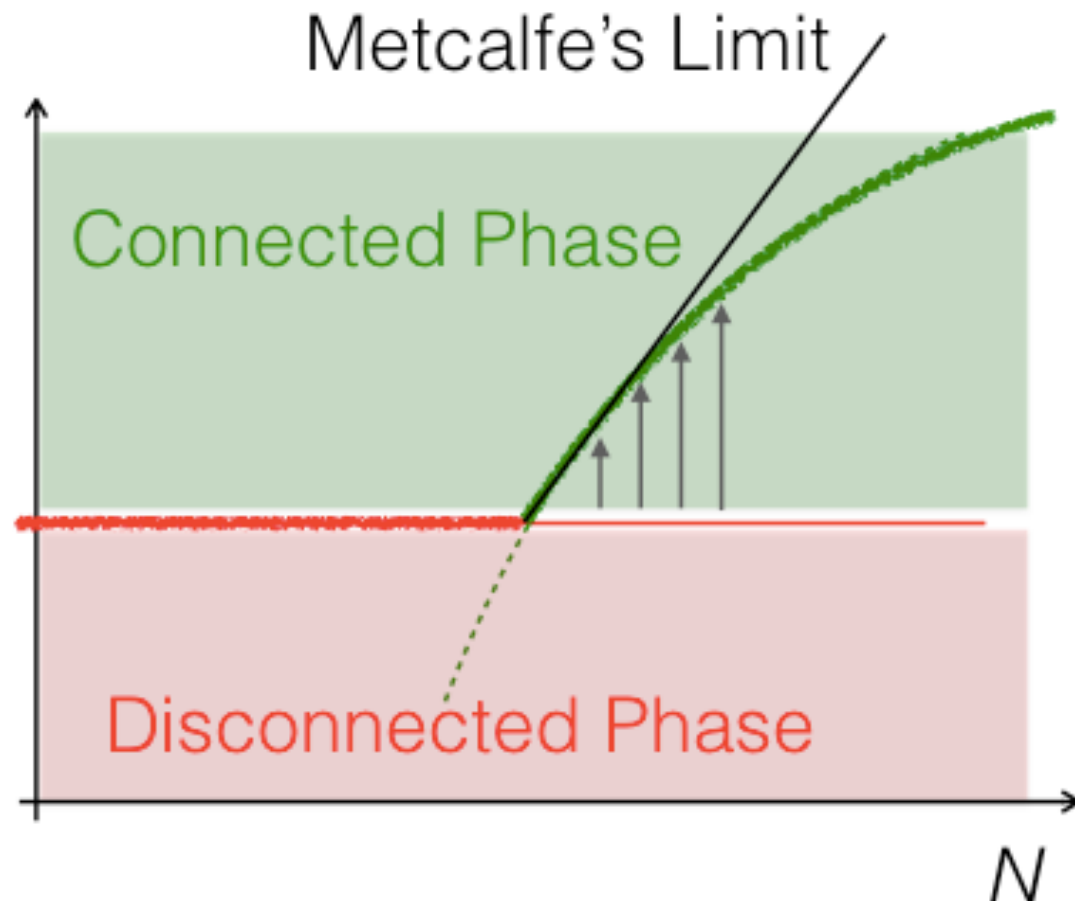


Rich

C.

$w(N), i(N)$

w_D, i_D



Interconnected human societies
contain more information at higher connectivity costs

CHARACTERISTICS OF COMPLEX NETWORK PHASES

Symbol	Node Property	Disconnected Phase	Connected Phase
k	connectivity (degree)	k_D (small)	$k(N) = k_c(t)N^\delta$ (increasing)
d	number of functions	d_D (large)	$d(N) = d_c(t)N^{-\delta}$ (decreasing)
i	information	i_D (small)	$i(N) = i_c(t)N^\delta$ (increasing)
w	productivity	w_D (low)	$w(N) = w_c(t)N^\delta$ (increasing)
t	time per function	t_D (small)	$t(N) = t_c(t)N^\delta$ (increasing)
c	cost per connection	c_D (large)	$c(N) = c_c(t)N^\delta$ (increasing)

Metcalf's limit of the connected phase is obtained as $\delta \rightarrow 1$, see Figure 1.

development
is the ability to solve
human problems*

*socially

New and old ways of **being connected**



In a real sense all life is inter-related.

