

# Maximum Entropy:

## I. A Framework for Theory Construction

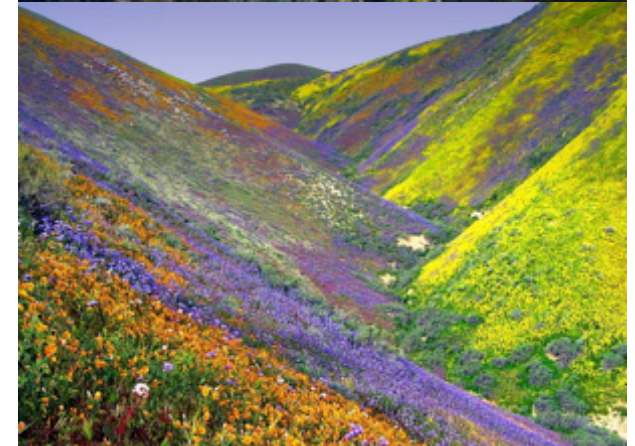
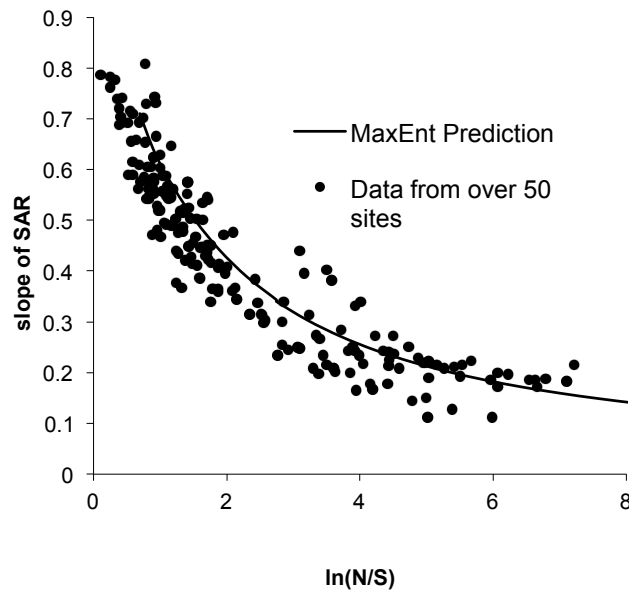
## II. An application to Community Ecology

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June 19,20, 2017



# **The Complex Systems Dilemma**

## **The example of ecology:**

- **Vast list of mechanisms & traits:**  
predation, mutualism, competition, dispersal, speciation, birth, death, pollination, cannibalism, migration, numerous biogeochemical processes, body size, speed, phenology, food preferences, rooting depth, mating strategies, coloration, mimicry, temperature/drought tolerance, nutrient acquisition strategies, SLA, decoying, masting, density dependence, sociality, Allee effect, disease/disease resistance, signalling ... etc.
- **Multiple abiotic factors that certainly exert influence**
- **Stochasticity**
- **Historical contingency**
- **Ambiguous system boundaries**
- **Difficulty conducting large-scale *controlled* experiments**
- **Difficulty isolating particular stresses acting on the system**
- **Increasing degradation/loss of objects of study**

**Basing complex systems models & theory  
on explicit mechanisms & traits  
often results in**

**Arbitrary choices of governing mechanisms/traits**

**Many adjustable parameters**

**Models/theory that are not readily falsifiable.**

# Ways to Predict the Form of Patterns in Complex Systems

1. Models based on explicit statistical assumptions:
  - e.g., Random placement model (e.g., Coleman)
  - Fractal models (e.g., Kunin; Richards; Green et al.)
2. Models incorporating specific combinations of governing mechanisms:
  - e.g., Stochastic demographic Neutral Model (e.g., Hubbell)
  - Community Lotka-Volterra-type models (Chesson; many authors)
  - GCM's in Climate Science
3. Semi-statistical, Semi-mechanistic Models:
  - Climate envelope models used to project species loss under climate change
  - e.g., Margaret Davis, Thomas *et al.*; Loarie et al.
4. New application of something old: Information Theory/MaxEnt approach
  - (Harte et al., Ecology 2008; Ecology Letters, 2009; 2015; Trends in Ecol. & Evol. 2014; Harte, 2011)



# **A General Rationale**

## **for the use of the concept of information entropy in science:**

1. In science we generally **begin with prior knowledge** and seek to expand that knowledge.
2. **Knowledge is not absolute, but rather probabilistic** in nature, and thus the expanded knowledge we seek can often be expressed mathematically in the form of presently unknown probability distributions.
3. Our **prior knowledge** can often be expressed **in the form of constraints** on those unknown distributions.
4. We seek **expanded knowledge that is “least biased”**, in the sense that the expanded knowledge does not assume anything about the distributions other than the information contained in our prior knowledge.

# Information Theory and Maximum Entropy

1940's – 1960's: Claude Shannon, Edwin Jaynes ...formal & rigorous theory

The basic ideas go back to Laplace, late 1700's, and were used in the development of the theory of thermodynamics by J. Willard Gibbs ~ 1900.

Suppose you wish to know the form of a probability distribution  $P(n)$ ?

All you know is some prior information about  $P(n)$  in the form of constraints.

For example: you might know the mean value, or the variance, or some combination of moments of the distribution.

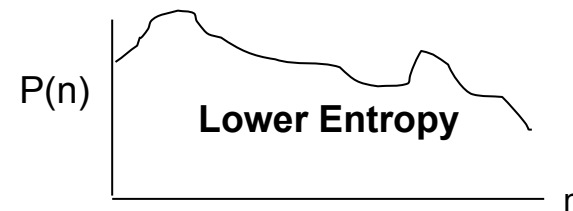
What can you infer is the least biased form of the probability distribution?

# The Maximum Information Entropy Concept: Just what is being maximized?

Here “**entropy**” refers to **information entropy**,  $I = - \sum_n P(n) \log(P(n))$ ,

Information entropy is a measure of the lack of structure or detail in the probability distribution describing your knowledge.

Maximizing information entropy  $\leftrightarrow$  finding the smoothest possible probability distribution that is compatible with the constraints that arise from prior knowledge.



**If both of these distributions are consistent your prior knowledge, you should prefer the one with higher information entropy. It makes fewer implicit unwarranted assumptions**

# A “derivation” of the main result

**Constraints:**  $\sum_n f_k(n)p(n) = \langle f_k \rangle$   $\sum_n p(n) = 1$  ( $k = 1, \dots, K = \# \text{ constraints}$ )

**Maximize:**  $I_I = -\sum_n p(n) \log(p(n))$  over the function  $p(n)$

**Approach:** let  $W = -\sum_n p(n) \log(p(n)) - \lambda_0 (\sum_n p(n) - 1) - \sum_k \lambda_k (\sum_n f_k(n)p(n) - \langle f_k \rangle)$   
( $\lambda$ 's are Lagrange Multipliers)

$$dW / dp = -\log(p(n)) - 1 - \lambda_0 - \sum_k \lambda_k f_k(n) = 0$$

**Solution:**

$$p(n) = \frac{e^{-\sum_{k=1}^K \lambda_k f_k(n)}}{Z(\lambda_1, \lambda_2, \dots, \lambda_K)}$$

**Where:**

$$Z = \sum_n e^{-\sum_{k=1}^K \lambda_k f_k(n)}$$

$$\frac{\partial \log(Z)}{\partial \lambda_k} = -\langle f_k \rangle$$

## Some examples of outcomes of the MaxEnt procedure:

Let the constraints be of the form:  $\mathbf{F}_k = \langle \mathbf{f}_k(\mathbf{n}) \rangle = \sum_n \mathbf{P}(\mathbf{n}) \mathbf{f}_k(\mathbf{n})$

| Constraint function $f(n)$                                       | Form of $P(n)$   |
|--|--|
| $n$  | $e^{-\lambda n}$                                       |
| mean = $(n_{\max} + n_{\min})/2$<br>(e.g., 6-sided fair die)     | $1/(n_{\max} - n_{\min} + 1)$<br>(e.g., $P(n) = 1/6$ ) |
| $n, n^2$   | Gaussian (normal) distribution                         |
| $\log(n), \log^2(n)$   | Lognormal distribution                                 |
| $\log(n)$  | $n^{-\lambda}$ (i.e., power law)                       |
| Discrete constraints, $P(n_i) = a_i$ ,<br>can be handled as well |  |

# **Some past applications of MaxEnt:**

- 1. Improving image resolution in medicine, forensics (Skilling, ...)**
- 2. Inferring values for gaps in economic data such as in input-output tables (George Judge, Amos Golan)**
- 3. Deriving the laws of stat. mech./thermodynamics (Jaynes)**
- 4. Improving estimation of climate envelopes for species (Elith, Phillips) Predicting linkage distributions in networks**
- 5. Predicting linkage distributions in networks**

# A brief historical detour




# Origins of MaxEnt: The Laplace Problem

*An urn  
with red  
and blue  
balls in  
it.*



Initially in urn:

 # = ?

 # = ?

You reach in and pull out a **red ball**

What is the probability that if  
you reach in a second time  
you will get **a red ball**?

# MILESTONES IN THE DEVELOPMENT OF INFORMATION THEORY

**Laplace:** Rule of Succession & Principle of indifference

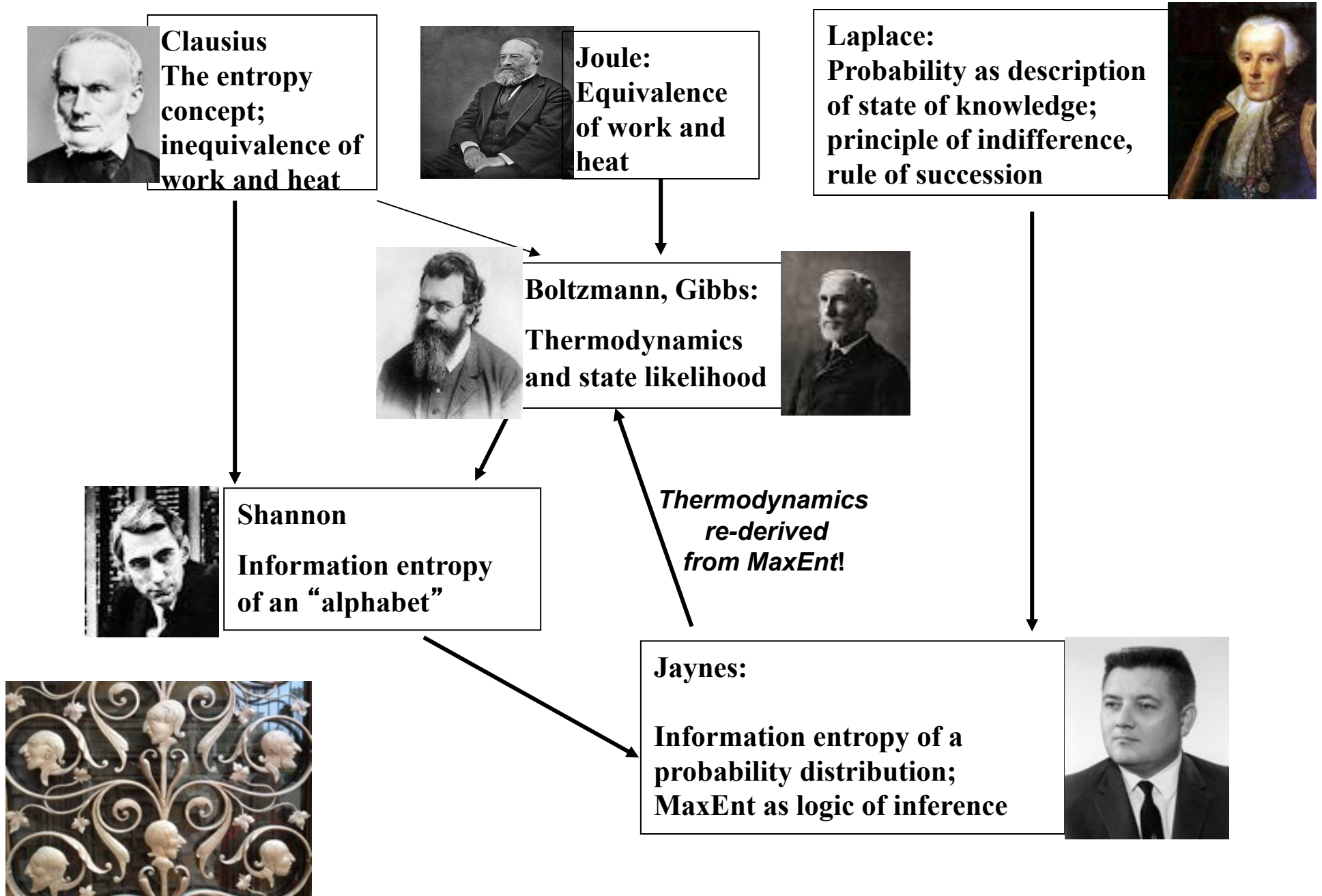
**Shannon:** Information entropy of a message

**Szilard, Landauer:** bit of information  $\Leftrightarrow$   $kT$  of energy

**Jaynes:** Objective Bayes, MaxEnt

**Wheeler:** “It from Bit”: The suggestion that the laws of physics can be cast in terms of information....only information is truly fundamental.

# Information and Thermodynamics



“Information, in information theory,  
is equivalent not to order but to disorder  
in the sense that it takes more binary decisions—  
more ones and zeros, more bits of information—  
to describe disorderly situations of objects than orderly ones.”

Schneider and Sagan (2005)

“To the physicist, entropy is a measure of uncertainty about the  
state of a physical system: one state among all the possible states  
it can be. These microstates may not be equally likely,  
so the physicist writes the formula  $S = -\sum p \log(p)$ .

To the information theorist, entropy is a measure of uncertainty  
about a message: one message among all the possible messages  
that a communications source can produce. The possible  
messages may not be equally likely,  
so Shannon writes the formula  $H = -\sum p \log(p)$ .”

Gleick (2011)

# Maximum Entropy Theory Construction

Top Down

**Macroscale:**

**State variables:**



***MaxEnt***

**Microscale:**

**Probability  
distributions for:**

Abundances of species  
Speakers of languages  
Profits of companies  
Sizes of organisms  
Speeds of molecules  
Linkages per node  
ETC. ETC.

# MaxEnt and the State Variable Concept

In Thermodynamics, these **macro-scale state variables** characterize the system:

***P: pressure***

***V: volume***

***T: temperature***

***n: number of moles***

From the constraints imposed by knowledge of the values of these state variables MaxEnt predicts:

**Boltzmann distribution of energy levels, entropy law, equipartition, binomial distribution of molecules in space, ETC. (Jaynes 1957a, b)**

In contrast, the Boltzmann derivation of thermodynamics

(maximize  $S = k \log(W)$  )

is a bottom-up approach.

# A Speculation about Complexity:

## **Complex Systems vs. “Hyper-Complex Systems”**

**The former: Systems that can be decomposed into a macro- and a micro-level.**

E.G.

Thermodynamics (bulk properties such as pressure vs. molecular kinetic energies)

Economics (firms, nations, total wealth vs. individual incomes)

Linguistics (languages vs. speakers)

Ecology (bulk properties such as species richness, # individuals, total metabolism vs. distributions of individuals among species or metabolism among individuals)

These are the type systems for which MaxEnt has a natural application.

**The latter: Complexity that cannot be decomposed in that way. Complexity across an effective continuum of scales.**

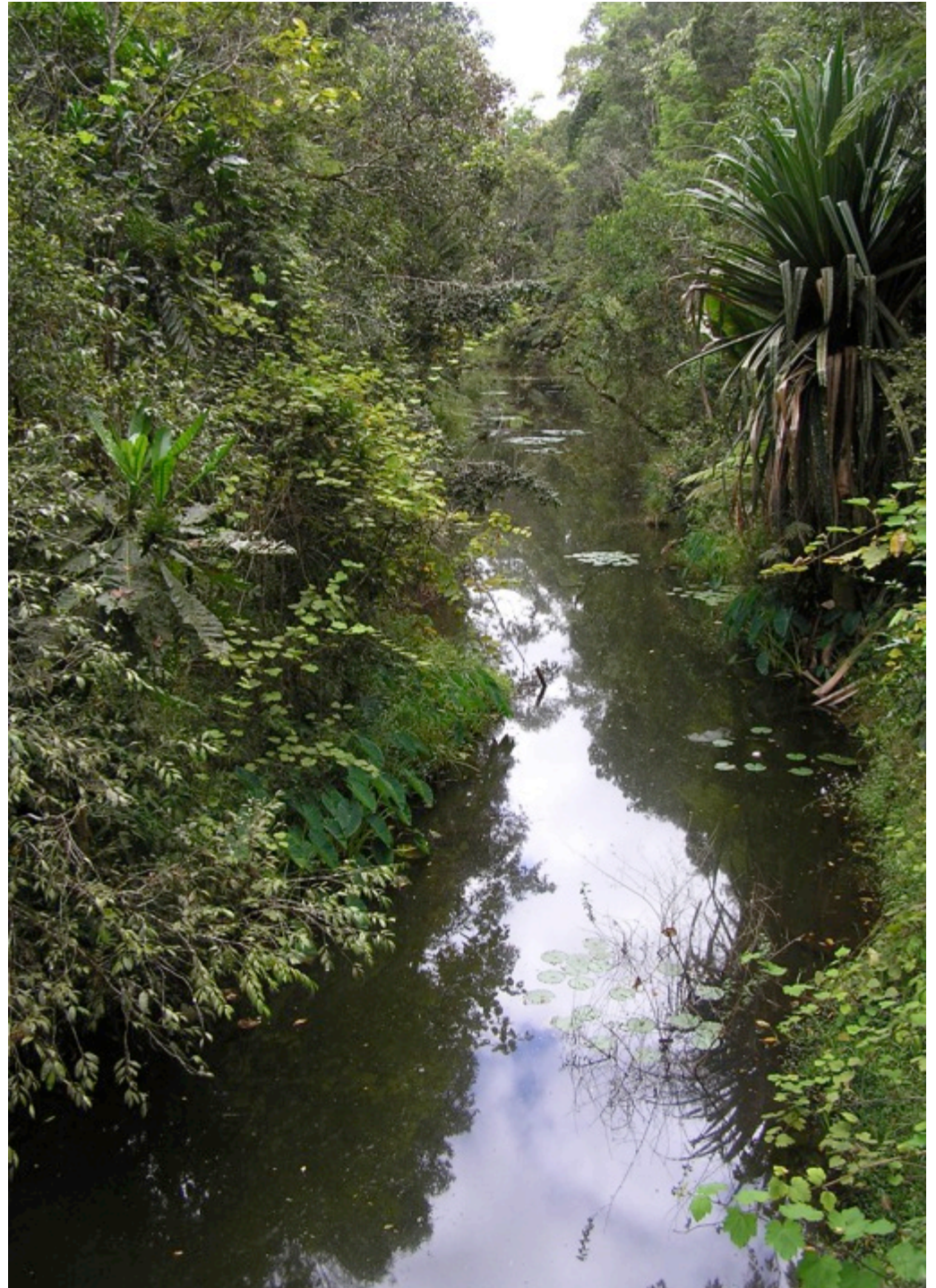
E.G., Turbulence

**We do not know how to apply MaxEnt to systems of the latter type, which renders them hyper-complex!**



*“It is interesting to contemplate  
an entangled bank,  
clothed with many plants of  
many kinds,  
with birds singing on the bushes,  
with various insects flitting  
about,  
and with worms crawling  
through the damp earth,  
and to reflect that these  
elaborately constructed forms,  
so different in each other,  
and dependent on each other in  
so complex a manner,  
**have all been produced by  
laws acting around us.”***

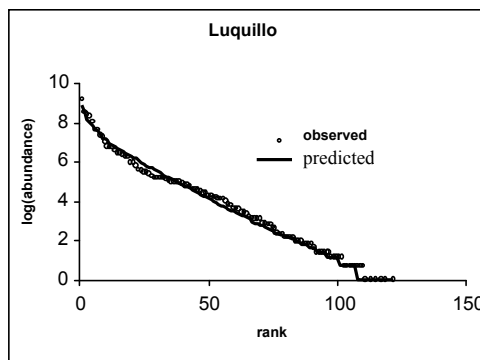
Charles Darwin  
concluding paragraph of Origin  
of the Species



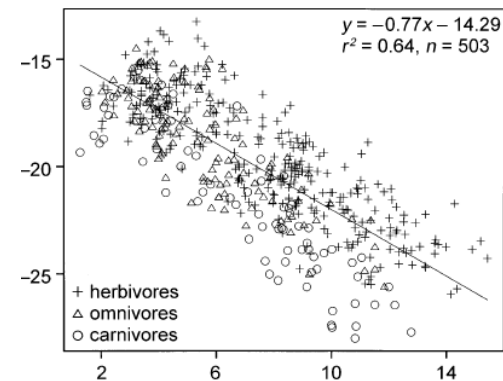
# Macroecology: Patterns in the abundance, spatial distribution, & energetics of species...

