

Oceanic acidification affects marine carbon pump and triggers extended marine oxygen holes

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Rising atmospheric CO₂ levels will not only drive future global mean temperatures toward values unprecedented during the whole Quaternary but will also lead to massive acidification of sea water. This constitutes by itself an anthropogenic planetary-scale perturbation that could significantly modify oceanic biogeochemical fluxes and severely damage marine biota. As a step toward the quantification of such potential impacts, we present here a simulation-model-based assessment of the respective consequences of a business-as-usual fossil-fuel-burning scenario where a total of 4,075 Petagrams of carbon is released into the atmosphere during the current millennium. In our scenario, the atmospheric pCO₂ level peaks at ≈1,750 μatm in the year 2200 while the sea-surface pH value drops by >0.7 units on global average, inhibiting the growth of marine calcifying organisms. The study focuses on quantifying 3 major concomitant effects. The first one is a significant (climate-stabilizing) negative feedback on rising pCO₂ levels as caused by the attenuation of biogenic calcification. The second one is related to the biological carbon pump. Because mineral ballast, notably CaCO₃, is found to play a dominant role in carrying organic matter through the water column, a reduction of its export fluxes weakens the strength of the biological carbon pump. There is, however, a third effect with severe consequences: Because organic matter is oxidized in shallow waters when mineral-ballast fluxes weaken, oxygen holes (hypoxic zones) start to expand considerably in the oceans in our model world—with potentially harmful impacts on a variety of marine ecosystems.

climate change | ocean carbon cycle | ocean carbon sink | tipping points

Assessments of carbon inventories inferred from the World Ocean Circulation Experiment (WOCE) (1, 2) have revealed an amount of ≈118 ± 19 Petagrams of carbon (Pg C), or 48% of all fossil fuel and cement-manufacturing emissions by humans, which has invaded the ocean during the period between the years 1800 and 1994 AD (3). Whereas during preindustrial times, the ocean was nearly in equilibrium with the atmosphere, the current oceanic CO₂ uptake exceeds out-gassing by about 2 Pg C per year, equivalent to 1/3 of our current CO₂ emissions (3).

When CO₂ dissolves in sea water, it reacts with water and forms true carbonic acid (H₂CO₃) that dissociates into bicarbonate (HCO₃⁻) and hydrogen ions (H⁺), which leads to an increase of pH (“ocean acidification”). The surface ocean pH has dropped from ≈8.2 to 8.1 during the last 100 years (4). The oceanic uptake of CO₂ further leads to a decrease of the carbonate ion (CO₃²⁻) concentration (CO₂ + H₂O + CO₃²⁻ ⇌ 2 HCO₃⁻) and thus to a decrease in the saturation levels for the CaCO₃ minerals calcite and aragonite.

Most of the laboratory and field studies during the past reveal that acidification of sea water will hamper biogenic calcification in marine organisms such as corals (5), coccolithophorides (6–8), and foraminifers (9), even when sea water is supersaturated with respect to calcite. Calcification rates for *Emiliania huxleyi* and *Gephyrocapsa oceanica* were found to have dropped by ≈15% and 45%, respectively, under 750 μatm CO₂. Whereas most previous laboratory studies found a decrease in biogenic calcification rates under elevated CO₂ conditions, a recent study with *E. huxleyi* reveals the

opposite (10–12). Following the line of arguments of the majority of studies published within the last decade, we assume a net decline of biogenic calcification rates under high CO₂ conditions on global scale.

Photosynthetic fixation of CO₂ by phytoplankton, the sinking of particulate organic carbon (POC) into the deep ocean and its oxidation maintains a vertical gradient in the concentration of dissolved inorganic carbon (DIC), with higher values at depth and lower values at the surface. This “biological carbon pump” (13) plays a crucial role in setting the atmospheric CO₂ concentrations on a time scale from decades to millennia. In contrast to the growth of the soft tissue of phytoplankton cells via photosynthetic fixation of CO₂ in the euphotic zone, formation of calcareous skeletons results in a release of CO₂ (14, 15). Because global precipitation of calcite as a consequence of acidification will become reduced, less CO₂ is formed by marine calcifying organisms. A reduced calcification provides a negative feedback to rising atmospheric pCO₂ levels (7) and thus contributes to stabilization of the Earth’s climate.