All people are caught in an inescapable network of mutuality, tied in a single garment of destiny.

Whatever affects one directly, affects all indirectly.

I can never be what I ought to be until you are what you ought to be, and you can never be what you ought to be until I am what I ought to be...

This is the inter-related structure of reality.

- Martin Luther King Jr, Letter from Birmingham Jail.

Twitter Founder Reveals Secret Formula for Getting Rich Online

BY RYAN TATE 09.30.13 | 9:30 AM | PERMALINK

[f Share](#) 18 [Tweet](#) 22 [g+1](#) 1.4k [in Share](#) 1 [Pin it](#)



Ev Williams. Photo: Andrew White/WIRED

Ev Williams has figured out the internet.

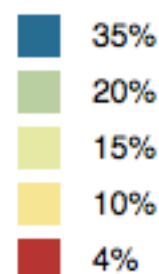


“How Airbnb and Lyft finally got americans to trust each other”

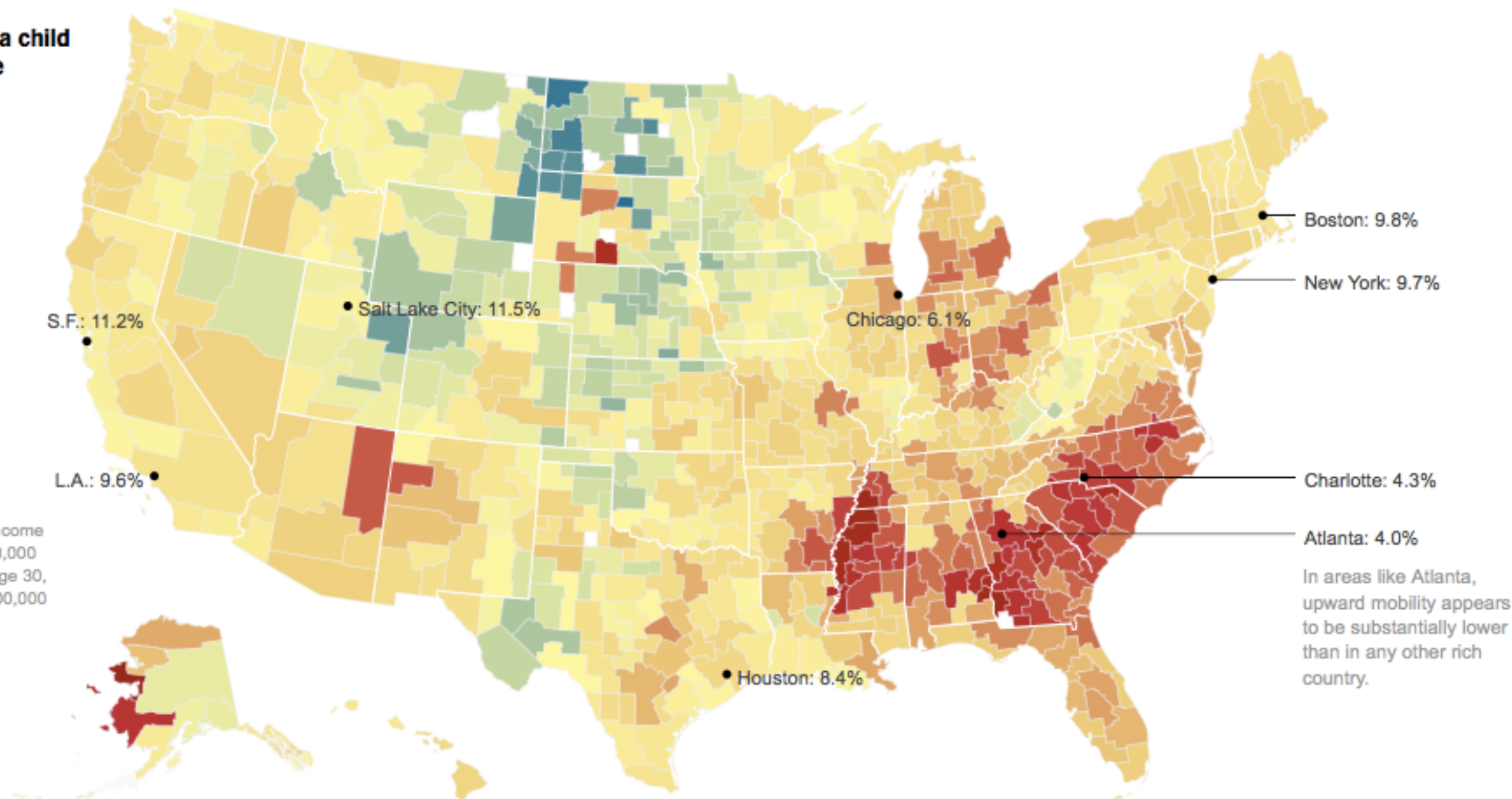
In Climbing Income Ladder, Location Matters