Of special interest: patterns that are widely observed across habitats, taxa, & spatial scales

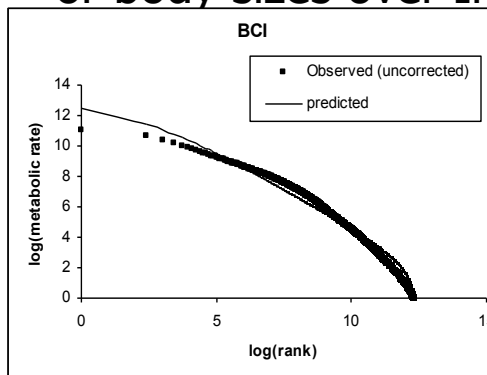
Species-Abundance Distribution



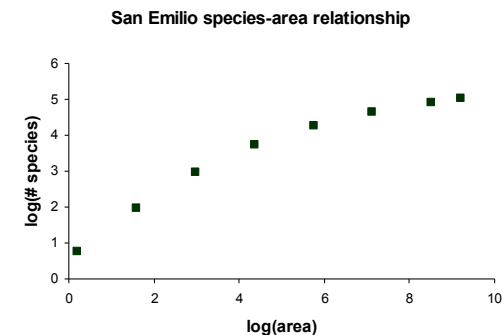
Body Size-Abundance Relationship

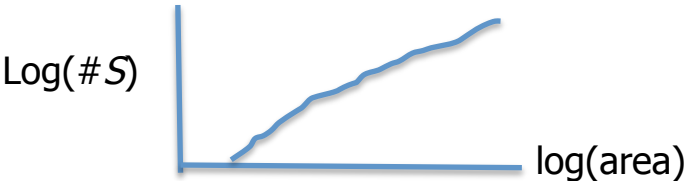

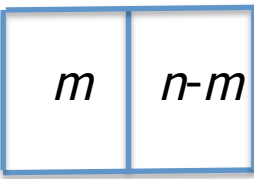
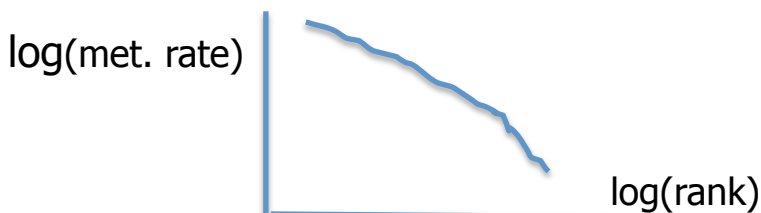
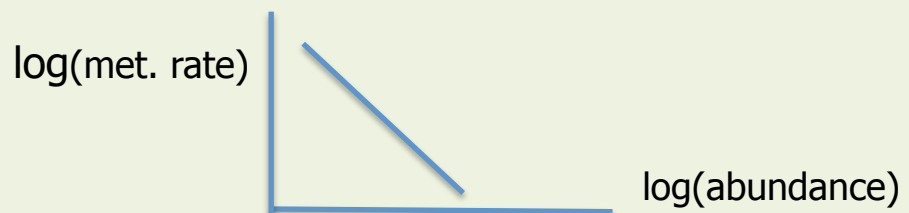


Distribution of Metabolic Rates or body sizes over Individuals



Species-Area Relationship



| Metric   | Prevalent Pattern in Ecology   |  |
|--|--|--|
| Species-Area Relationship                                      |  <p>Log(<math>\#S</math>)</p> <p>log(area)</p> | $S$ increases with area faster than $\log(A)$ and slower than $A^Z$                                    |
| Species-Abundance Distribution                                 |  <p>log(<math>n</math>)</p> <p>rank</p>        | $\sim$ Fisher log-series Distribution (hockey stick)   |
| Spatial-Abundance Distribution: aggregation across a bisection |  <p><math>m</math>   <math>n-m</math></p>      | All partitions ( $m$ ) of $n$ individuals in a species are equally likely (Laplace rule of succession) |
| Distribution of Metabolic Rates over Individuals               |  <p>log(met. rate)</p> <p>log(rank)</p>      | $\sim$ Power-law with exponential tail   |
| Metabolism-Abundance Relationship                              |  <p>log(met. rate)</p> <p>log(abundance)</p> | Slope = -1   |

# Species-Level Metrics

| Symbol and Name of Metric                |   | Description of Metric   |
|--|---|---|
| $\Pi(n A, n_0, A_0)$                     | intra-specific spatial-abundance distribution | probability that $n$ individuals of a species are found in a cell of area $A$ if it has $n_0$ individuals in $A_0$ .  |
| $B(A n_0, A_0)$                          | box-counting range-area relationship          | dependence on cell size of a box-counting measure of range for a species with $n_0$ individuals in $A_0$ .  |
| $C(A, D n_0, A_0)$                       | intra-specific commonality                    | dependence on $A$ and $D$ of the fraction of pairs of cells of area $A$ , separated by a distance $D$ , that both contain a species with $n_0$ individuals in $A_0$ .                     |
| $\Omega(D n_0, A_0)$                     | O-ring measure of aggregation                 | average over each occurrence of an individual, of the density of individuals within a narrow ring at a radius $D$ , divided by the density in the ring expected in a random distribution. |
| $\Theta(\varepsilon n_0, S_0, N_0, E_0)$ | intra-specific energy distribution            | probability density function for an individual from a species with $n_0$ individuals to have a metabolic energy rate between $\varepsilon$ and $\varepsilon + d\varepsilon$ .             |
| $\Delta(D n_0, A_0)$                     | intra-specific dispersal distribution         | probability density function for a species with $n_0$ individuals in $A_0$ to have a dispersal distance between $D$ and $D + dD$  |



# Community-Level Metrics

| Symbol and Name of Metric         |  | Description of Metric   |
|-----------------------------------|--|---|
| $\Phi(n S_0, N_0, A_0)$           | species-abundance distribution (SAD)   | probability that in a community with $S_0$ species and $N_0$ individuals, a species has abundance $n$   |
| $S(A S_0, N_0, A_0)$              | species-area relationship (SAR)        | average number of species in a cell of area $A$ if $S_0$ species in $A_0$   |
| $S(N S_0, N_0)$                   | collector's curve                      | average number of species found in a random sample of $N$ individuals   |
| $E(A S_0, N_0, A_0)$              | endemics-area relationship (EAR)       | average number of species unique to cell of area $A$ if $S_0$ species in $A_0$  |
| $X(A, D S_0, N_0, A_0)$           | species turnover or "beta diversity"   | average fraction of the species in cells of area $A$ that are found in common to two cells of area $A$ a distance $D$ apart, if $S_0$ species in $A_0$ .  |
| $\Psi(\varepsilon S_0, N_0, E_0)$ | community energy distribution          | probability for an individual in a community with $S_0$ species, $N_0$ individuals, and total metabolic rate, $E_0$ , to have metabolic rate between $\varepsilon$ and $\varepsilon + d\varepsilon$ |
| $A(l S_0, L_0)$                   | link distribution in a species network | probability that a species in a network with $S_0$ species and $L_0$ links is connected by $l$ links to all other species   |

# Why do we care about patterns and metrics in ecology?

## 1. Extinction rates under habitat loss.

25% of Amazon rain forest has been cut.  
How many species lost?



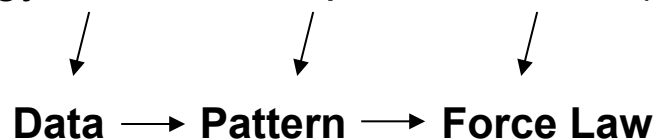
## 2. Scaling up biodiversity.

How many species of arboreal beetles  
in the Amazonian rain forest?



## 3. Inferring process from pattern

(analogy: *Brahe* -> *Kepler* -> *Newton*)



# **The Goal of the Maximum Entropy Theory of Ecology (METE)**

**To predict the shape of static and dynamic  
macro-scale patterns in ecology**

- **Across taxa:** plants, arthropods, birds,...
  - **Across spatial scale:** small patches to large biomes
  - **Across habitats:** forests, meadows, deserts, tundra,...
- 
- **without adjustable parameters**
  - **without pre-judging what specific mechanisms drive the system**



# The ASNE Model of METE

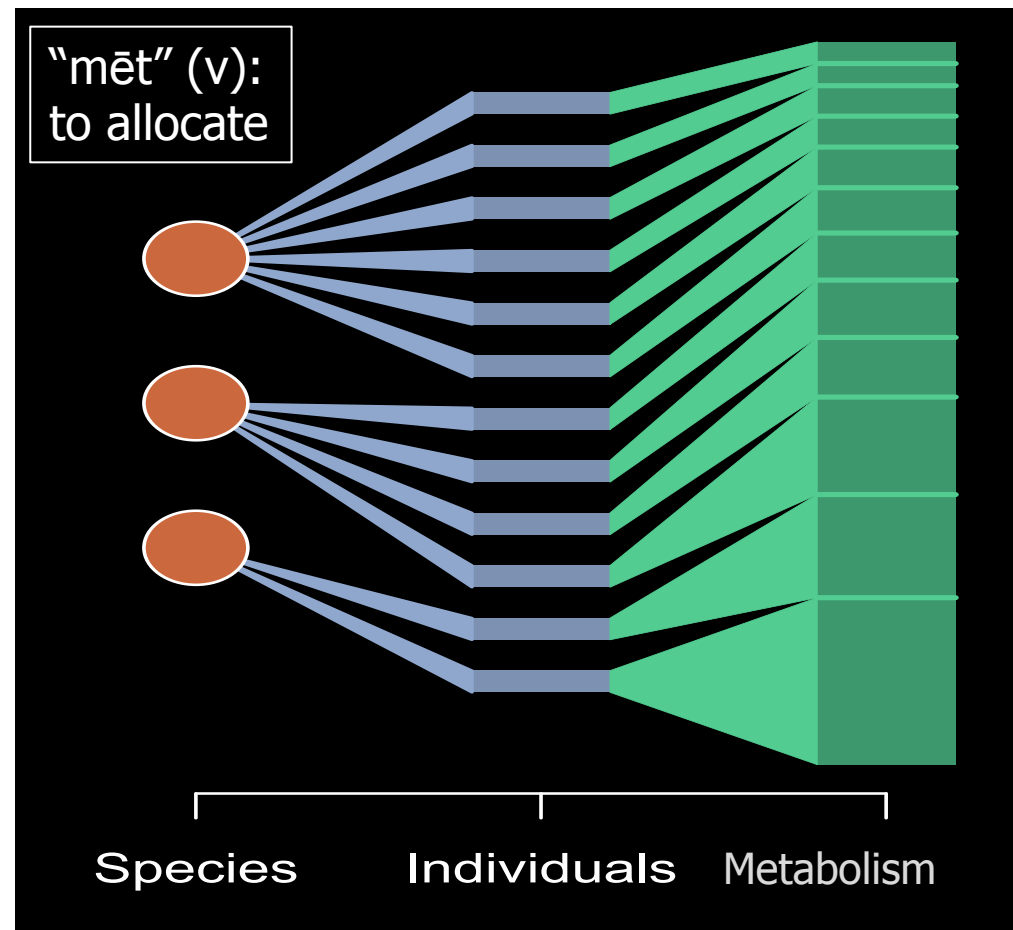
The original ASNE realization of METE starts with the State Variables:

A = Area; S = # species; N = # individuals; E = total Metabolic throughput

And allocates energy to individuals and individuals to species in a least biased way

ASNE predicts the:

- **Species Abundance Distribution**
- **Species-Area Relationship**
- **Endemics-Area Relationship**
- **Spatial Distributions of Individuals**
- **Metabolic Rate Distributions**



# The ASNE model of the Maximum Entropy Theory of Ecology

**Two probability distributions comprise this model:**

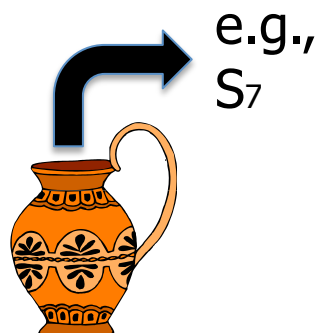
1.

$$R(n, \varepsilon | S_0, N_0, E_0)$$

abundance
metabolic rate
state variables

$R$  is defined over the species and individuals in an area  $A_0$ .

a. Choose a species from the species urn.



Species urn

b. Choose an individual from the individuals urn for that species.



$S_1$

...



$S_7$

Individuals urns

...



$S_s$   
0

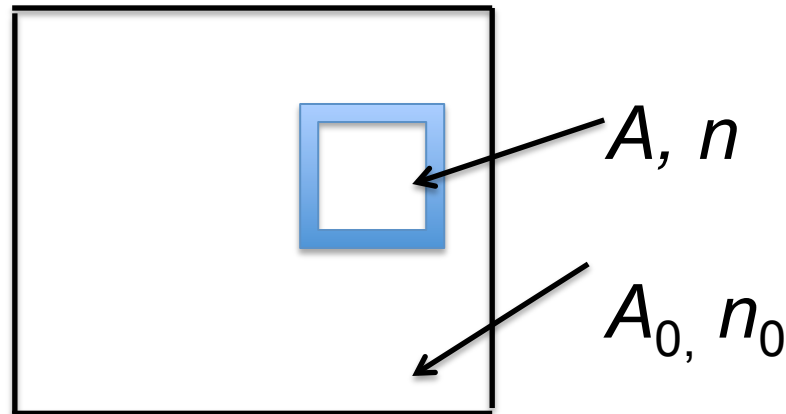
c.  $R$  is the probability that the chosen species has  $n$  individuals and that the chosen individual has metabolic rate  $\varepsilon$ .

2. ... and a species-level spatial distribution,

$$\Pi(n \text{ in } A | n_0 \text{ in } A_0)$$

describing aggregation of individuals within species:

$\Pi =$   
probability  
that  $n$  individuals  
in  $A$  if  $n_0$  in  $A_0$



From  $R$  and  $\Pi$ , most of the metrics  
of macroecology can be derived.