Below 1,000 m, a strong correlation between the export fluxes of POC and mineral particles, especially with CaCO₃, has been found (16). If the flux of mineral ballast declines, there will be less transport of POC into the deep ocean, entailing a weakening of the biological carbon pump. As a result, the above-mentioned negative “calcification feedback” on rising atmospheric pCO₂ levels is counteracted by the reduced mineral ballast transport (“ballast feedback”).

In this study, we present an investigation of the implications of a weakened mineral ballast transport on the marine biogeochemistry owing to rising atmospheric pCO₂ levels by employing the 3-dimensional ocean-atmosphere–sea ice-biogeochemistry model POTSMOM-C (see *Methods*). Although our simulations reveal a relatively weak positive ballast feedback, only partly counteracting the negative calcification feedback, the vertical profiles of the distribution of oxygen and macro nutrients will become altered remarkably. Most notably, water masses at intermediate depth will grow toward more anoxic conditions by the year 3000.

Ballast Model

The effect of mineral ballast on the export of POC in an ecosystem model was first accounted for in ref. 17. This model was extended by distinguishing between different categories of ballast (16), where the total vertical export flux of POC (F_{OC} in units of g of C m⁻²y⁻¹) below the euphotic zone is given by the fraction carried by different minerals plus an independently sinking excess flux (F_{OC}^E):

$$F_{OC} = k_{opal} \cdot F_{opal} + k_{CaCO_3} \cdot F_{CaCO_3} + k_{lith} \cdot F_{lith} + F_{OC}^E \quad [1]$$

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The dimensionless carrying coefficients [$k_{\text{opal}} = 0.026$, $k_{\text{CaCO}_3} = 0.083$, and $k_{\text{lith}} = 0.068$ (16)] link the vertically declining mass fluxes of opal (F_{opal} in $\text{g SiO}_2 \text{ m}^{-2} \text{ y}^{-1}$), CaCO_3 (F_{CaCO_3} in $\text{g of CaCO}_3 \text{ m}^{-2} \text{ y}^{-1}$), and lithogenic matter (F_{lith} in $\text{g of dust m}^{-2} \text{ y}^{-1}$) to the export flux of mineral ballasted organic matter. Given an exponentially shaped vertical flux distribution, the e-folding penetration depth of F_{OC}^E is set to 250 m, whereas for F_{CaCO_3} and F_{opal} , this quantity is set to 5,000 m and 10,000 m, respectively. For simplicity, lithogenic material is assumed to sink immediately down to the sea floor. The ballast model of (16) has been already successfully implemented in 2 OGCMs (18, 19).

Based on experimental findings (6, 7), a simple parameterization has been proposed (20), linking the carbonate ion concentration ($[\text{CO}_3^{2-}]$ in $\mu\text{mol L}^{-1}$) to the calcification rate R_{CaCO_3} , which was applied throughout this study:

$$R_{\text{CaCO}_3} \sim \exp \{0.0083 \cdot ([\text{CO}_3^{2-}] - C_0)\}. \quad [2]$$

Here, C_0 is set to $150 \mu\text{mol L}^{-1}$. An explicit dependence of R_{CaCO_3} on temperature is not considered. Note that the in situ carbonate ion concentration is related to the saturation state of sea water (Ω_{CaCO_3}) with respect to calcium carbonate (CaCO_3) via:

$$\Omega_{\text{CaCO}_3} = \frac{[\text{Ca}^+][\text{CO}_3^{2-}]}{K_{\text{SP}}}. \quad [3]$$

$[\text{Ca}^+]$ is the in situ concentration of calcium ions and K_{SP} the stoichiometric solubility product (21) for calcite. For simplicity, aragonite was neglected throughout this study. Calcite is stable for $\Omega_{\text{CaCO}_3} > 1$ and begins to dissolve when Ω_{CaCO_3} drops below 1 (due to acidification and/or due to high pressure in the deep ocean). Under present-day conditions, the ocean surface is supersaturated with respect to calcite, possessing a global mean value of Ω_{CaCO_3} of ≈ 5.7 .

Numerical Experiments

The model was integrated until reaching a steady state (spin-up of 6,000 years). This steady state is used as initial condition for the calendar year 1800. Integration from 1800 to 2000 is driven by reconstructed CO_2 emission rates (22).