A study finds the odds of rising to another income level are notably low in certain cities, like Atlanta and Charlotte, and much higher in New York and Boston.

The chance a child raised in the bottom fifth rose to the top fifth



The top fifth is equal to family income of more than \$70,000 for the child by age 30, or more than \$100,000 by age 45.



E-MAIL

By DAVID LEONHARDT

PUBLISHED: JULY 22, 2013

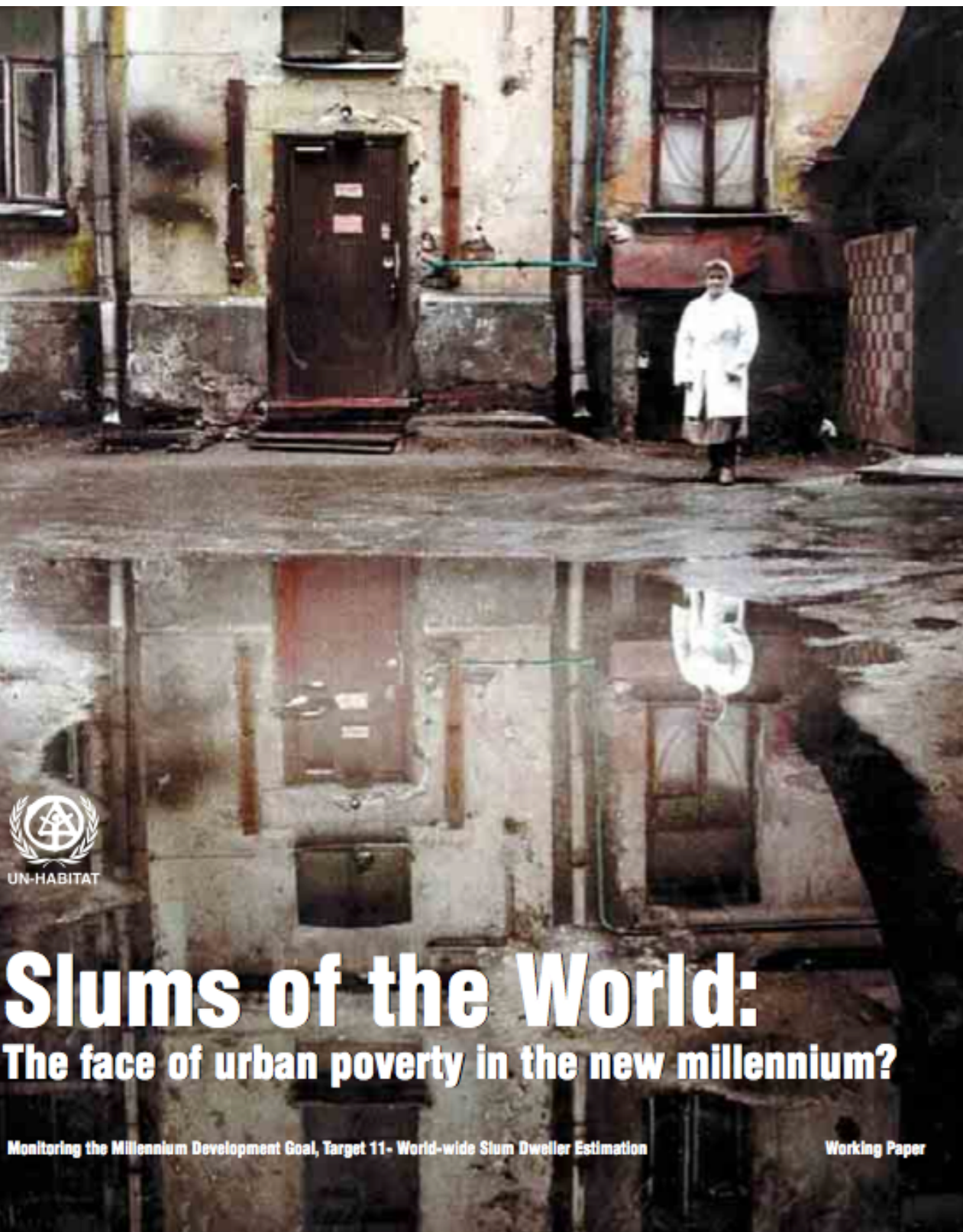
536 COMMENTS

But the researchers identified four broad factors that appeared to affect income mobility, including the size and dispersion of the local middle class. All else being equal, upward mobility