## ASNE model of METE

$$R(n, \varepsilon \mid S_0, N_0, E_0) = \frac{e^{-\lambda_1 n} e^{-\lambda_2 n \varepsilon}}{Z(\lambda_1, \lambda_2)}$$

**The Ecosystem  
Structure Function**

$$\Pi(n \mid n_0, A, A_0) = \frac{e^{-\lambda_{\Pi} n}}{Z_{\Pi}}$$

**Species-level Spatial  
distribution: probability  
 $n$  individuals in a cell of  
area  $A$  if  $n_0$  in  $A_0$**

**No derivations here: you  
have to trust me on the  
math...or do it yourself.**

$$\beta = \lambda_1 + \lambda_2$$

$$\beta \ln(1/\beta) = S/N$$

$$\lambda_2 = S/E$$

$$R(n, \varepsilon \mid S_0, N_0, E_0) = \frac{e^{-\lambda_1 n} e^{-\lambda_2 n \varepsilon}}{Z(\lambda_1, \lambda_2)}$$

MaxEnt gives

$$\Pi(n \mid n_0, A, A_0) = \frac{e^{-\lambda_{\Pi} n}}{Z_{\Pi}}$$

*(Integrating  
over  $\varepsilon$ )*

$$\Phi(n) = \frac{1}{\lambda_2 Z n} e^{-\beta n}$$

**The Fisher log series  
Species Abundance  
distribution**

**There are no adjustable  
parameters: the state variables  
uniquely determine this and the  
other metrics**

$$R(n, \varepsilon \mid S_0, N_0, E_0) = \frac{e^{-\lambda_1 n} e^{-\lambda_2 n \varepsilon}}{Z(\lambda_1, \lambda_2)}$$

MaxEnt gives

$$\Pi(n \mid n_0, A, A_0) = \frac{e^{-\lambda_\Pi n}}{Z_\Pi}$$

(Multiplying by  $nS/N$   
and summing over  $n$ )

$$\Psi(\varepsilon \mid S_0, N_0, E_0) \approx \lambda_2 \cdot \beta \cdot \frac{e^{-\gamma}}{(1 - e^{-\gamma})^2}$$

**Distribution of metabolic  
rates over individuals:**  
 $\gamma(\varepsilon) = \lambda_1 + \lambda_2(\varepsilon)$

$$R(n, \varepsilon | S_0, N_0, E_0) = \frac{e^{-\lambda_1 n} e^{-\lambda_2 n \varepsilon}}{Z(\lambda_1, \lambda_2)}$$

MaxEnt gives

$$\Pi(n | n_0, A, A_0) = \frac{e^{-\lambda_\Pi n}}{Z_\Pi}$$

$$\frac{R(n, \varepsilon)}{\Phi(n)} = \Theta(\varepsilon | n)$$

$$\Phi(n) = \frac{1}{\lambda_2 Z n} e^{-\beta n}$$

$$\Theta(\varepsilon | n) = \frac{R}{\Phi} = \lambda_2 n e^{-\lambda_2 n (\varepsilon - 1)}$$

**Intraspecific  
metabolic rate  
distribution**



$$R(n, \varepsilon \mid S_0, N_0, E_0) = \frac{e^{-\lambda_1 n} e^{-\lambda_2 n \varepsilon}}{Z(\lambda_1, \lambda_2)}$$

MaxEnt gives

$$\Pi(n \mid n_0, A, A_0) = \frac{e^{-\lambda_\Pi n}}{Z_\Pi}$$

$$\Phi(n) = \frac{1}{\lambda_2 Z n} e^{-\beta n}$$

$$\Theta(\varepsilon \mid n) = \frac{R}{\Phi} = \lambda_2 n e^{-\lambda_2 n (\varepsilon - 1)}$$

*(Taking the mean)*

$$\langle \varepsilon(n) \rangle = 1 + \frac{1}{n \lambda_2}$$

**Abundance-metabolism  
relation for species**

An approximate  
energy  
equivalence rule!

$$R(n, \varepsilon \mid S_0, N_0, E_0) = \frac{e^{-\lambda_1 n} e^{-\lambda_2 n \varepsilon}}{Z(\lambda_1, \lambda_2)}$$

MaxEnt gives

$$\Pi(n \mid n_0, A, A_0) = \frac{e^{-\lambda_{\Pi} n}}{Z_{\Pi}}$$

$$\Phi(n) = \frac{1}{\lambda_2 Z n} e^{-\beta n}$$

**Species Abundance  
distribution**

$$S(A) = S_0 \sum_{n_0=1}^{N_0} \Phi(n_0) * [1 - \Pi(0 \mid n_0, A, A_0)]$$

**The Species-Area relationship**

$$E(A) = S_0 \sum_{n_0=1}^{N_0} \Phi(n_0) * \Pi(n_0 \mid n = n_0, A, A_0)$$

**The Endemics-Area relationship**

$$R(n, \varepsilon | S_0, N_0, E_0) = \frac{e^{-\lambda_1 n} e^{-\lambda_2 n \varepsilon}}{Z_R}$$

**The Ecological Structure Function**

*(Summing over n)*

*(Integrating over  $\varepsilon$ )*

MaxEnt gives

$$\Pi(n | n_0, A, A_0) = \frac{e^{-\lambda_{\Pi} n}}{Z_{\Pi}}$$

**Species-level spatial distribution**

$$\Psi(\varepsilon | S_0, N_0, E_0) \approx \lambda_2 \cdot \beta \cdot \frac{e^{-\gamma}}{(1 - e^{-\gamma})^2}$$

**Distribution of metabolic rates over individuals**

$$\Phi(n | S_0, N_0) = \frac{e^{-\beta n}}{n \ln(1 / \beta)}$$

**Distribution of abundances over species**

**fraction of occupied cells**  
 $= n_0 / (n_0 + A_0 / A)$   
**Abundance-occupancy relation**

$$\Theta(\varepsilon | n) = \frac{R}{\Phi} = \lambda_2 n e^{-\lambda_2 n (\varepsilon - 1)}$$

**Intraspecific metabolic rate distribution**

*(Taking the mean)*

$$\langle \varepsilon(n) \rangle = 1 + \frac{1}{n \lambda_2}$$

**Abundance-metabolism relation for species**

$$S(A) = S_0 \sum_{n_0=1}^{N_0} \Phi(n_0) * [1 - \Pi(0 | n_0, A, A_0)]$$

**The species-area relationship**

$$E(A) = S_0 \sum_{n_0=1}^{N_0} \Phi(n_0) * \Pi(n_0 | n = n_0, A, A_0)$$

**The endemics-area relationship**

# Many Tests of Predictions

At ~ 25 distinct habitats: ~  $10^5$  Species, ~ $10^9$  individuals

**36 serpentine meadow plots in CA**

**11 Smithsonian tropical forest plots**

**A 9.8 ha dry forest plot at San Emilio, Costa Rica**

**Plant census in Anza Borrego desert**

**Breeding bird censuses in southern Africa**

**Forest floor vegetation**

**Tree census data from the Western Ghats i. India**

**Hawaiian arthropods**

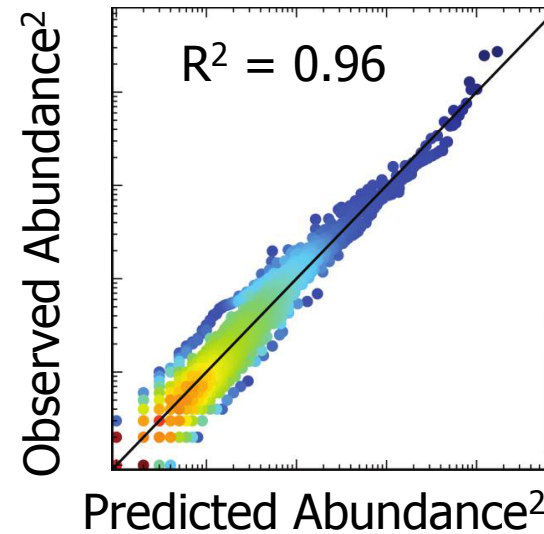
**Panamanian arthropods**

**Human gut microbiome**

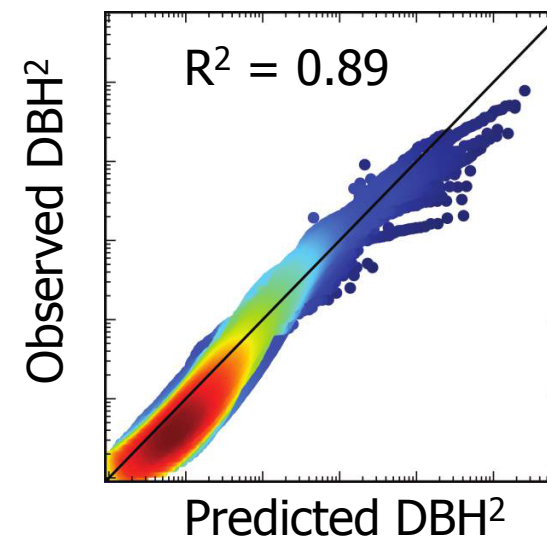


# Tests of abundance and metabolic rate distributions

Tests of predicted abundance distribution for **15,848 plant, mammal, arthropod, and bird communities**: (White et al., 2012).

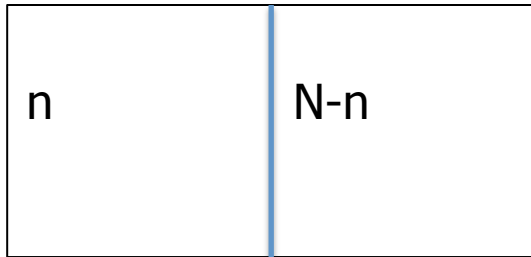


And metabolic rate distribution for **60 forest communities**: (Xiao et al. 2015)



# Bisection Graphs

(distribution of the fraction of individuals in the left side of a plot)



gas molecules: binomial distribution  
 $p(n)$  sharply peaked at  
 $n = N/2$

Laplace rule of succession for the assembly process:

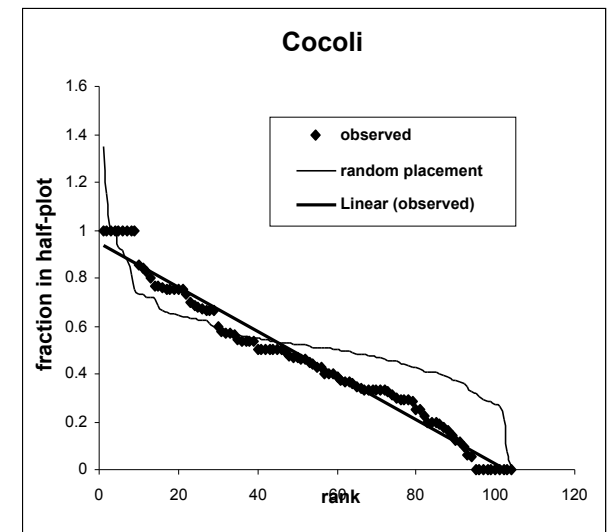
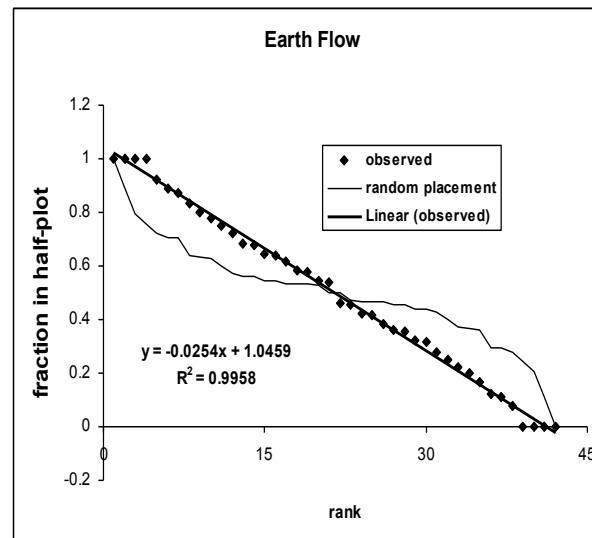
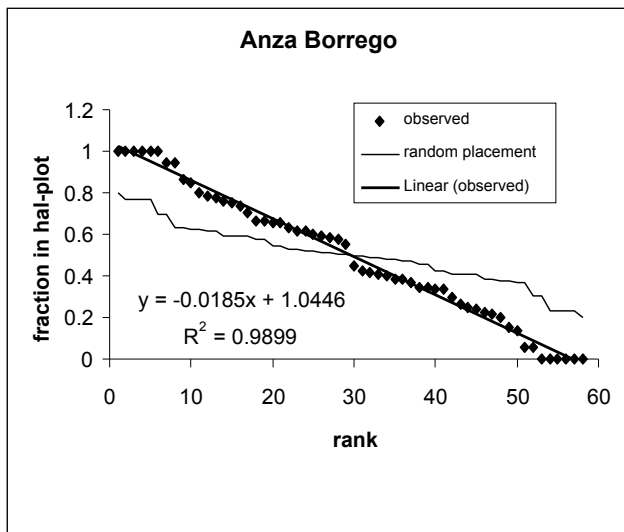
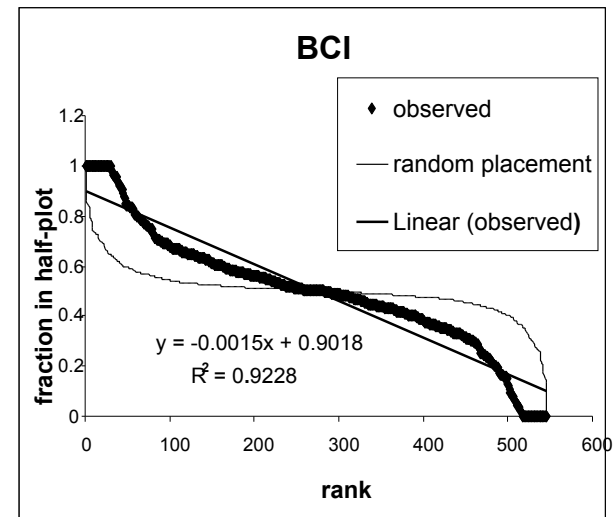
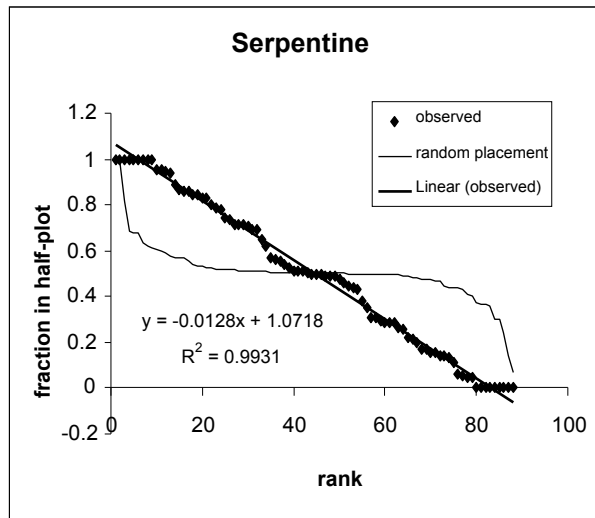
If there are  $L$  on the left and  $R$  on the right, what is the probability the next one is on the left:

$$p(L) = (L+1)/(L + R + 2)$$

**This results in a uniform distribution for  $p(L)$**

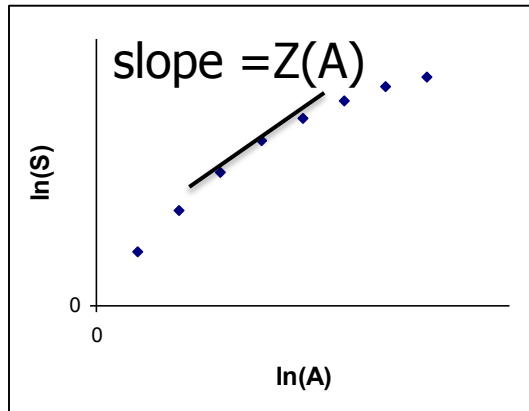
# Bisection Graphs

(distribution of the fraction of individuals in the left side of a plot)



# The most unexpected prediction: The Species Area Relationship

The traditional way  
SARs are plotted:



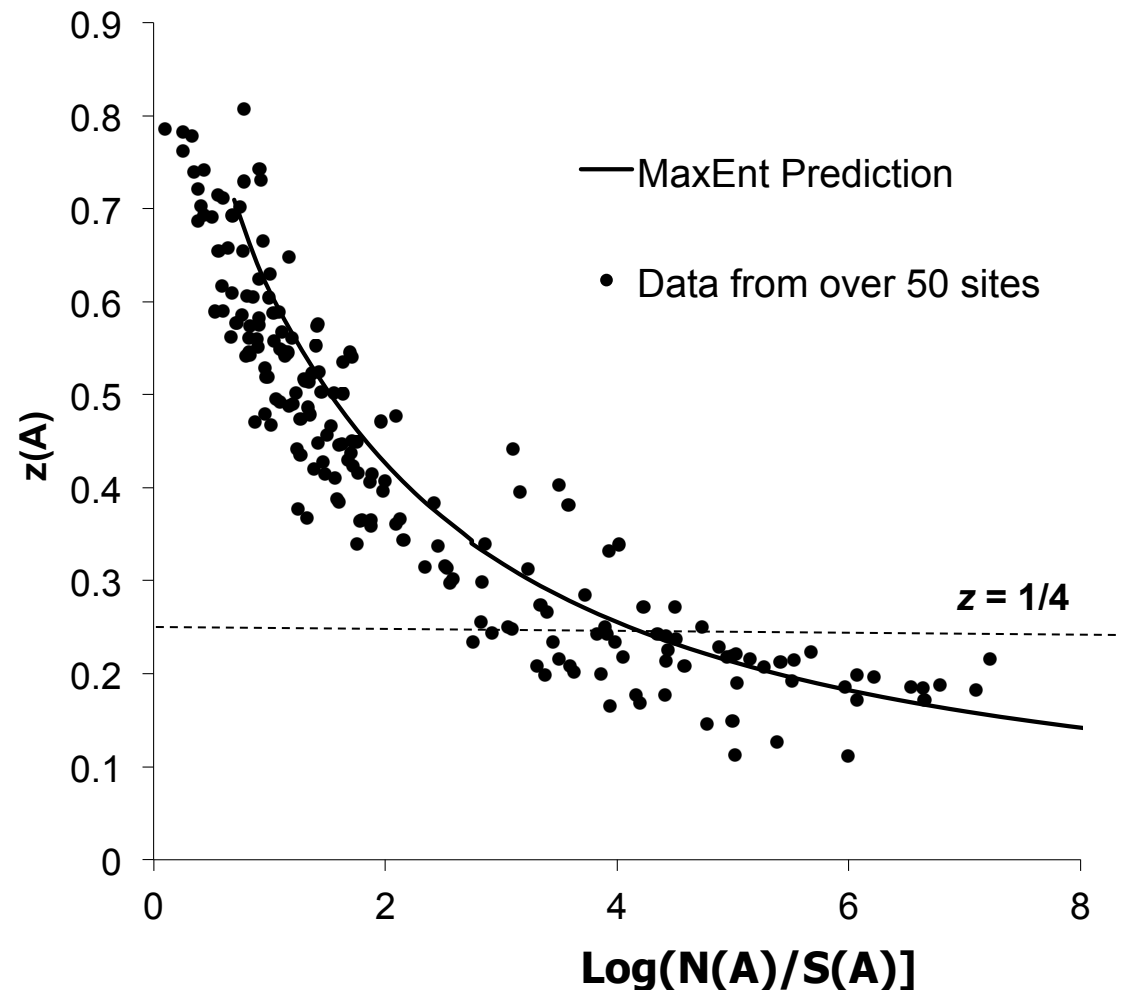
**METE predicts:**

**all species-area curves  
collapse onto a universal  
curve**

**if**

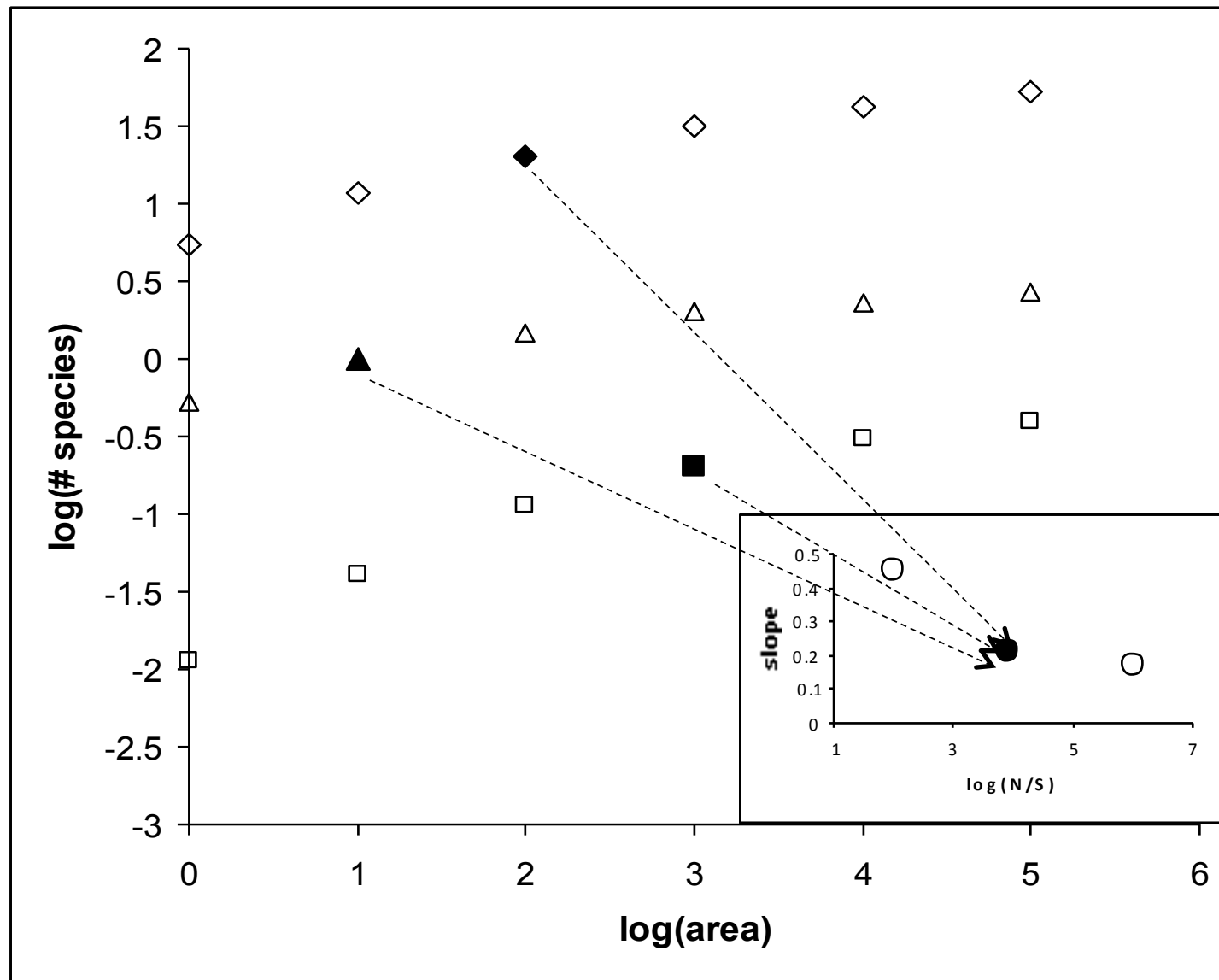
**The local slope,  $Z(A)$ ,  
Is plotted against the local  
value of  $S(A)/N(A)$**