For the future projections, the present study utilizes the IPCC SRES business-as-usual emission path, A1FI, beginning in the year 2000 continuing through 2100 (23) and extending it until 2200 by assuming a linear decline to zero, corresponding to a release of 4,075 Pg C in total. Between the years 2200 and 3000 the CO_2 emission rates have been kept at zero (Fig. 2A).

To infer the sensitivity of the marine biogeochemistry with respect to changes in the ballast-bound vertical transport of organic matter, we have carried out 3 different model runs, accompanied by a control run (CONTROL). The first experiment (BALLAST) employs the full-scale biogeochemistry model (see Methods) including the ballast transport equation (Eq. 1) and the $[\text{CO}_3^{2-}]$ -dependent calcification rate (Eq. 2). The second model experiment (FROZEN.BALL) deviates from BALLAST only in the calcite export flux F_{CaCO_3} entering the ballast flux equation (Eq. 1), assuming this quantity not to be affected by elevated CO_2 levels. Thus, in experiment FROZEN.BALL, the relative amount of POC carried by CaCO_3 particles remains frozen at a preindustrial level. The third experiment (FROZEN.CALC&BALL) differs from FROZEN.BALL only by additionally keeping the CO_3^{2-} concentrations in Eq. 2—the rate equation for biogenic calcification—unchanged at the preindustrial level. By comparison with BALLAST, FROZEN.BALL allows us to attribute the net effect of oceanic acidification on the ocean's biogeochemistry and atmospheric $p\text{CO}_2$ levels caused by the modified vertical ballast transport (positive ballast feedback), whereas the difference between FROZEN.CALC&BALL and FROZEN.BALL permits the quantification of the sole

impact of reduced calcification rates (negative calcification feedback).

Results and Discussion

Steady State. At initial steady state (year 1800) the model reveals a maximum strength of the Atlantic Meridional Overturning Circulation (AMOC) of 23 Sv ($1 \text{ Sv} = 10^6 \text{ m}^3 \text{ s}^{-1}$), an atmospheric $p\text{CO}_2$ of $282 \mu\text{atm}$, a net primary production (NPP) of 43 Pg C y^{-1} (estimates from observations: $35\text{--}78 \text{ Pg C y}^{-1}$, ref. 24 and references therein), and an export production (100-m level) of 8.6 Pg C y^{-1} . The calculated number for the POC export is comparable with global estimates from state-of-the-art ocean carbon cycle models (25). It was shown in ref. 26 that state-of-the-art models, based on a realistic ocean circulation provide an export production of POC of between 6 and 13 Pg C y^{-1} .

The export of POC is associated with sinking fluxes of biogenic CaCO_3 and opal, revealing globally integrated values of 1.2 Pg C y^{-1} and $84.0 \text{ Tmol of Si y}^{-1}$, respectively. Global estimates (27) provide values of CaCO_3 export fluxes ranging from 0.5 to 1.8 Pg C y^{-1} , whereas $89\text{--}200 \text{ Tmol of opal per year}$ are reported to sink out of the euphotic zone. Whereas the modeled steady-state CaCO_3 export value lies within the range of literature data, the value for opal appears to be slightly underestimated. However, this slight underestimation will not affect our model results, because opal plays only a minor role for the ballasted vertical transport of POC and does not depend on the atmospheric $p\text{CO}_2$ level. For more details, see Fig. S1.

The model provides a global mean export ratio between CaCO_3 and POC (rain ratio) of $\approx 0.12 \text{ mol of C (mol C)}^{-1}$, which is also in line with observation-based estimates between 0.06 ± 0.03 (28) and 0.12 (27). Fig. 1A shows the relationship between the calcite saturation state Ω_{CaCO_3} within the upper 100 m and the CaCO_3/POC rain ratio for each model grid point. Low values of Ω_{CaCO_3} and CaCO_3/POC usually occur in cold waters, whereas high values are typical for the warm tropics.

The vertical flux of POC within the water column can be decomposed into a deep-sinking part, carried by minerals, such as CaCO_3 , opal, and lithogenic particles from aeolian dust, and an excess POC component, which is approximately restricted to the upper 1,000 m. Thus, fluxes of POC below 1,000 m are mostly bound to minerals, whereby the CaCO_3 -associated component dominates and contributes to $>80\%$ of the total flux (Fig. 1B).