tended to be higher in metropolitan areas where poor families were more dispersed among mixed-income neighborhoods.

Income mobility was also higher in areas with more two-parent households, better elementary schools and high schools, and more civic engagement, including membership in religious and community groups.



An “Information Crisis”



The depiction of the city, its problems and its potential still remain sorely distorted.

Most cities in the developing world are suffering from

an information crisis

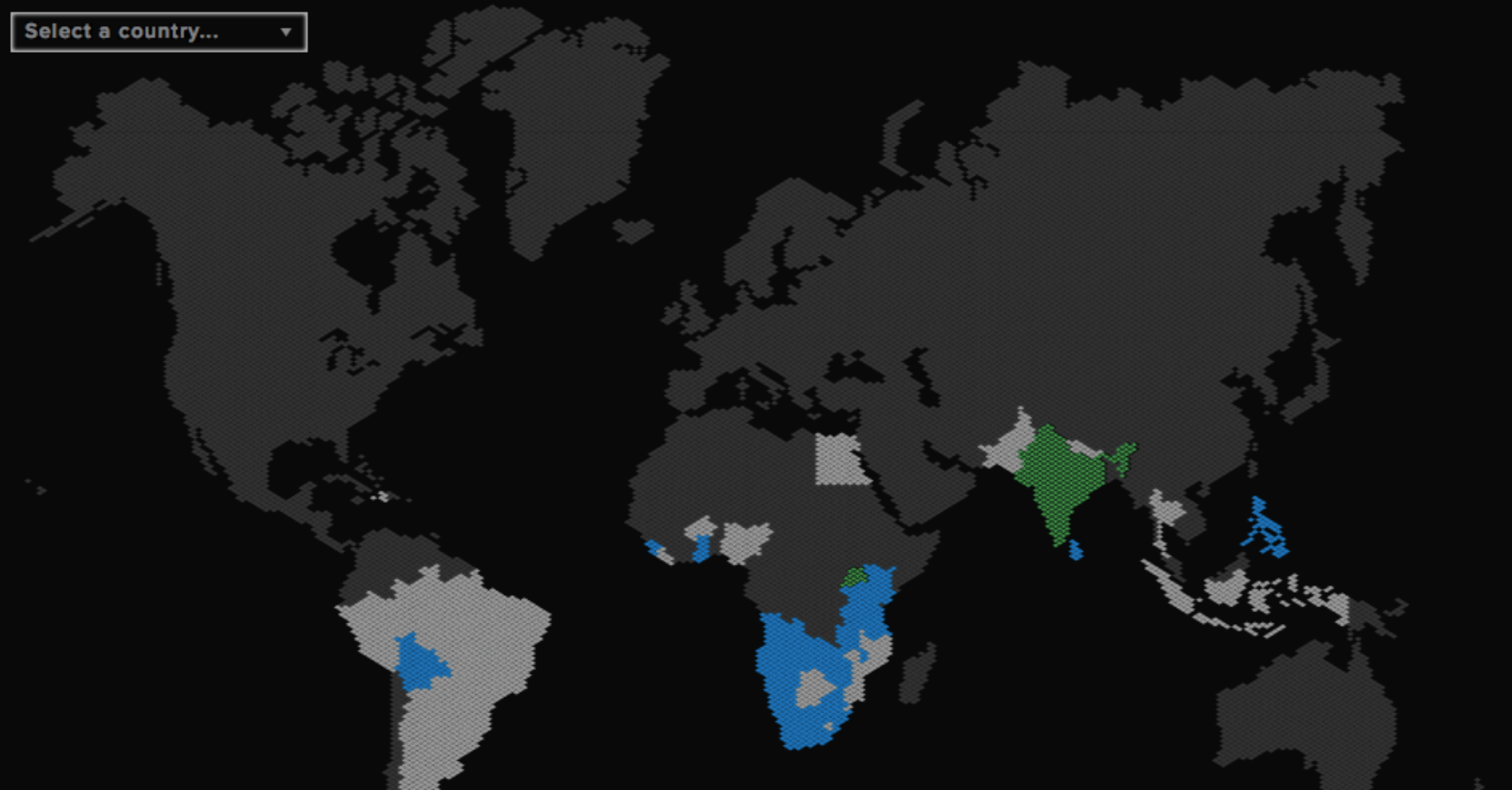
which is seriously undermining their capacity to develop and analyze effective urban policy.