Harte et al., *Ecology Letters*, 2009;

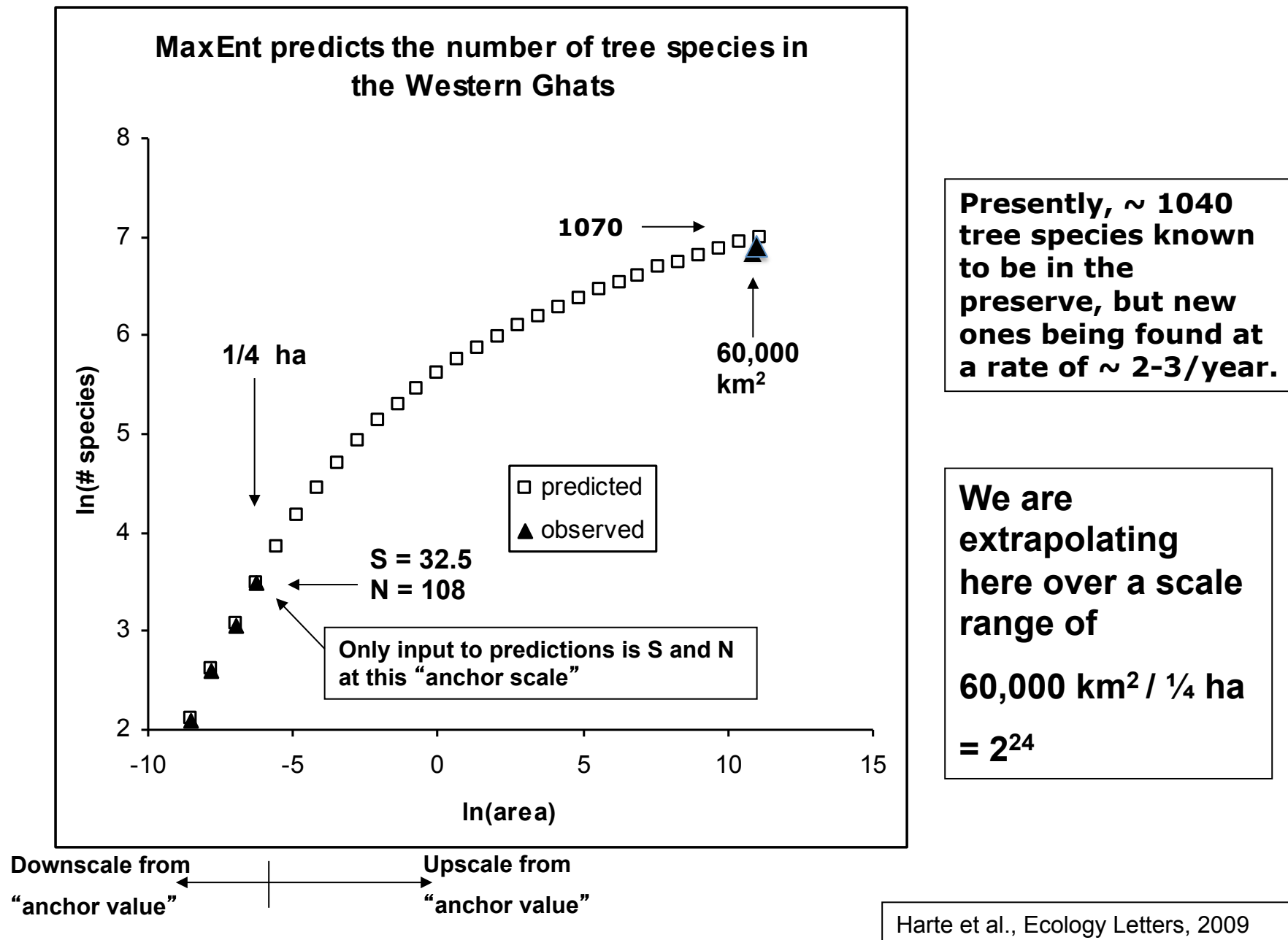




## The Concept of Non-Power-Law Scale Collapse



## Now upscaling from small plot census data is possible



# MaxEnt Predicts Food Web Structure (linkage distributions)

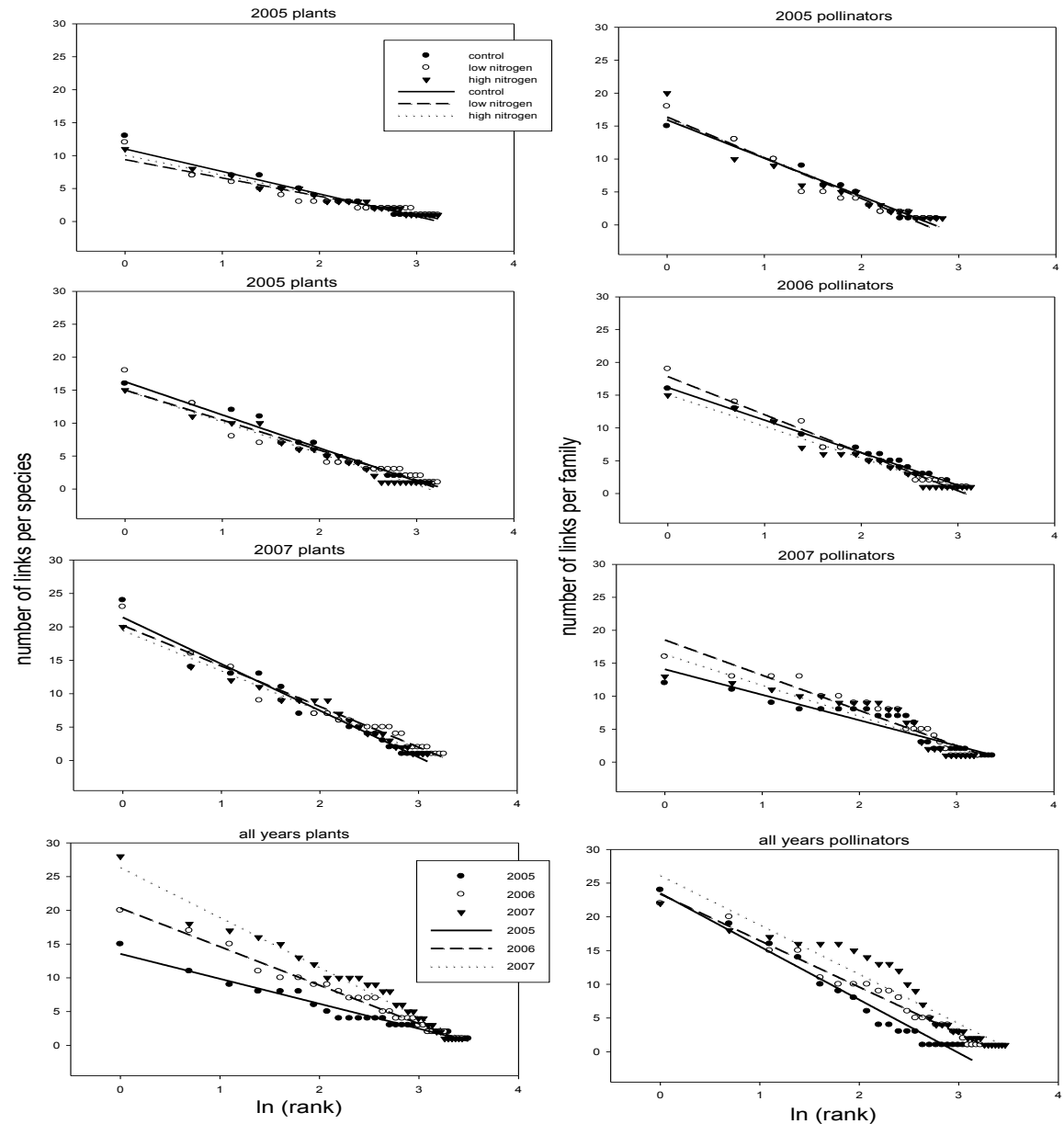
With the addition of the state variable  $L$  (total number of linkages in web), METE predicts:

$$\# \text{ linkages per species} = a - b \cdot \log(\text{rank})$$

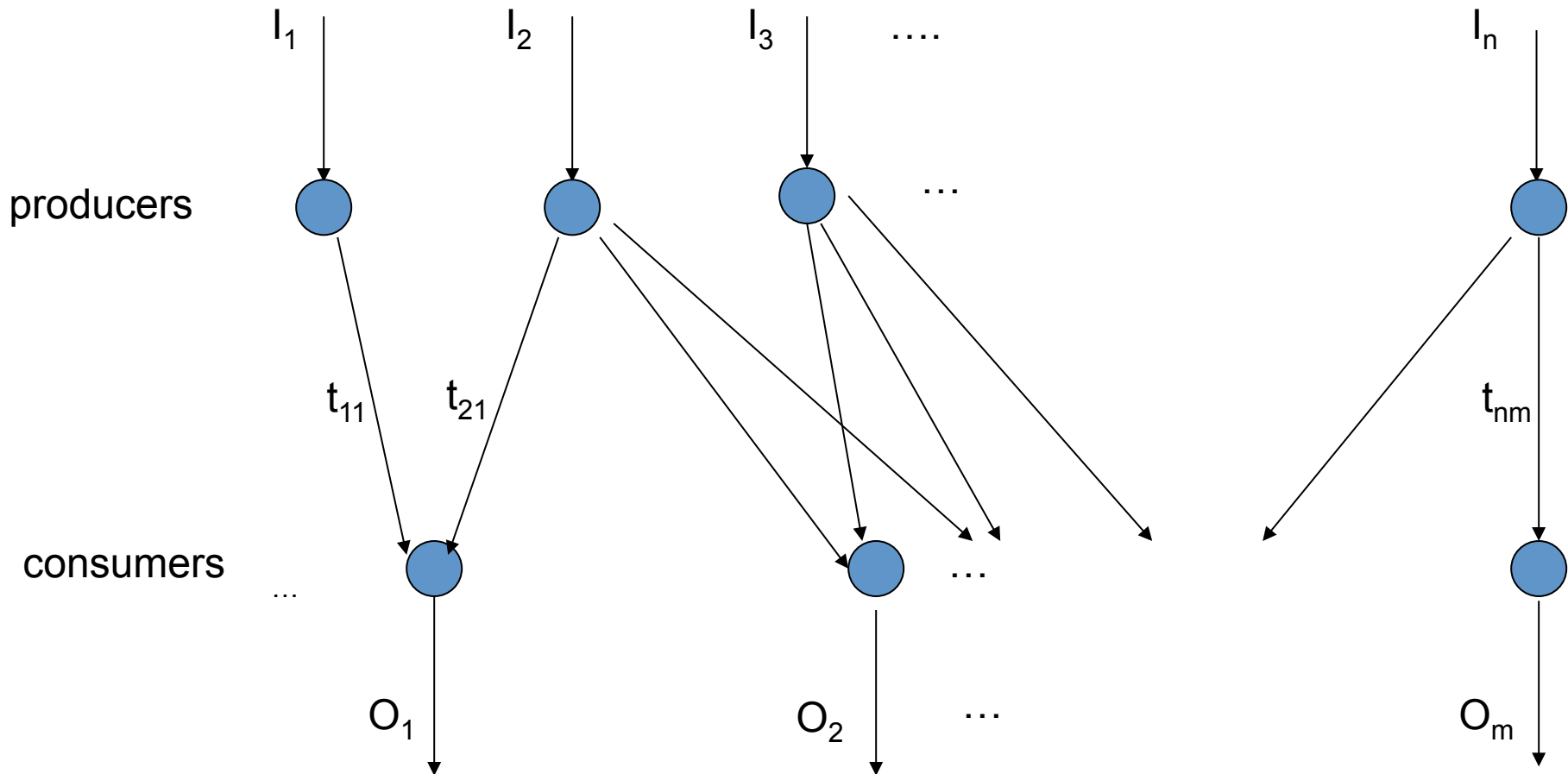
(See also new work on MaxEnt & food web link distributions by Rich Williams, 2009, 2010)

Plant-pollinator data from Laura Burkle generally follow the MaxEnt prediction.

Deviations exist however, and need to be better understood.



# FLOWS IN NETWORKS: The MaxEnt Solution



$$T = \sum_{ij} t_{ij} ;$$

$$t_{ij} = I_i * O_j / T$$

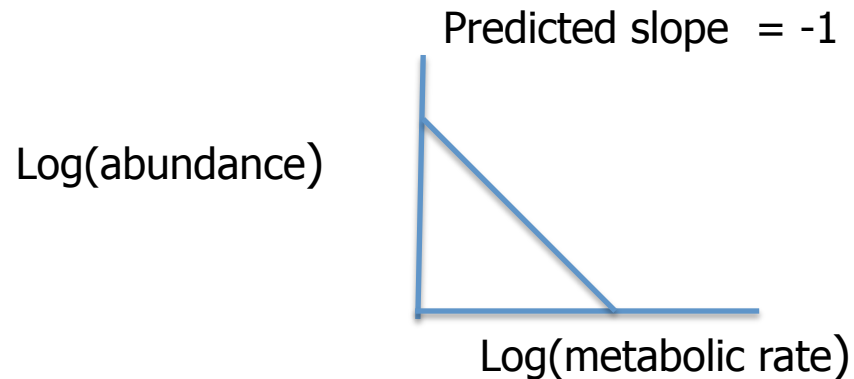
**E. G.:**

**The  $I$ 's are NPP's of plants**

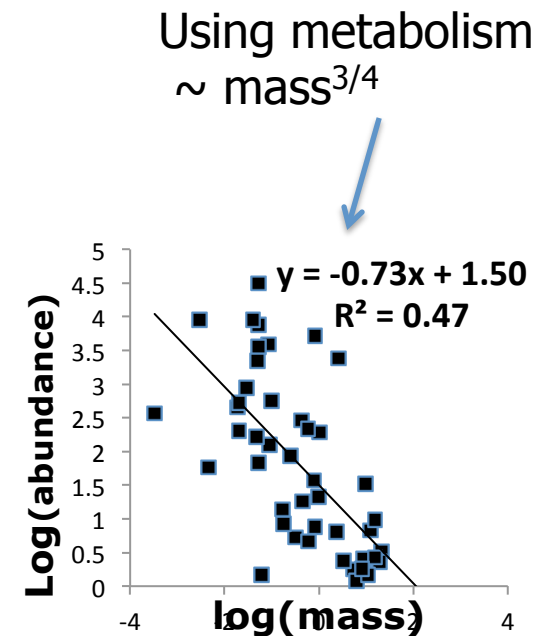
**The  $O$ 's are metabolic rates of herbivores**

## But: METE 1.0 has a problem!

It predicts exact Energy Equivalence: species divide up the energy pie equally.

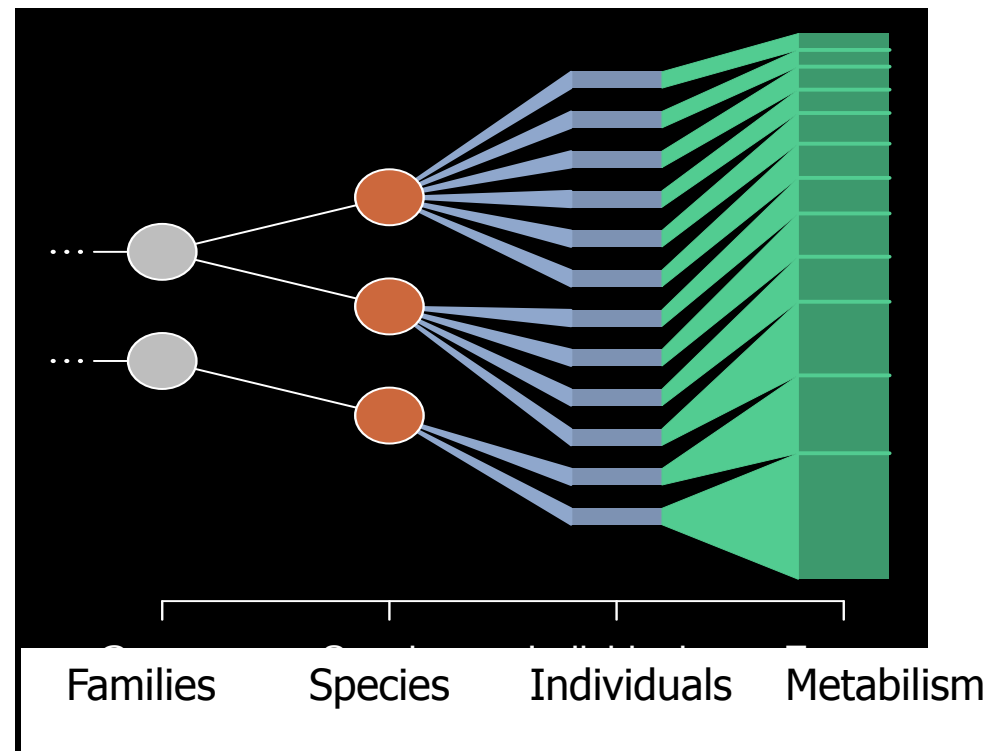


Rocky intertidal invertebrate data  
from Marquet et al., Science 1990



There is way more scatter  
than predicted!