Anthropogenic CO_2 Forcing. Imposing anthropogenic emissions at rates depicted in Fig. 2A entails an accumulation of CO_2 in the atmosphere, which leads to rising $p\text{CO}_2$ levels up to $1,750 \mu\text{atm}$ in the year 2200 (Fig. 2E). Subsequently, CO_2 levels descend during the emission-free era to $1,400 \mu\text{atm}$ in the year 3000 (Fig. 2E). As a consequence, the globally averaged sea surface pH value drops from 8.15 in 1800 to 7.45 in 2200, relaxing to a value of 7.6 in year 3000. In accord with descending pH values, the sea surface $[\text{CO}_3^{2-}]$ and, hence, Ω_{CaCO_3} decrease (Fig. 1A), resulting in a drop of the CaCO_3/POC export ratio by $>75\%$ by the year 2200. Reduced export of CaCO_3 diminishes the ballast-bound export flux of POC (F_{CaCO_3}) into the abyssal ocean tremendously. Below 1,000 m, the global mean export of POC (F_{OC}) is reduced by $>70\%$ (Fig. 1B).

The increase in sea surface temperature (SST) (Fig. 2B) results in a higher stratification of the upper ocean, causing a reduced ventilation of the deep ocean and a weakening of the global export production by the year 2100 (Fig. 2D). The maximum strength of the AMOC weakens from 23 to 12 Sv by the year 2100. Subsequently, because of a northward shift of the deep-water formation sites, the AMOC grows back to its preindustrial strength by the year 2400. This strengthening of the AMOC is accompanied by a recovery of the global export production. In case of experiment BALLAST, its preindustrial value of 8.6 Pg C y^{-1} is even exceeded by $\approx 15\%$ (see Fig. 2D).