KNOW YOUR CITIES KNOW YOUR SLUM

<http://civitas.santafe.edu/viz/>
7,000+ slums

Select a country... ▼





Population



Water



Health Access



Infrastructure



Community



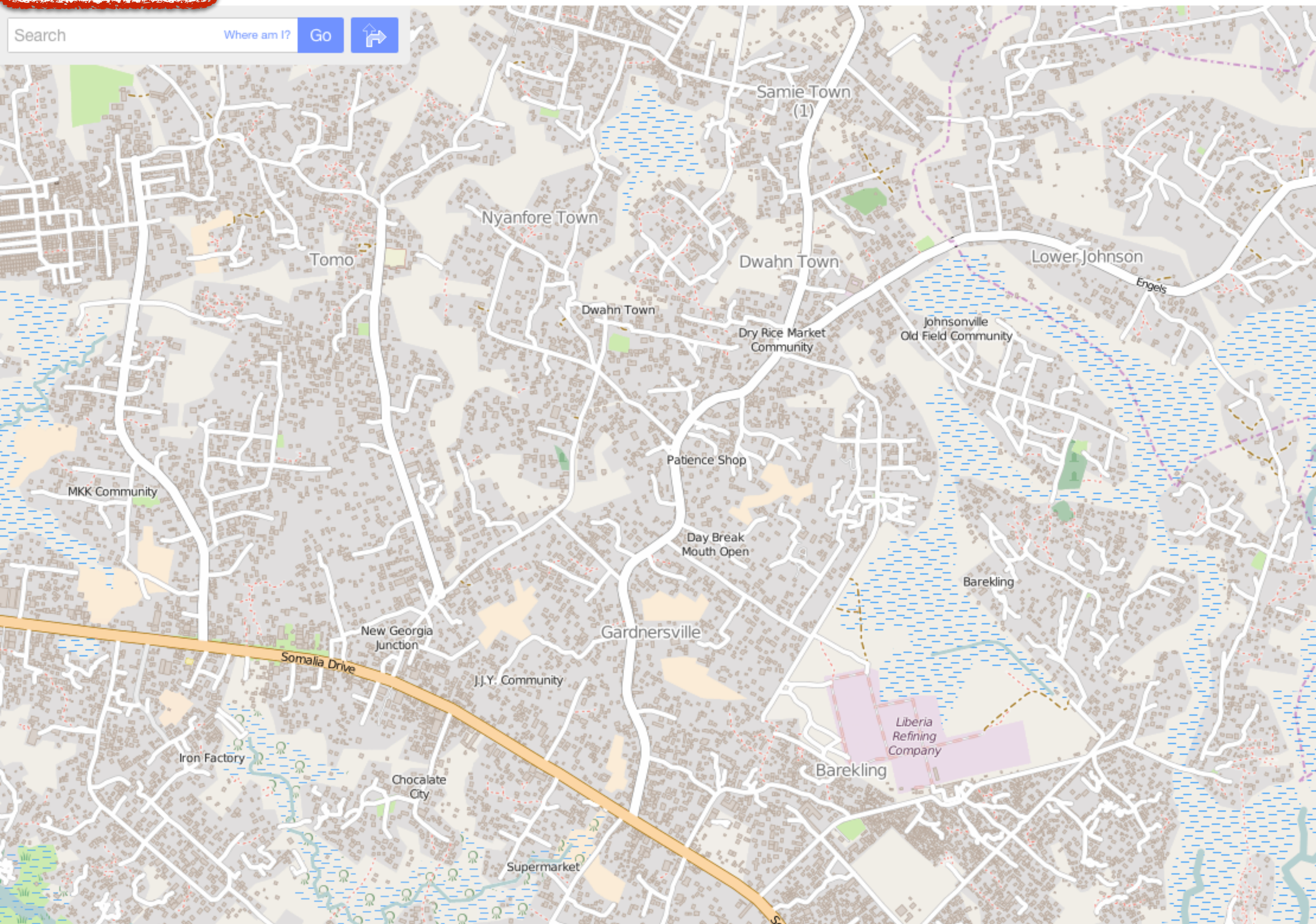
Toilets

LEARN MORE
ABOUT THE DATA

RANGA REDDY

X

LOCATION	BASIC INFORMATION	WATER	HEALTH ACCESS
	<p>Slum Population</p> <p>792,772</p> <p>Total Settlements</p> <p>314</p> <p>Total Households</p> <p>180,695</p> <p>Median Family Size</p> <p> 4</p>	<p>Residents per Tap</p> <p>11.4</p> <p>Residents per Well</p> <p>2,781.7</p> <p>Residents per Water Source</p> <p> 10.8</p>	<p>% Settlements with Hospital Access</p> <p>36.3%</p>
	<p>INFRASTRUCTURE</p> <p>% Households with Electricity</p> <p>86.3%</p> <p>Bus Stops</p> <p>24</p>	<p>COMMUNITY</p> <p>Libraries</p> <p>12</p> <p>Average Residents per Library</p> <p>66,064.3</p> <p>Markets</p> <p>16</p>	<p>TOILETS</p> <p>% Settlements with Sewer</p> <p>49.0%</p> <p>Individual Toilets</p> <p>111,403</p>



PROBLEM STATEMENT

PEOPLE LIVING
IN
SLUMS
NEED

ACCESS NETWORKS
FOR BASIC &
EMERGENCY
SERVICES, BECAUSE

~~OWNERS~~ RESIDENTS
OF INFORMAL
SETTLEMENTS OFTEN
LACK SECURITY, &
A SENSE OF PLACE
AND THE BASIC SERVICES THEY
NEED.



AMPLIFY

**OPENREBLOCK: CONNECTING
RESEARCHERS, PLANNERS
AND COMMUNITIES TO
UPGRADE URBAN SLUMS IN
INDIA AND SOUTH AFRICA.**

- Slum Dwellers International & Santa Fe Institute

open
IDEO



GLOBAL
RESILIENCE
PARTNERSHIP



IDEO-ORG



The Cities of the Future

Population projections of the 101 largest cities in the 21st century [edit]

Large [urban areas](#) are hubs of economic development and innovation, with larger cities underpinning [regional economies](#) and local and global [sustainability](#) initiatives. Currently, 757 million people reside in the 101 largest cities;^[22] these cities are home to 11% of the world’s population.^[22] By the end of the century, the world population is projected to grow, with estimates ranging from 6.9 billion to 13.1 billion;^[22] the percentage of people residing in the 101 larger cities is estimated to be 15% to 23%.^[22]

The 101 cities with the largest population projections for the years 2025, 2050, 2075, and 2100 are listed below.^[22]