Solution: Add an additional state variable for #  
Families:



Suppose you add a new state variable:  $F = \# \text{ families}$

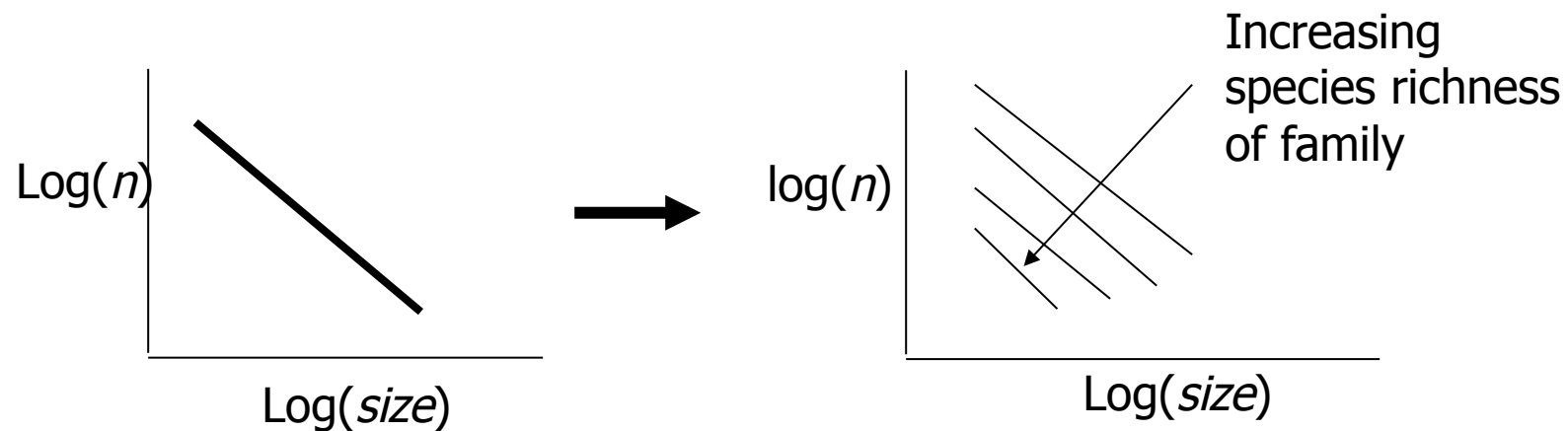
If  $(A, S, N, E)$



$(A, F, S, N, E)$

( $F$  = family or other  
higher order category)

**MaxEnt predicts the size-abundance relationship is modified.  
It splits apart!**



**And this greatly improves the accuracy  
of the predicted size-abundance relationship for:**

- **intertidal invertebrates**
- **tropical forest trees**
- **North American mammals!**

Harte et al.,  
*Ecology Letters*  
2015.

The taxonomically extended theory predicts observed patterns in macroecology that depend on species richness of higher taxonomic levels:

1. The most abundant species should belong to families or genera that contain relatively few species.

*(Consistent with Amazon tree data: ter Steege et al., 2013)*

2. Rare species should be over-represented in species-rich families or genera.

*(Consistent with vascular plant data: Schwartz & Simberloff, 2001; Lozano & Schwartz, 2005)*

3. Species with the largest body sizes, and therefore largest metabolic rates of individuals, belong to families or genera with the fewest species. Moreover, the variance of body size across species should be greatest in families or genera with the fewest species

*(Both predictions consistent with mammal data: Smith et al., 2004)*

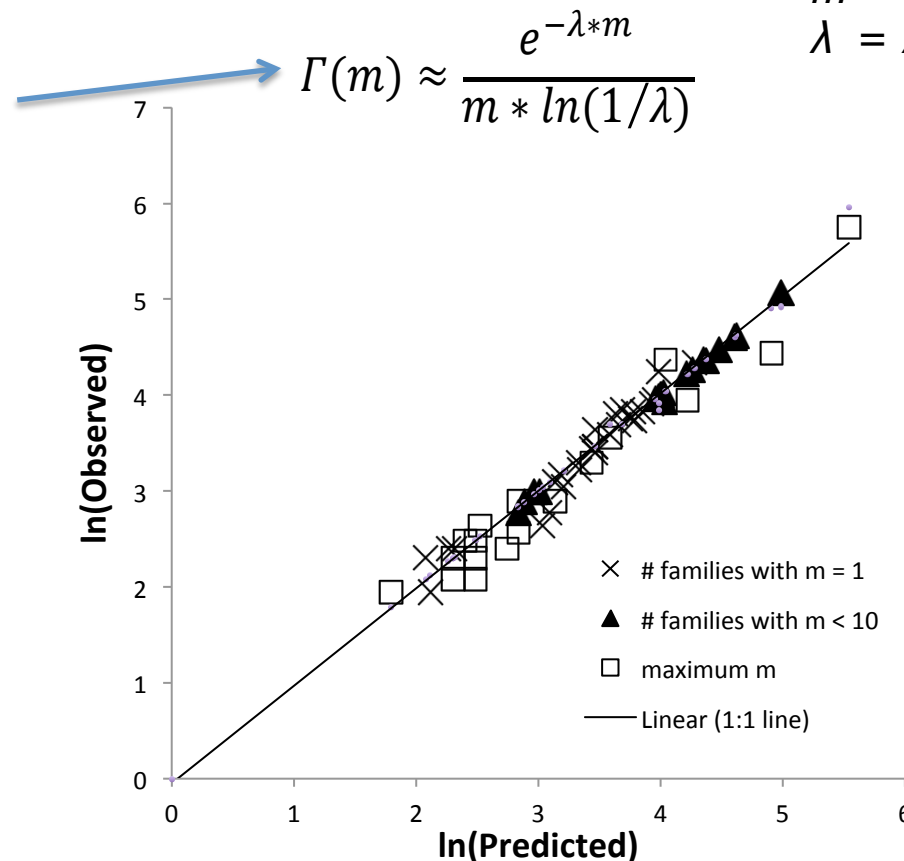


# AFSNE also predicts the distribution of # species across families for arthropods, plants, birds, and microorganisms

$$m = \# \text{ species per family}$$

$$\lambda = \lambda(F_0, S_0)$$

The prediction requires knowledge only of the # of species and # families in a data set.



Harte et al.,  
*Ecology Letters*  
2015.

- Arthropod data from Basset et al. (2011), and Gruner (2007)
- Bird data consist of ten transects chosen randomly from the Breeding Bird Census (Sauer et al. 2014)
- Plant data from: census plots at Cape Point Preserve (Slingsby, pers. comm.); the Smithsonian Tropical Forest Research Institute plots at BCI (Condit 1998, Condit et al. 2004; Hubbell et al. 2005), Luquillo (Thompson et al. 2002); Sherman and Cocoli (Pyke et al. 2001; Condit et al. 2004); Yasuni (Valencia et al. 2003; 2004);
- Microbiome data (Wu et al. 2013; Larry Smarr pers. comm.).

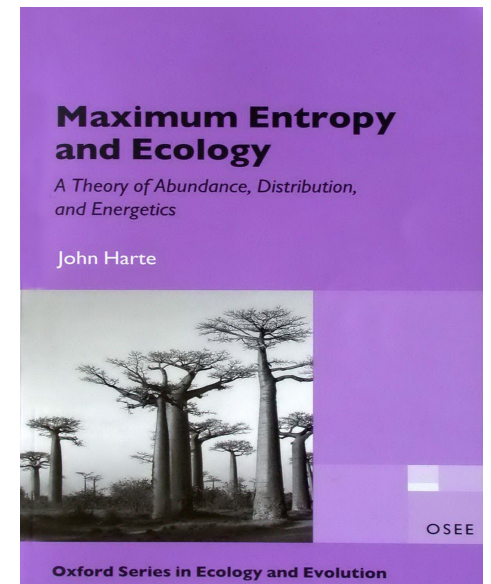
# Summary of Static METE

(And a shameless self-promotion)

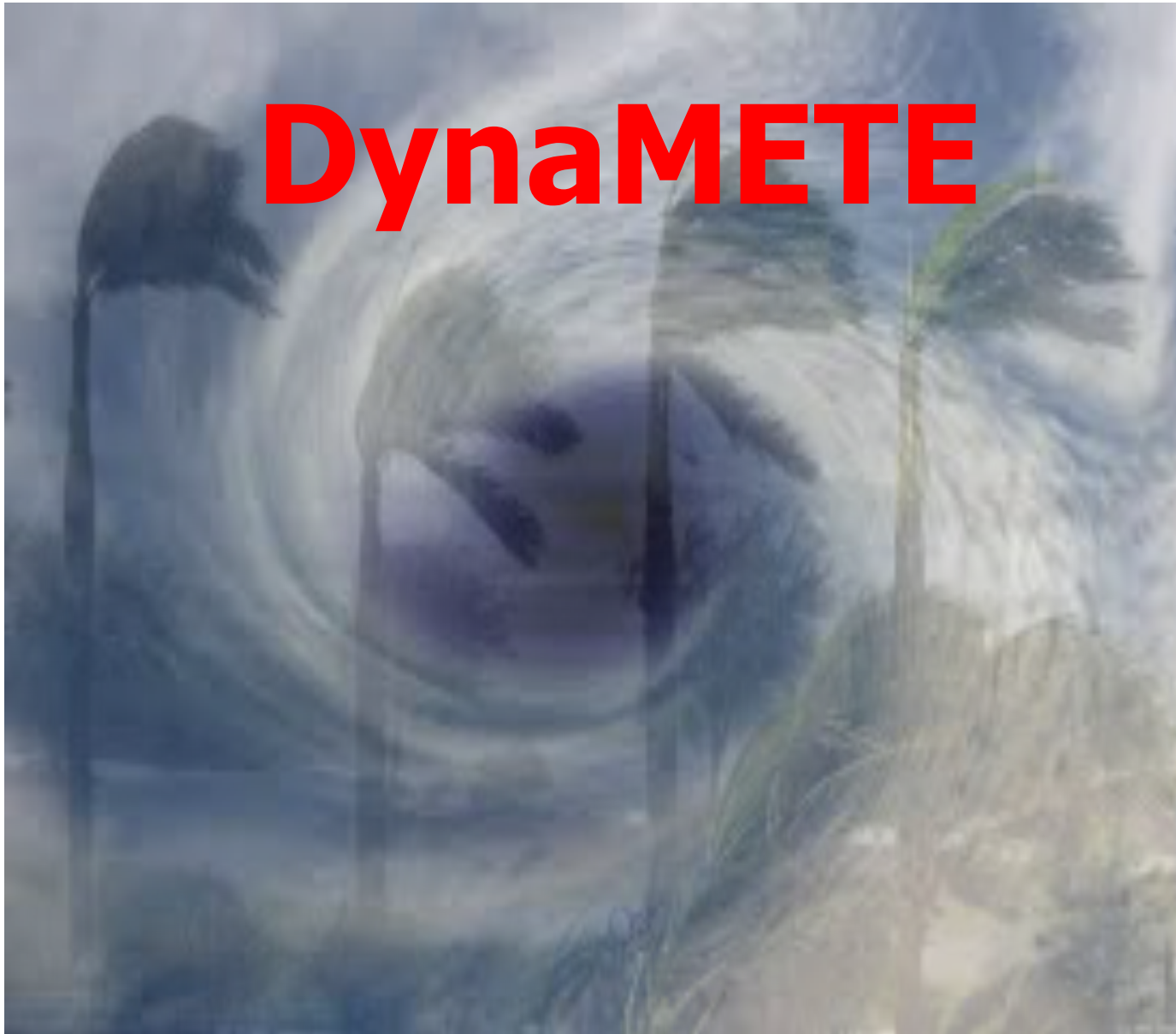
Ecological theory based on MaxEnt  
allows us to predict the shapes of patterns in  
macroecology,

and thereby improve predictions of extinction rates  
under habitat loss, as well as estimates of species  
richness at large spatial scales.

I have spared you the math, but if you want  
to learn more about MaxEnt, and how to use it, see:



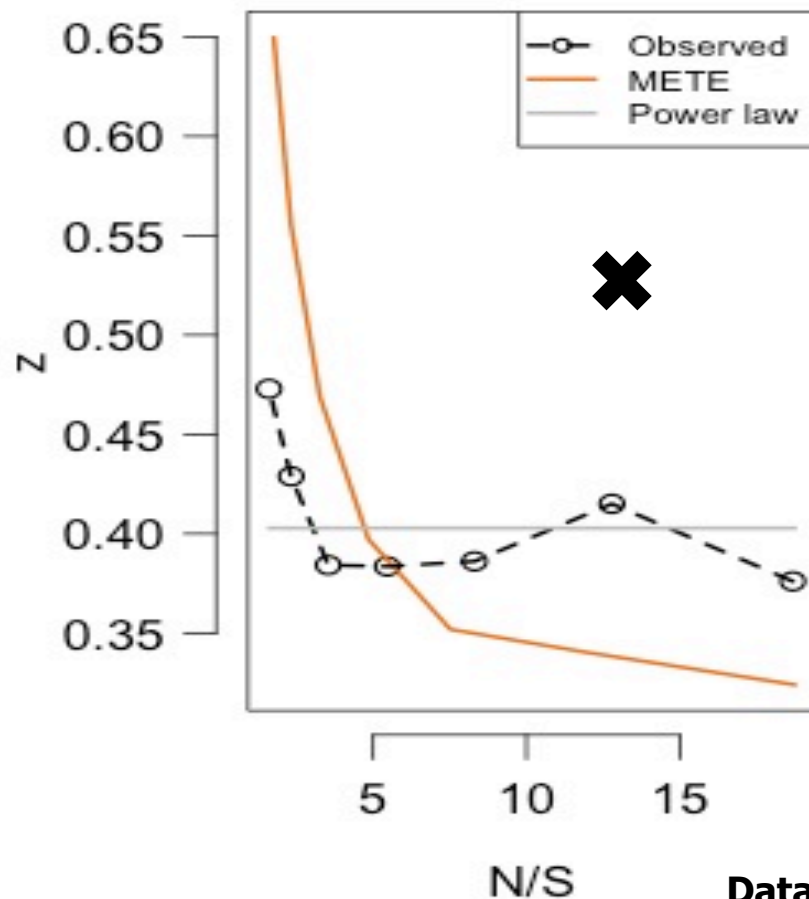
A big problem remains ...we need:



**The METE predictions fail for ecosystems  
in which the State Variables are rapidly changing!**  
We have assembled many examples of this. Here is one:  
aftermath of fire in a fire-adapted Bishop Pine Forest

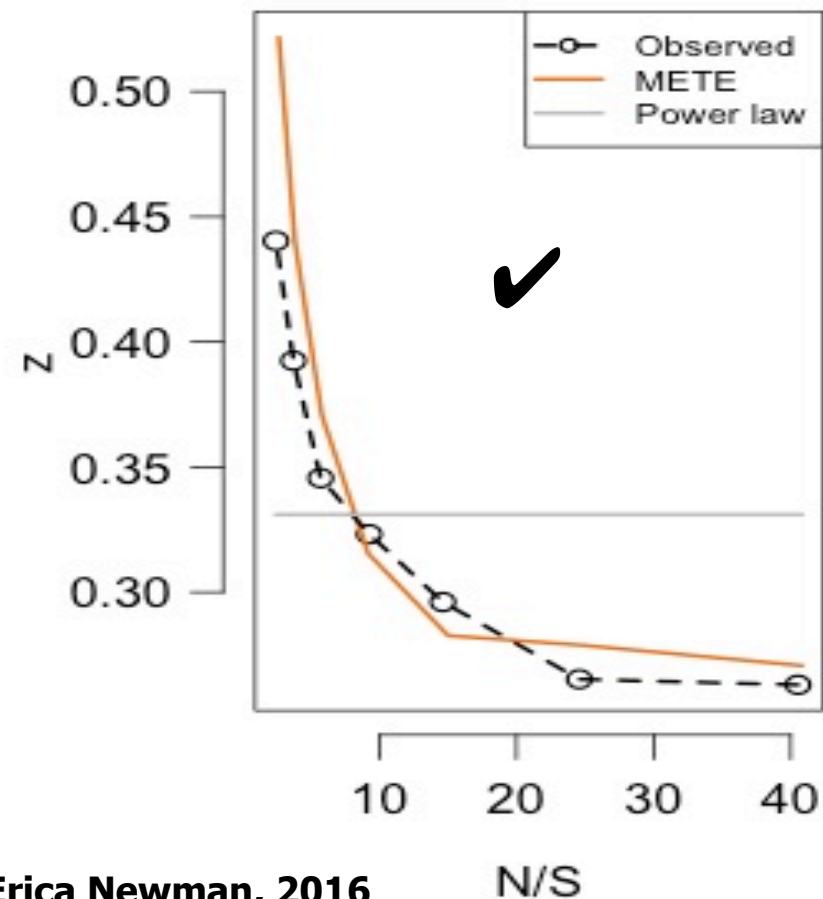
recently burned, rapidly changing

(a) Bayview



mature, quasi-steady-state

(b) Mount Vision



Data from Erica Newman, 2016

## Further evidence that the theory fails to predict patterns in ecosystems undergoing relatively rapid change

1.

### Abundance distribution of Rothampsted Moths

Relatively undisturbed fields:  
*Fisher log series distribution*  
(predicted by METE)

Fields recently fallowed and in transition:  
*Lognormal distribution*

Kempton and Taylor (1974)

2.

### Abundance distribution of trees in Smithsonian tropical forest plots

The most disturbed plot (Barro Colorado Island in Panama) shows the most deviation from METE

3.

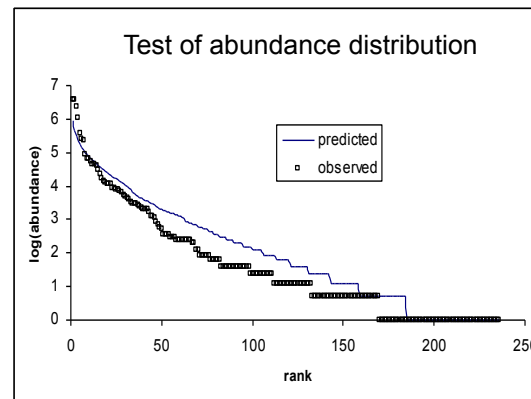
### Abundance distributions of Hawaiian Arthropods

sites of different ages and stages of diversification

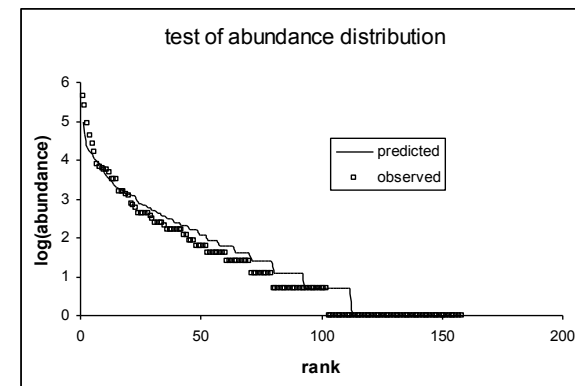
Data from Dan Gruner

Similar pattern of success and failure for body size distributions!