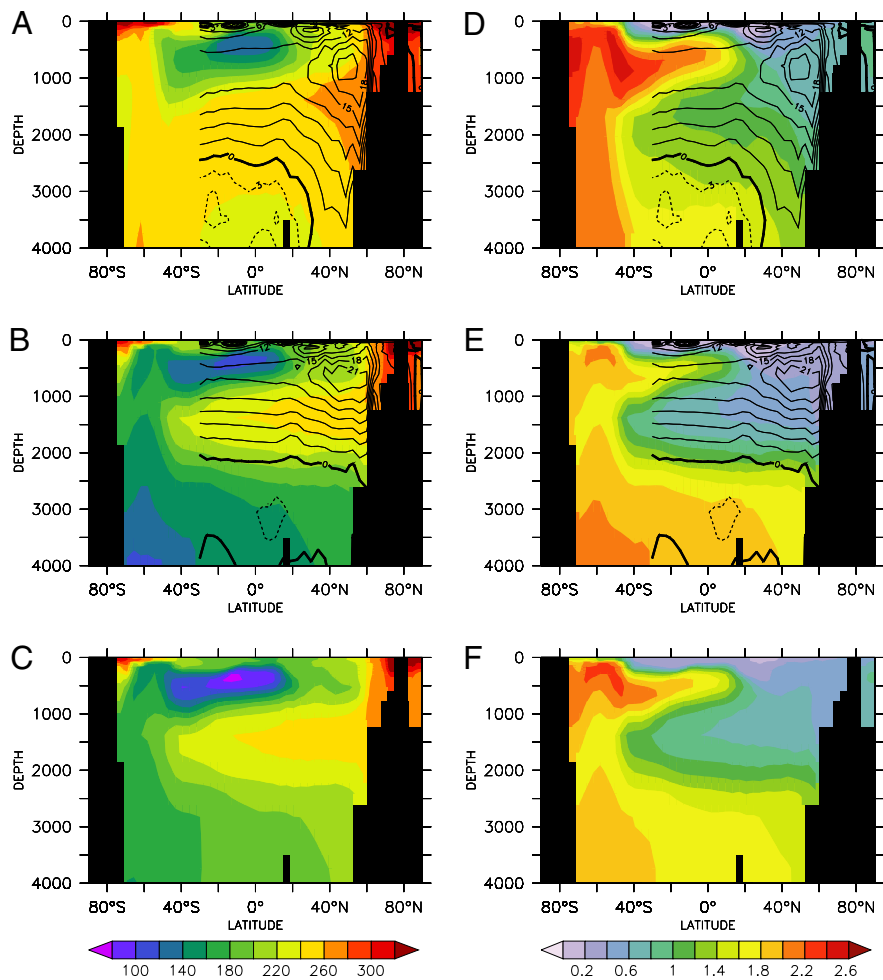


Fig. 3. Atlantic section along 21° W. (A) Color, preindustrial dissolved oxygen concentration in $\mu\text{mol L}^{-1}$; contours, preindustrial AMOC stream function north of 30° S in Sv. The contour interval is 3 Sv. (B) Color, O₂ concentration at the end of the millennium without accounting for the ballast effect (experiment FROZEN.BALL); contours, AMOC stream function north of 30° S at the end of the millennium. The contour interval is 3 Sv. Although the maximum overturning strength of the AMOC is comparable with preindustrial values, the inflow of AABW into the Atlantic Ocean is reduced to almost zero. This is mainly caused by the increased melting rate of the Antarctic ice shelf under global warming. Employing the parameterization given in ref. 37 provides a melting rate of $\approx 147 \text{ mSv}$ ($1 \text{ mSv} = 10^3 \text{ m}^3 \text{ s}^{-1}$) by the end of the millennium. This is ≈ 5 times the preindustrial melting rate provided by the model. (C) O₂ concentrations employing the full-scale biogeochemistry model BALLAST at the end of the millennium. The ballast effect increases the hypoxia at the equator between 200 and 800 m in comparison with B by lowering the O₂ concentrations by $\approx 30 \mu\text{mol L}^{-1}$. (D) Same as in A but for phosphate. (E) Same as in B but for phosphate. (F) Same as in C but for phosphate. Units are $\mu\text{mol L}^{-1}$.

Ballast Feedback. The effect of the positive ballast feedback has been inferred from the comparison of experiments **BALLAST** and **FROZEN.BALL**. In case of **FROZEN.BALL** under anthropogenic CO₂ emissions, the atmospheric $p\text{CO}_2$ level rises to nearly the same value by year 2200 as in case of **BALLAST** (see *Anthropogenic CO₂ Forcing*, above), however, as mentioned above, reaching a lower level of $1,325 \mu\text{atm}$ by the end of this millennium. The small but significant difference of $75 \mu\text{atm}$ between **BALLAST** and **FROZEN.BALL** in the year 3000 (see Fig. 2E) can be explained as follows: According to Eq. 2, biogenic calcification, and hence CaCO₃ export, is reduced by 75% in both experiments at the maximum $p\text{CO}_2$ level projected to be attained in the year 2200. In contrast to **FROZEN.BALL**, in experiment **BALLAST**, the vertical export of deeply sinking CaCO₃-bound POC weakens as $[\text{CO}_3^{2-}]$ decreases in the mixed layer. As a result, POC accumulates and is oxidized at shallower depth, leading to a change in the vertical profile of DIC toward slightly higher values in the upper ocean combined with increased $p\text{CO}_2$ values at the sea surface. Hence, the reduced flux of CaCO₃ under a more acid environment mediates a positive feedback on rising atmospheric $p\text{CO}_2$ levels. Although in experiment **BALLAST**, the export production increases after the year 2300 under high CO₂ conditions compared with preindustrial values by 15%, the reduced availability of mineral ballast entails a weakened marine biological carbon pump.

According to our model setup, the net effect of oceanic acidification on atmospheric $p\text{CO}_2$ is the sum of a reduction of the atmospheric $p\text{CO}_2$ due to the negative calcification feedback and an increase of $p\text{CO}_2$ due to the positive ballast feedback. Hence, we are left with a net reduction of only $50 \mu\text{atm}$ instead of the $125 \mu\text{atm}$

when not accounting for the positive CO₂-ballast feedback at the end of the current millennium.

Global Warming and Ocean Hypoxia. As SSTs rise under elevated atmospheric greenhouse gas concentrations (Fig. 2B), the solubility of oxygen will decline remarkably (14). Moreover, the increased upper-ocean stratification due to rising temperatures will weaken the deep-ocean ventilation (31). Deep and intermediate water mass formations, mostly occurring in polar and subpolar regions, are regarded as the main processes conveying oxygen from the sea surface down to the ocean's interior, whereas oxidation of sinking POC decreases the oxygen content in the water column. As a result, oxygen-depleted zones can mostly be found underneath zones of high biological production such as the equatorial upwelling areas in the Pacific and Atlantic Oceans at depths of between 200 and 800 m (Fig. 3A).