Rank ↕	City ↕	Projected Population (millions) 2025 ↕	City ↕	Projected Population (millions) 2050 ↕	City ↕	Projected Population (millions) 2075 ↕	City ↕	Projected Population (millions) 2100 ↕
1	Tokyo	36.40	Mumbai	42.40	Mumbai	57.86	Lagos	76.60
2	Mumbai	26.39	Delhi	36.16	Lagos	55.26	Dar es Salaam	73.68
3	Delhi	22.50	Dhaka	35.19	Kinshasa	54.51	Mumbai	67.24
4	Dhaka	22.02	Kinshasa	35.00	Delhi	49.34	Kinshasa	63.05
5	Sao Paulo	21.43	Kolkata	33.04	Kolkata	45.09	Lilongwe	57.43
6	Mexico City	21.01	Lagos	32.63	Karachi	43.37	Delhi	57.33
7	New York	20.63	Tokyo	32.62	Dhaka	42.45	Blantyre City	56.78
8	Kolkata	20.56	Karachi	31.70	Dar es Salaam	37.49	Khartoum	56.59
9	Shanghai	19.41	New York	24.77	Cairo	33.00	Niamey	55.24
10	Karachi	19.10	Mexico City	24.33	Manila	32.75	Kolkata	52.40
11	Kinshasa	16.76	Cairo	24.04	Kabul	32.67	Kabul	59.27
12	Lagos	15.80	Manila	23.55	Khartoum	30.68	Karachi	49.06
13	Cairo	15.56	Sao Paulo	22.83	Nairobi	28.42	Nairobi	46.66
14	Manila	14.81	Shanghai	21.32	New York	27.19	N'Djamena	41.15
15	Beijing	14.55	Lahore	17.45	Tokyo	24.64	Cairo	40.54

1 NO
POVERTY



2 ZERO
HUNGER



3 GOOD HEALTH
AND WELL-BEING



4 QUALITY
EDUCATION



5 GENDER
EQUALITY



6 CLEAN WATER
AND SANITATION



7 AFFORDABLE AND
CLEAN ENERGY



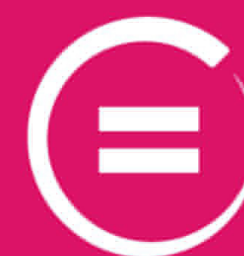
8 DECENT WORK AND
ECONOMIC GROWTH



9 INDUSTRY, INNOVATION
AND INFRASTRUCTURE



10 REDUCED
INEQUALITIES



11 SUSTAINABLE CITIES
AND COMMUNITIES



THE GLOBAL GOALS

For Sustainable Development

12 RESPONSIBLE
CONSUMPTION
AND PRODUCTION



13 CLIMATE
ACTION



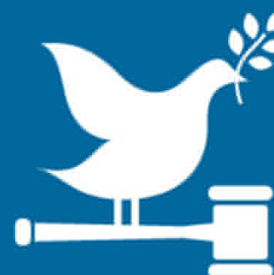
14 LIFE BELOW
WATER



15 LIFE
ON LAND



16 PEACE AND JUSTICE
STRONG INSTITUTIONS



17 PARTNERSHIPS
FOR THE GOALS





The New Urban Agenda

9. We anchor our vision in the concept of cities for all, referring to the equal use and enjoyment of cities, towns, and villages, seeking to promote inclusivity and ensure that all inhabitants, of present and future generations, without discrimination of any kind, are able to inhabit and produce just, safe, healthy, accessible, resilient, and sustainable cities and human settlements, as a common good that essentially contributes to prosperity and quality of life. Cities for all is also recognized as the Right to the City in some countries, based on a people-centered vision of cities as places that strive to guarantee a decent and full life for all inhabitants.

Provided that some groups on earth continue either muddling or revolutionizing themselves into periods of economic development, we can be absolutely sure of a few things about **future cities**:

The cities will not be smaller, simpler or more specialized as cities of today.

Rather, they will be more intricate, comprehensive, diversified and larger than today's and will have even more complicated jumbles of old and new things than ours do.

Jane Jacobs

The Economy of Cities, 1980



Challenge Questions:

1. Do **cities in 3D** show larger or smaller agglomeration effects?

Recall that :

$$\delta = \frac{H}{D(D + H)}$$

What's H so that δ stays unchanged? What does it mean?

2. We discussed **connectivity and the division of labor** as a mechanism for mutual advantage, learning and economic growth.

When does the division of labor fail?

Think of the most common professions. What's the solution?