150 y



4 My

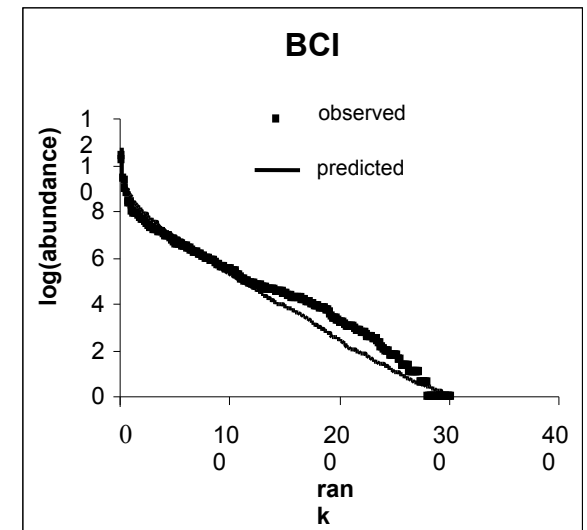


## Another Example

### The BCI 50 ha plot: A dynamic system

The creation of Gatun Lake isolated the plot from its immigrant source pool. It is losing species (Condit et al.; Egbert Leigh, pers. comm.)

The predicted log-series abundance distribution fails at BCI.



Other examples:

Arthropods in newly-fallowed fields at Rothampsted (Kempton and Taylor, *J. Animal Ecol.* 1974)

Arthropods on Hawaiian islands of differing ages (Rominger et al., *Glob. Ecol. Biogeogr.* 2016)

Rodents in exclosure experiments at Portal (Supp et al., *Ecology* 2012)

# *Stochastic* Dynamic MaxEnt theory: DynaMETE

1. The probability distribution,  $P(S,N,E,t)$ , of the state variables is governed by a Master Equation that is driven by ontogenic growth, birth, death, migration, speciation, and extinction transition probabilities.
2. The dynamic structure function is the superstatistical distribution of the MaxEnt solution over  $P(S,N,E,t)$ :

$$D(n,\varepsilon,t) = \int_1^{S_0} dS \int_S^{N_0} dN \int_N^{E_0} dE \{ R(n,\varepsilon | S,N,E) * P(S,N,E,t) \}$$

3. Derive the time-dependent metrics of macroecology from  $R$ , just as in the static theory. E.G., the dynamic species-abundance distribution  $\Phi(n,\varepsilon,t) = \sum_{\varepsilon} D(n,\varepsilon,t)$

## The Basic Idea of the Master Equation for a Probability Distribution

$$\begin{aligned} dP(n,t)/dt = & \text{flow in} - \text{flow out of probability} = \\ & + \text{rate}(n - 1 \rightarrow n) \cdot P(n - 1, t) \\ & + \text{rate}(n + 1 \rightarrow n) \cdot P(n+1, t) \\ & - [\text{rate}(n \rightarrow n - 1) + \text{rate}(n \rightarrow n + 1)] \cdot P(n, t) \end{aligned}$$

e.g. If  $n$  = abundance,

$\text{Rate}(n - 1 \rightarrow n) = \text{birth rate} + \text{immigration rate}$



# Six Transition processes drive the master equations

| Transition Process | Effect of Transition   | Approach  |
|--------------------|--|---|
| Ontogenic Growth   | $E \rightarrow E+1$  | Logistic-type growth of individuals (can be modified by metabolic scaling theory)   |
| Birth              | $N \rightarrow N+1$  | Fixed per capita birth rate and density-dependent death rate  |
| Death              | $N \rightarrow N-1$  |   |
| Speciation         | $S \rightarrow S+1$  | Proportional to $S$ or $N$ ? $S$ .  |
| Immigration        | $N \rightarrow N+1,$<br>$S \rightarrow S$<br>or<br>$N \rightarrow N+1,$<br>$S \rightarrow S+1$ | Constant influx rate of individuals, drawn from metacommunity species pool.   |
| Local extinction   | $S \rightarrow S-1,$<br>$N \rightarrow N-1$  | “Last flower standing”. For the $t \rightarrow t+1$ transition, the abundance distribution at time $t$ tells us how many singleton species ( $n=1$ ) there were, and the death rate then tells us the probability of an extinction. |

Simplification:

$$P(S, N, E, t) = F(S, t; f(N, E)) \cdot G(N, t; g(S, E)) \cdot H(E, t; h(S, N))$$

which turns a ghastly coupled master Eq. into three manageable weakly-coupled master eqs.

In the eq. for  $F(S, t+1)$ , the function  $f(N, E)$  is an average of a derived function over  $G(N, t)$  and  $H(E, t)$  at time  $t$ .

And similarly for the functions  $g(S, E)$  and  $h(S, N)$

# Ontogenic Growth

For a single individual:  $d\epsilon/dt|_{\text{ontogenic}} = w(\epsilon) = w_0\epsilon^{2/3} - w_1\epsilon$

Sum over individuals of  $w_1\epsilon = w_1E$

But what about: Sum of  $w_0\epsilon^{2/3}$  ???

*The sum of  $\epsilon^{2/3}$  does not equal  $(\text{sum of } \epsilon)^{2/3} = E^{2/3}$*

We write the sum over individuals of  $w_0 \epsilon^{2/3}$  as:

$$\begin{aligned}
 & \sum_{S,N} F(S)G(N)N \sum_{n,\epsilon} w_0 \epsilon^{2/3} n \frac{S}{N} R(n, \epsilon | S, N, E) \\
 &= w_0 \sum_{S,N} F(S)G(N)S \frac{\lambda_2}{\ln\left(\frac{1}{1-e^{-\beta}}\right)} \sum_n n e^{-\lambda_1 n} \sum_{\epsilon} \epsilon^{\frac{2}{3}} e^{-\lambda_2 n \epsilon} \\
 &= w_0 \sum_{S,N} F(S)G(N)S \frac{1}{\lambda_2^{2/3} \ln\left(\frac{1}{1-e^{-\beta}}\right)} \sum_n \frac{e^{-\beta n}}{n^{2/3}} \\
 &= w_0 \sum_{S,N} F(S)G(N) \frac{S e^{-\beta}}{\beta^{\frac{1}{3}} \lambda_2^{2/3} \ln\left(\frac{1}{1-e^{-\beta}}\right)} \\
 &= w_0 E^{2/3} < \frac{N^{1/3} e^{-\beta}}{\ln^{2/3}\left(\frac{1}{1-e^{-\beta}}\right)} >_{F(S),G(N)}
 \end{aligned}$$

$$\beta \ln(1/\beta) = S/N$$

$$\lambda_2 = S/E$$

Birth and death:

$$\frac{dn}{dt} = r(n, \varepsilon) = \frac{b_0 n}{\varepsilon^{1/3}} - \frac{d_0 n}{\varepsilon^{1/3}} \left(1 + \frac{n}{K}\right) = \frac{b_0 n}{\varepsilon^{1/3}} - \frac{d_0 n}{\varepsilon^{1/3}} - \frac{d_1 n^2}{\varepsilon^{1/3}}$$

This results in

$$r_{\text{dem}}(N) = r_b(N) - r_d(N) = b_0 N^{4/3} < \frac{\ln^{1/3} \left( \frac{1}{1 - e^{-\beta}} \right) e^{-\beta}}{E^{1/3}} >_{F(S), H(E)}$$

$$-d_0 N^{4/3} < \frac{\ln^{1/3} \left( \frac{1}{1 - e^{-\beta}} \right) e^{-\beta}}{E^{1/3}} >_{F(S), H(E)}$$

$$-d_1 N^{7/3} < \frac{\ln^{4/3} \left( \frac{1}{1 - e^{-\beta}} \right) e^{-\beta}}{SE^{1/3}} >_{F(S), H(E)}$$

Extinction:

$$\sigma = d(1)S\phi(1)$$

Using the same technique as above:

$$\sigma(S) = (d_0 + d_0)S^{4/3} < \frac{e^{-\beta}}{\ln\left(\frac{1}{1-e^{-\beta}}\right) E^{1/3}} >_{G(N), H(E)}$$

Speciation =  $\lambda_0 S$

Immigration =  $m_0$

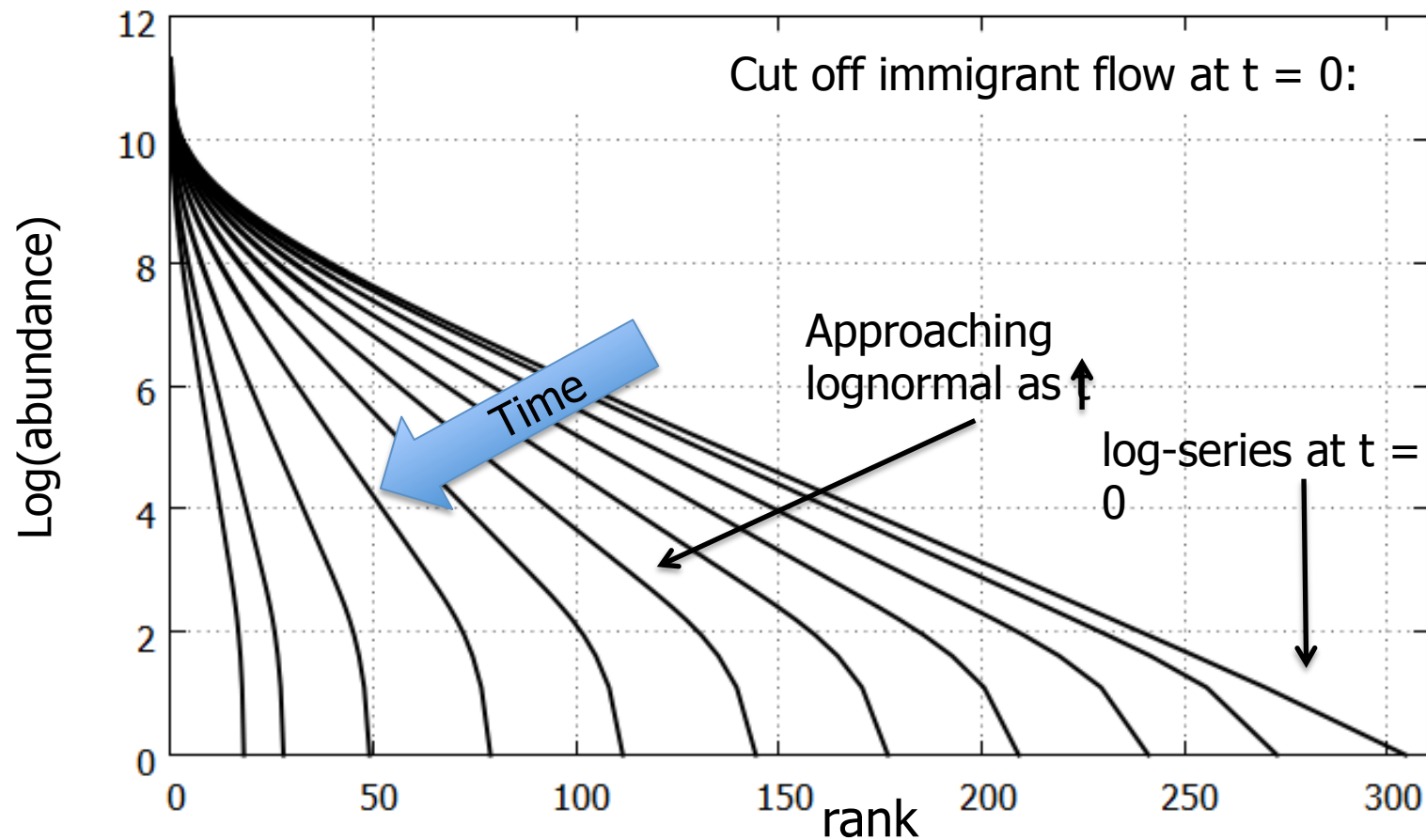
Putting this all  
together:

$$\begin{aligned} G(N, t + 1) - G(N, t) = & -[r_b(N, t) + r_d(N, t) + m_0]G(N, t) \\ & + [r_b(N - 1) + m_0]G(N - 1, t) + r_d(N + 1, t)G(N + 1, t) \end{aligned}$$

$$\begin{aligned} H(E, t + 1) - H(E, t) = & w_{\text{ont}}(E - 1, t)H(E - 1, t) \\ & + w_{\text{death}}(E + 1, t)H(E + 1, t) - w_{\text{ont}}(E, t)H(E, t) - \\ & w_{\text{death}}(E, t)H(E, t) \end{aligned}$$

$$\begin{aligned} F(S, t + 1) - F(S, t) = & -(\lambda_0 S + m(S) + \sigma(S, t))F(S, t) \\ & + (\lambda_0(S - 1) + m(S - 1))F(S - 1, t) \\ & + \sigma(S + 1, t)F(S + 1, t) \end{aligned}$$

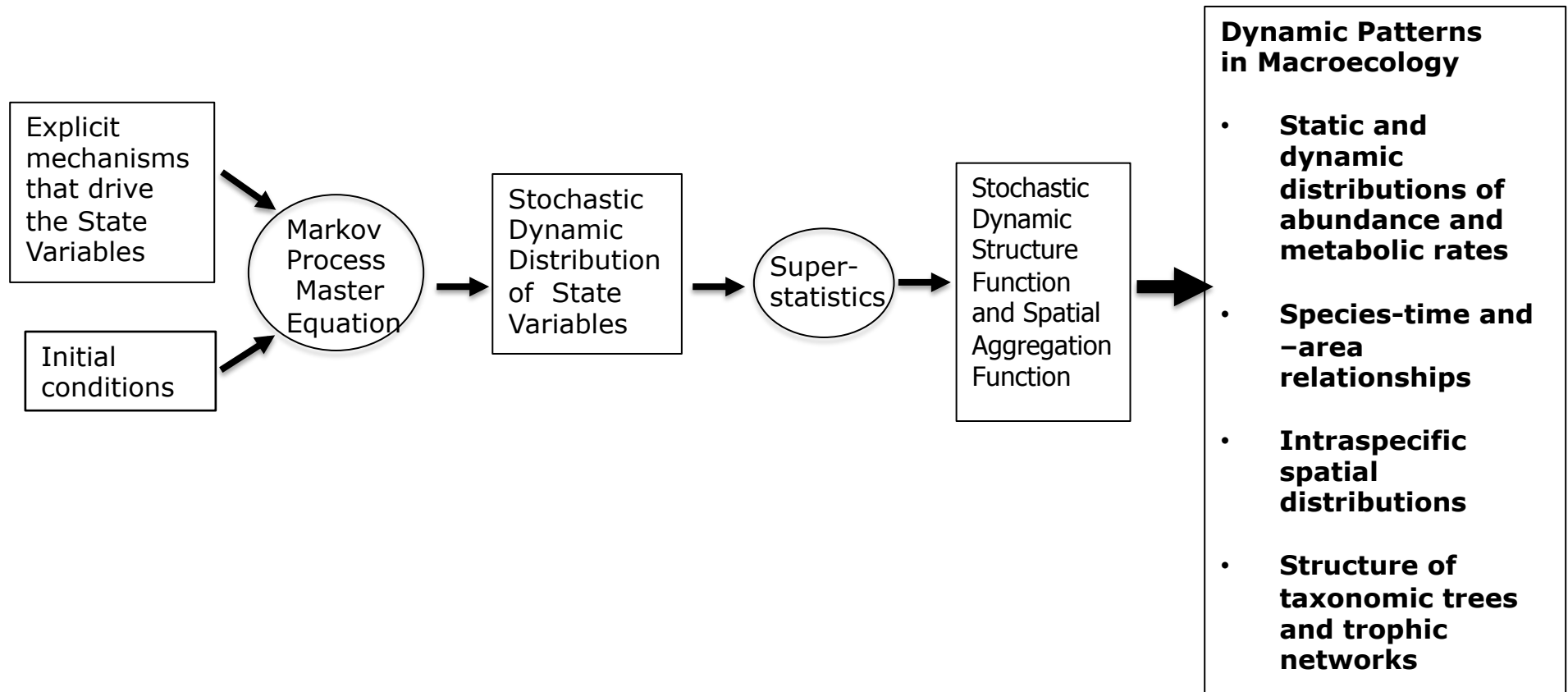
An over-simplified version of this predicts a shift in the species abundance distribution from log-series toward lognormal when a system is isolated from its surrounding source of immigrants.



This looks like what is happening at Barro Colorado



**SUMMARY:**  
**A hybrid theory (MaxEnt + Mechanism)**  
**Is a promising approach to predicting**  
**The static and dynamic patterns of macroecology**



# What about Mechanism? (Where's the meat in METE?)

Four mutually compatible responses:

## 1. The state variables embody the mechanisms.

Success of METE does not imply mechanism does not matter; the mechanisms are incorporated into the values of the state variables. No further mechanistic assumptions are needed.

## 2. The role of mechanism identified when MaxEnt fails.

Analogy with  $PV = nRT$  and van der Waals force.  
E.G., fixing the energy equivalence rule with evolutionary history.