In our model, under preindustrial and present-day conditions, Antarctic Bottom Water (AABW) is mostly formed by sporadic convection events during the austral winter in the Weddell Sea Gyre. Antarctic Intermediate Water (AAIW) forms by mixing and subduction processes at various places in the Southern Ocean between the Subantarctic and Antarctic Polar Front. The North Atlantic Deep Water (NADW) is formed by deep convection in the Nordic Seas and the Labrador Sea.

Given that future anthropogenic CO₂ emissions will follow the SRES A1FI path, the North Atlantic overturning will become reduced by $\approx 50\%$ between the years 2100 and 2300, although recovering to its initial strength by the year 2400. AABW and AAIW formation, however, will rapidly become inhibited in the

(PHY), zooplankton (ZOO), particulate organic carbon (POC), particulate inorganic carbon (CaCO₃), and dissolved organic carbon (DOC). In addition to this configuration, we have implemented models of the silicate (SiOH₄), nitrate (NO₃), and iron (Fe) cycle. The SiOH₄ model was adapted from ref. 40, whereas the NO₃ cycle was implemented according to ref. 41. Based on observations, which have been discussed in ref. 40, the biogenic opal-to-carbon export ratio ($\frac{Si}{C}$) is assumed to depend on the ambient SiOH₄ and dissolved Fe concentration, which is larger for high [SiOH₄] and low [Fe] conditions and vice versa. The model accounts for denitrification in regions with O₂ concentrations <10 μmol L⁻¹ and parameterizes nitrogen fixation in the ocean surface by simply restoring the NO₃ concentrations toward the P/N = 1:16 Redfield stoichiometry. The iron cycle model was adopted from Parekh *et al.* (42, 43), where a uniformly distributed organic ligand (44) prevents dissolved iron from becoming scavenged and precipitated within the water column and permits the simulation of realistic iron concentrations in the ocean. Aeolian dust fluxes, imposed from simulations with the GISS atmospheric model (45), have been assumed to be the only source of dissolved iron. For numerical simplicity, the Fe/C ratio during biological uptake, export, and remineralization is kept at a mean community value of 5 μmol L⁻¹/1 mol L⁻¹ (46).

The growth rates of phytoplankton have been parameterized as a function of photosynthetically available radiation (PAR), temperature, mixed layer depth, and the concentrations of micro and macro nutrients, where only the scarcest nutrient available is regarded to be the limiting factor (Liebig's law). The biogeochemistry model is isogeochemical, i.e., chemical weathering and exchange processes with the oceanic sediment are not included.

The steady-state vertical distribution $F_i(z)$ of the export flux of POC and mineral ballast below 100 m is calculated according to

$$F_i(z) = F_i(100 \text{ m}) \cdot \exp\left\{-\left(z - 100 \text{ m}\right)/z_{pi}\right\},$$

where z_{pi} is the e-folding penetration depth of the compartment i in meters, which was chosen such that the globally averaged shape of $F_{oc}(z)$ (see Eq. 1) resembles the Martin curve (47).

Air-sea gas transfer coefficients are taken from ref. 48, and CO₂ solubility and the carbonate system equilibrium constants are taken from ref. 49. The coupled ocean-sea ice-atmosphere-biogeochemistry model is referred to as POTSMOM-C.

Anthropogenic Forcing. Because POTSMOM-C includes an anomaly model of the atmospheric energy-moisture balance (for details see ref. 34), which is applicable only when accounting for the effects of small deviations from a given climatology, the transient response of atmospheric variables on elevated CO₂ levels, such as surface temperature, relative humidity, and precipitation, have to be imposed from a climate model. Here, we use results from a climate projection by employing CLIMBER-3α (31), which has followed the same anthropogenic emission path between the years 1800 and 2400 as in the present study. Because the CLIMBER-3α simulation ends in 2400 AD, we continue to apply the atmospheric anomalies from this last model year to the subsequent years until 3000 AD. Therefore, the atmospheric anomalies between years 2400 and 3000 have to be regarded as an upper bound.

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