## 3. Mechanism is an emergent property of entropy maximization

E.G., birth and death rate dependence upon population size emerges from maximizing  $S = k \log(W)$  .. (Zhang & Harte, Theor. Pop. Biology 2015)

## 4. Where's the mechanism in Statistical Mechanics or Quantum Mechanisms?

***MaxEnt is not mechanism-less; rather it avoids having to pre-judge what mechanisms and traits are important.***

# What if MaxEnt gives a poor prediction?

- 1. You made a mathematical error in working out the solutions to the equations.**
- 2. Your prior knowledge (in the form of constraints) is not factually correct. If one of your constraints is the mean value over the sought distribution, and you mis-measured it or the values of your state variables were mis-estimated, your predictions will suffer as a consequence.**
- 3. Your constraints may not provide enough adequate information to determine a good answer. If you neglect information, MaxEnt will do its best for you. But it may not be good enough. YOUR ANSWER WILL BE THE BEST POSSIBLE, GIVEN THE CONSTRAINTS THAT YOU USE.**

## A note on alternative measures of entropy

Tsallis entropy:  $S_{\text{Tsallis}} = (q-1)^{-1} (1 - \sum_n [p(n)]^q)$

$$\rightarrow S_{\text{Shannon}} \text{ as } q \rightarrow 1$$

The  $\Pi$  distribution describing spatial aggregation only matches data for  $q \sim 1$

Moreover, maximum Tsallis entropy distributions can be construed as resulting from a “superstatistical distribution” of maximum Shannon entropy distributions:

## MEP: an example (Paltridge, 1975, 78)

Consider the heat budget of the earth:

$Q$ =heat convection from equator to pole

$$\Omega_e = \sigma T_e^4 + 2Q$$

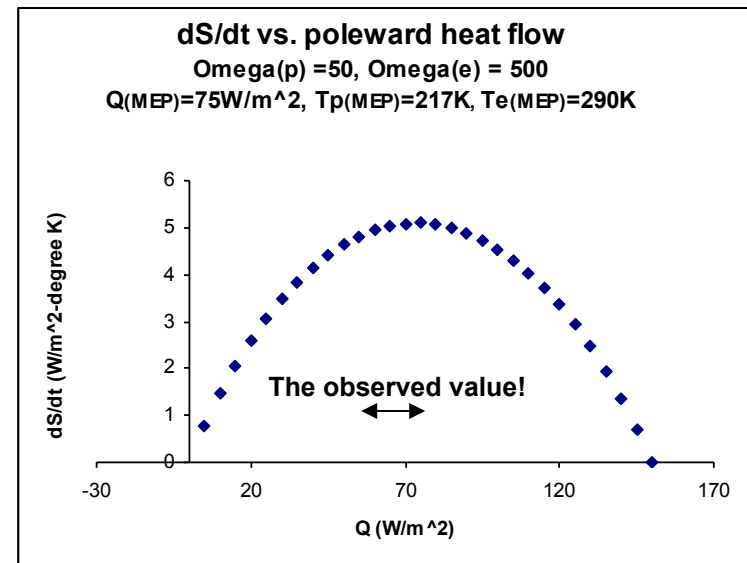
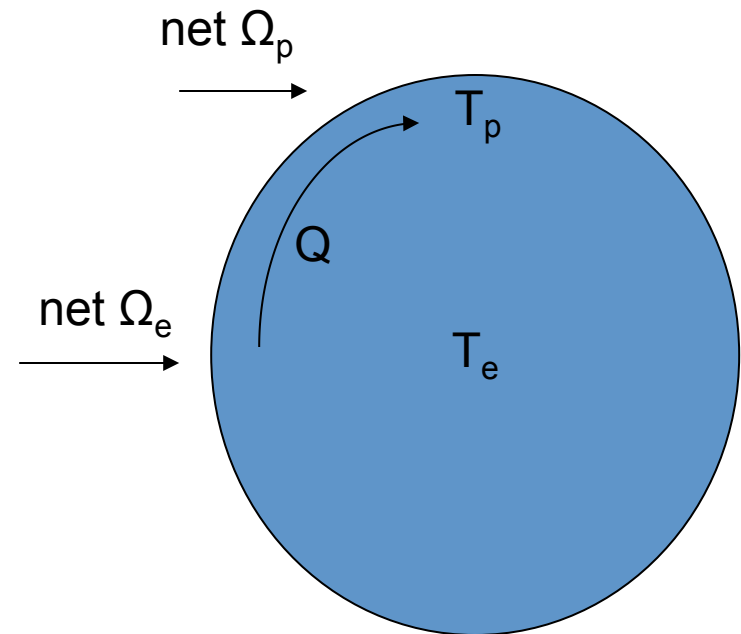
$$\Omega_p + Q = \sigma T_p^4 \quad (\text{can add IR-absorbing atmosphere})$$

$$dS/dt = \frac{Q}{T_p} - \frac{Q}{T_e} =$$

$$\frac{Q}{[(\Omega_p + Q)/\sigma]^{1/4}} - \frac{Q}{[(\Omega_e - 2Q)/\sigma]^{1/4}}$$

Now maximize by setting

$d(dS/dt)/dQ = 0$  and solve for  $Q$ ,  $T_p$ ,  $T_e$



**MEP works on Earth, Mars, Titan!**

# Summary

**The MaxEnt principle and specification of a few state variables predicts, with no adjustable parameters, realistic expressions for:**

- ❖ species-level spatial abundance distributions
- ❖ relative abundance distribution (Fisher log-series), collector's curve
- ❖ species-area and endemics-area relationships
- ❖ intra-specific and inter-specific metabolic rate distributions
- ❖ distribution of linkages across nodes in plant-pollinator & other food webs
- ❖ the scale collapse of all species-area relationships and thus species richness at large scale from knowledge of it at small scale
- ❖ metabolism-abundance relationship, with unexpected yet realistic dependence on the structure of the taxonomic tree.

# **Thanks:**

## **To my Collaborators:**

|                      |                        |                    |                    |
|----------------------|------------------------|--------------------|--------------------|
| <b>Erin Conlisk</b>  | <b>Adam Smith</b>      | <b>Xiao Xiao</b>   | <b>Mark Wilber</b> |
| <b>Justin Kitzes</b> | <b>Andrew Rominger</b> | <b>Ethan White</b> | <b>Chloe Lewis</b> |
| <b>Erica Newman</b>  | <b>Tommaso Zillio</b>  | <b>Yu Zhang</b>    | <b>Wenyu Zhang</b> |

## **To Other Sources of Data:**

|                  |                       |                        |                     |                       |                    |
|------------------|-----------------------|------------------------|---------------------|-----------------------|--------------------|
| <b>J. Green</b>  | <b>R. Krishnamani</b> | <b>J. Godinez</b>      | <b>W. Kunin</b>     | <b>Brian Enquist</b>  |                    |
| <b>R. Condit</b> | <b>P. Harnik</b>      | <b>K. Cherukumilla</b> | <b>E. White</b>     | <b>Cory Merow</b>     |                    |
| <b>D. Gruner</b> | <b>J. Goddard</b>     | <b>STRI</b>            | <b>David Storch</b> | <b>D. Bartholomew</b> | <b>Yves Basset</b> |

## **To the Funders:**

**NSF, Miller Foundation, Gordon and Betty Moore Foundation**

## **To my Hosts during the development of METE:**

**Santa Fe Institute, Rocky Mountain Biological Laboratory, NCEAS, The Chilean Ecological Society, Charles University, University of Padua**



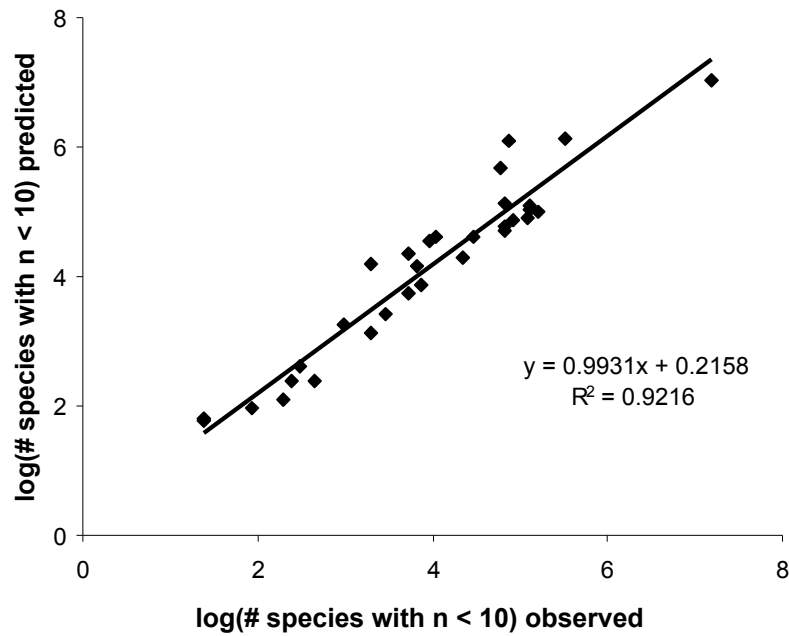


# A finer look at the species-abundance distribution

$$\Phi(n | S_0, N_0) = \frac{e^{-\beta n}}{n \ln(1 / \beta)}$$

$$\beta = \lambda_1 + \lambda_2$$

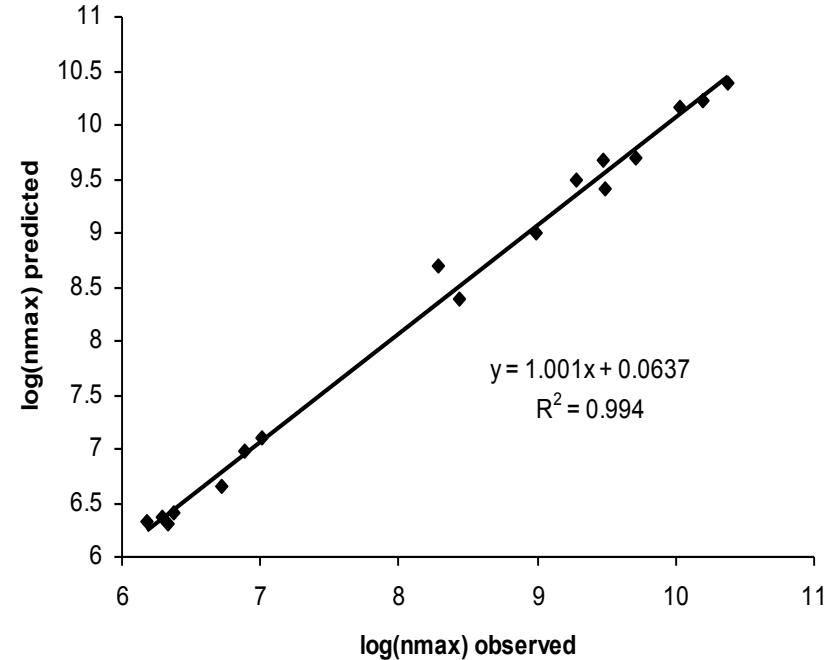
**MaxEnt predicts the number of rare species**



Harte et al., Ecology, 2009

Harte, Oxford U. Press, 2011

**... and the abundance of the most abundant species**

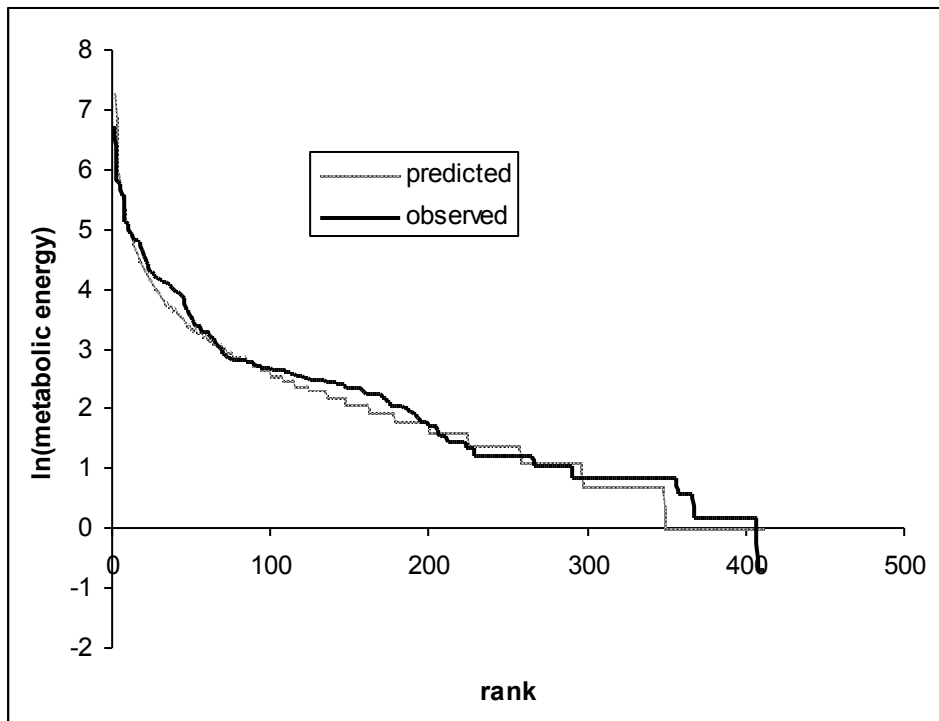


# A finer look at the distribution of metabolic rates over individuals

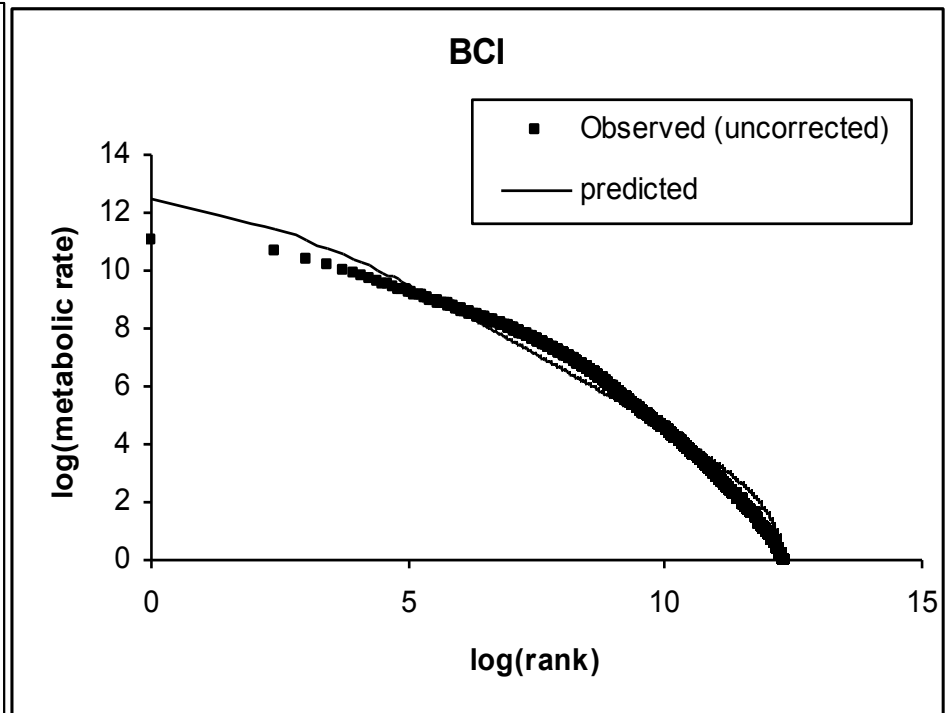
$$\Psi(\varepsilon | S_0, N_0, E_0) \approx \lambda_2 \cdot \beta \cdot \frac{e^{-\gamma}}{(1 - e^{-\gamma})^2}$$

$$\gamma = \lambda_1 + \lambda_2 \varepsilon$$

pit-trapped insects; assume  $\varepsilon \sim m^{3/4}$

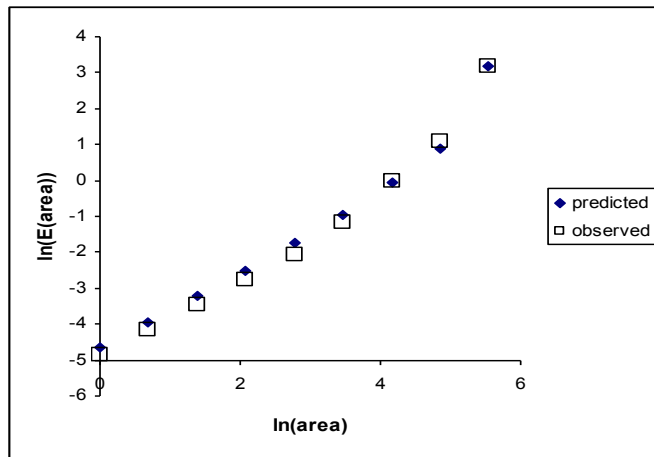


BCI forest; assume  $\varepsilon \sim$  basal area

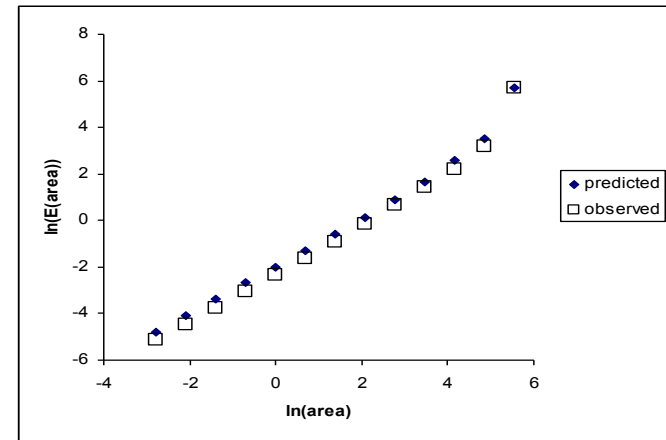


# The Endemics-Area Relationship

$$E(A) = S_0 \sum_{n_0=1}^{N_0} \Phi(n_0) * \Pi(n_0 | n = n_0, A, A_0)$$



Serpentine



BCI

Scale collapse of the species-area relationship allows us to predict the slopes of species-area curves at large spatial scales, thereby allowing estimation of:

a. Species Richness at large spatial scales

E.G., 500,000 arthropod species and 18,000 tree species  
in Amazonia (Harte and Kitze, 2015)

b. Extinction Rates under habitat loss, taking into account the decrease in slope,  $z$ , with increasing spatial scale:

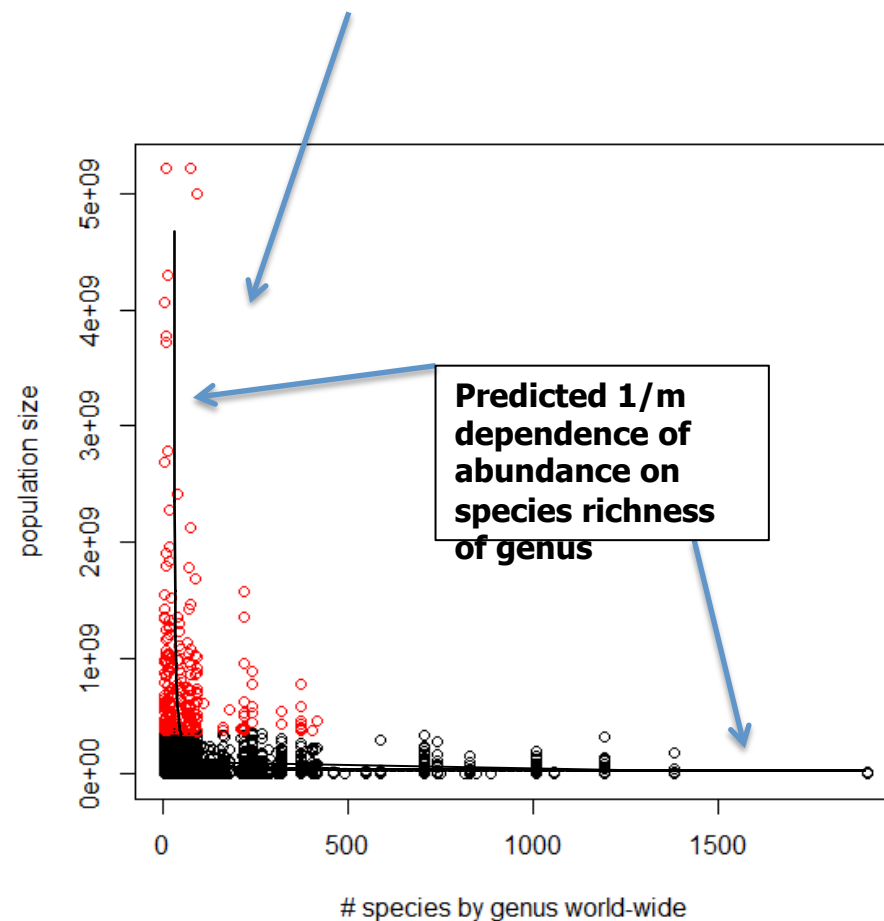
Initial habitat loss results in fewer extinctions than previously predicted with  $z = .25$ , subsequent habitat loss results in more extinctions than previously predicted (Kitze and Harte, 2011)

c. The conditions under which an “extinction debt” should occur under habitat loss (Kitze and Harte, 2015).

# The taxonomically extended theory predicts that observed patterns in macroecology depend on species richness of higher taxonomic levels

Prediction 1: The most abundant species belong to families or genera that contain relatively few species:

(e.g., *Amazon tree data: ter Steege et al., Science 2013*)

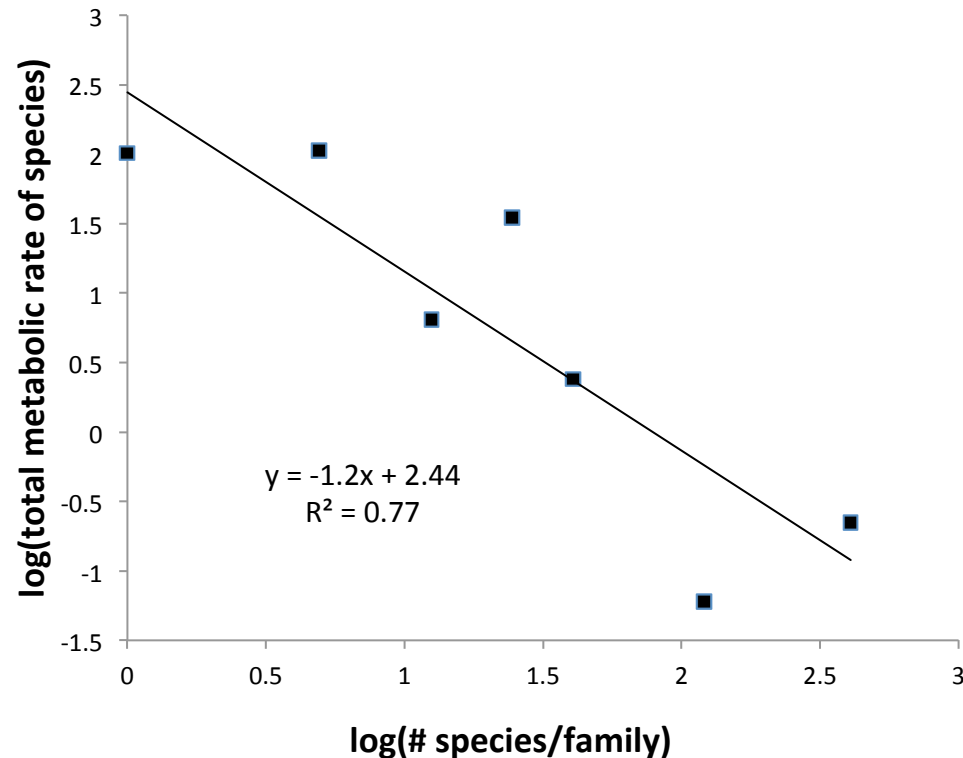


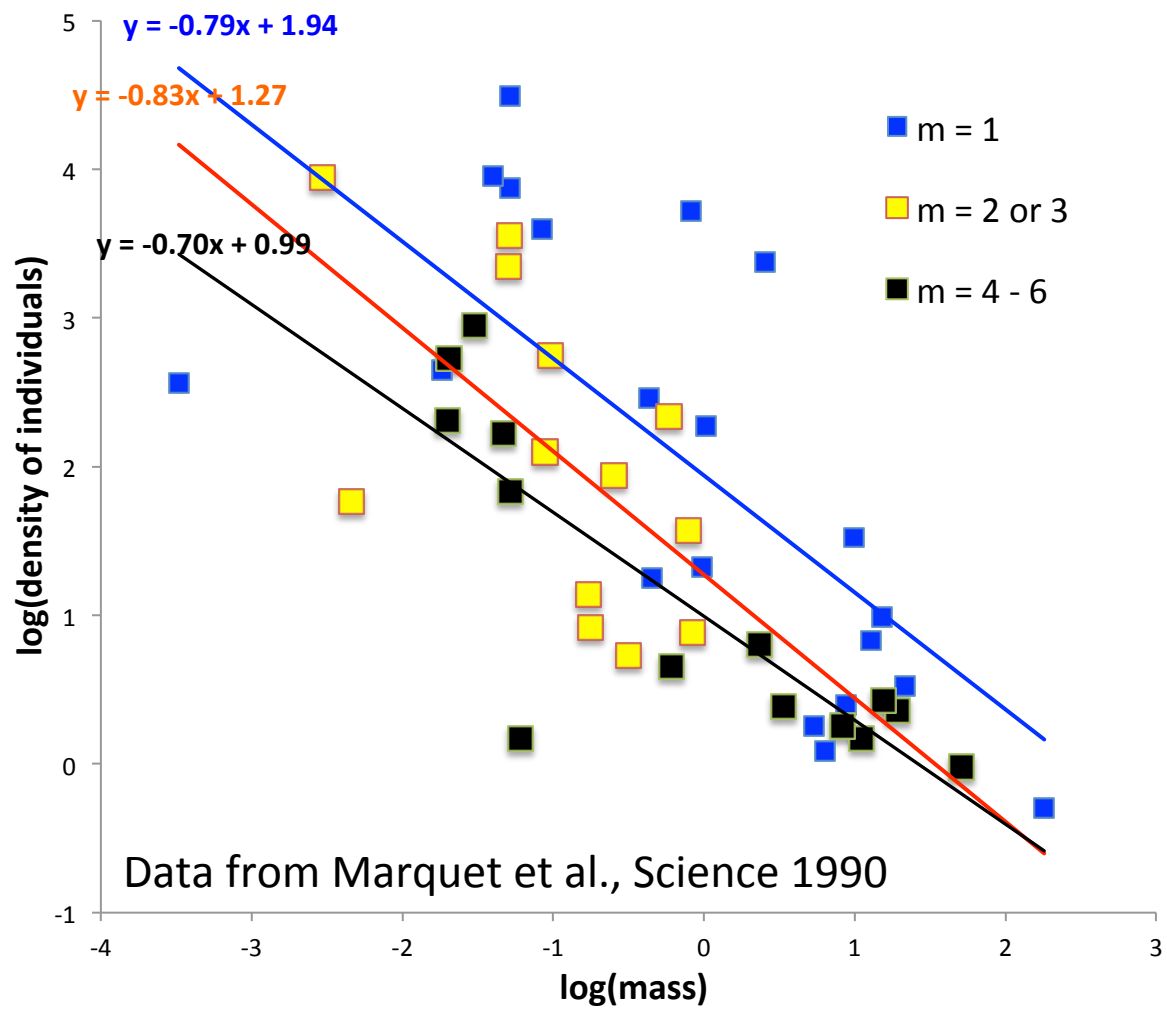
$$\langle n | m \rangle \approx \frac{e^{-\beta m}}{\left( m \frac{G_0}{N_0} - \beta \ln(m) \right)}$$



## Trees at Barro Colorado Island: Energy Equivalence and Taxonomy

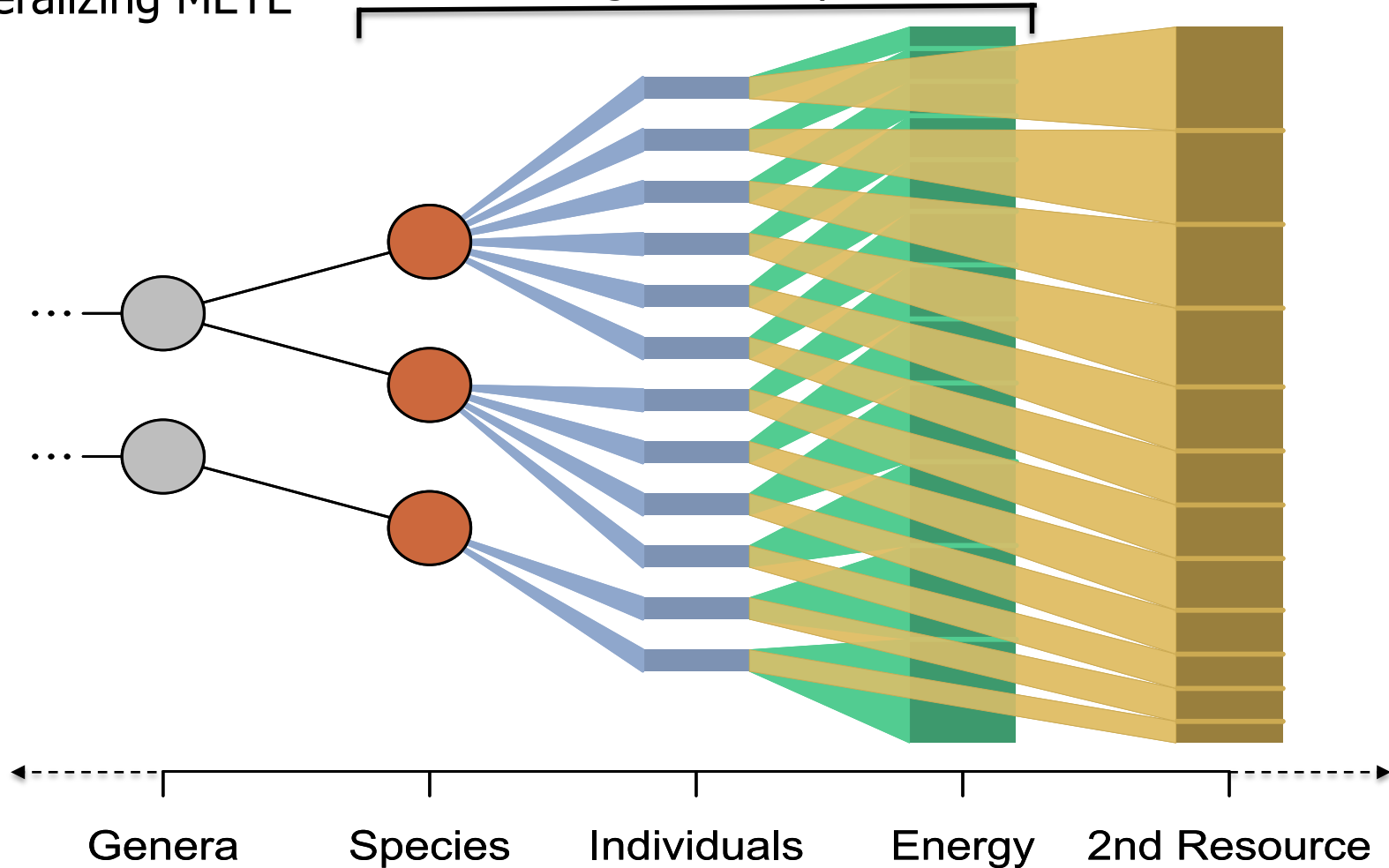
The total metabolic rate of all individuals in each species varies inversely with the species richness of the family that the species is in





Extending and  
Generalizing METE

Original Theory



← Alters size-abundance  
distribution

→ Alters predicted  
rarity



**Including additional resource constraints  
(in addition to energy,  $E$ )**

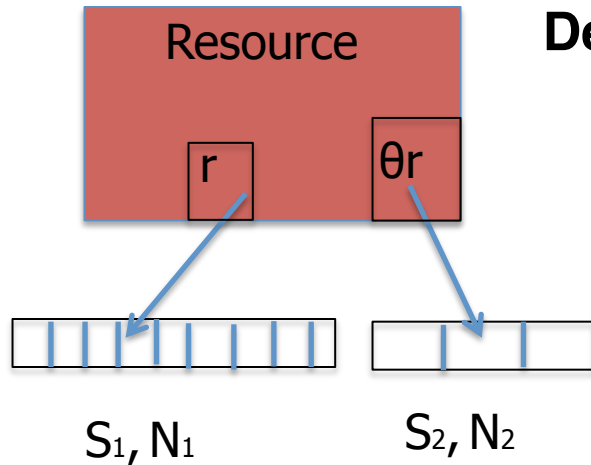
**The log-series  
SAD becomes:**

$$\Phi(n) \sim \frac{e^{-\lambda n}}{n^r}$$

**$r - 1$  = # additional resources**

**The inclusion of additional resource constraints  
predicts increased rarity**

# Demographics and Species Co-existence Revisited



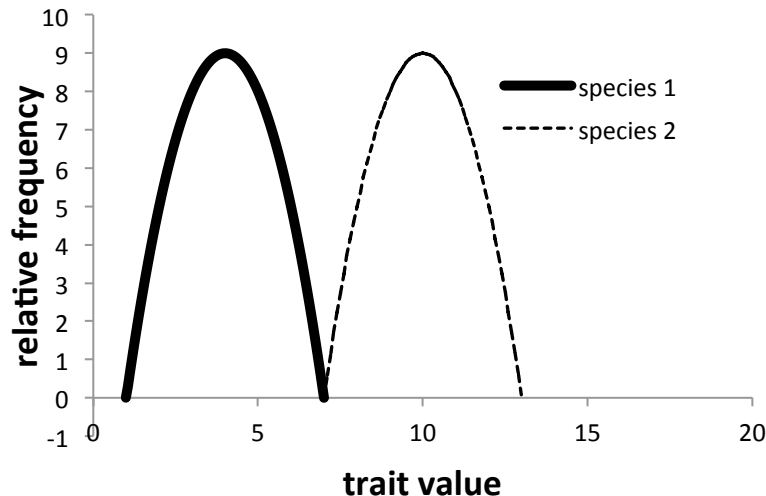
a. Conventional approach:  $b_i - d_i \equiv f_i(N_i)$   
(in Lotka-Volterra eqs,  $a_{ii} > a_{ij}$ )

b. Zhang and Harte: use  **$S = k \log(W)$**

**$W = W(D, \theta)$ : maximize at each time step**

A parameter  **$\theta$  (surrogate for body size)** determines the **relative resource requirement per unit time per individual**.

$$D = \frac{\text{distinguishability of individuals within species}}{\text{distinguishability of individuals between species}}$$



$W = \#$  of possible allocations of resource units consistent with a macrostate ( $S$  species, each with  $n_i$  individuals)

$$= W_{\text{between}} \times (W_{\text{within}})^D$$

( $W$ 's from combinatorics)

**Maximize  $\log(W(D, \theta))$  at successive time steps**

# RESULTS I

- i.  $b_i - d_i = f_i(N_i, \theta, D)$ ;  $f_i$  predicted by maximizing  $\log(W)$

Density dependence is an emergent property of  $S = k \log(W)$ , not an imposed assumption.

- ii. A pair of species can coexist iff  $G(\theta, D) > 0$ ;  $G$  predicted by maximizing  $W$

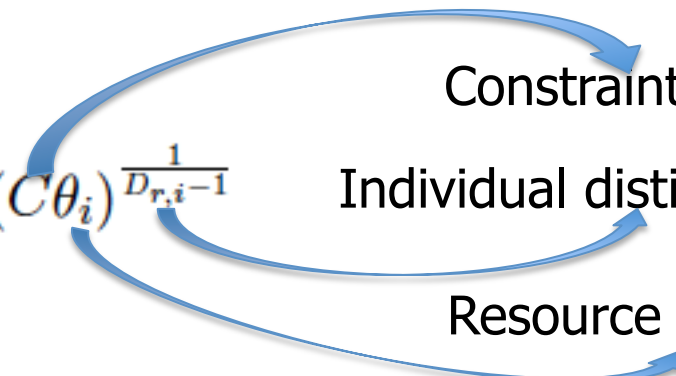
Under predicted conditions, two species can coexist on one resource because there are more ways to allocate the resource if the species co-exist than if one drives the other to extinction.

**Coexistence possible only if:  
the intra-specific distinguishability of individuals  
is sufficiently less than the inter-specific  
distinguishability of individuals**

## Results II

### Steady state

$$\text{Steady state abundance}_i = \frac{2}{e} (C\theta_i)^{\frac{1}{D_{r,i}-1}}$$



Constraint constant  
Individual distinguishability  
Resource requirement

Given

- $D_{r,i}$ , body size abundance relationship
  - $D_{r,i} = 0$  for all  $i \Rightarrow$  energetic equivalence
- $D_{r,i}, \theta_i$ , Species abundance distribution (SAD)
  - The higher the  $D_r$ , the more skewed the SAD

# So what governs ecological complexity?

## An extreme, but I think, correct view:

Ecosystems are  
what they are  
because anything else  
is highly improbable



# Energy Equivalence:

## $\langle \text{metabolic rate} \rangle \sim 1/\text{abundance}$

The ASNE theory predicts this rule:

The within-species distribution of metabolic rates:

$$\Theta(\varepsilon | n) = \frac{R}{\Phi} = \lambda_2 n e^{-\lambda_2 n (\varepsilon - 1)}$$

|                                      |
|--------------------------------------|
| $n = \text{abundance}$<br>of species |
|--------------------------------------|

→ Total energy requirement of a species with abundance  $n$ :

$$\int n \varepsilon \theta(\varepsilon | n) d\varepsilon = n \langle \varepsilon \rangle = n + 1/\lambda_2 \sim 1/\lambda_2 \text{ for most species}$$

→ Species obey energy equivalence if:

$$n \ll 1/\lambda_2 = (E_0 - N_0)/S_0.$$

# What else besides DynaMETE is going on?

## 1. An Ideal Biodiversity Law?

Analogous to  $PV = nRT$ , what relationship exists among observed values of State

Variables  $S$ ,  $N$ ,  $E$ , ...? (Rominger, Merow, Harte)

## 2. PhyloMaxEnt:

Can the shape (topology and geometry) of phylogenies be derived from MaxEnt? What constitutes useful State Variables? (Rominger)

## 3. Transition rates from Boltzmann dynamics:

Deriving ontogenic growth rates, demographics, and interaction networks by

maximizing  $S = \log(W)$ , where  $W$  is the number of resource allocations.

(Zhang and Harte, *Theo. Pop. Biol.* 2015; Zhang PhD thesis 2016)

## 4. Higher order spatial statistics

Predicting spatial correlations from MaxEnt-derived point processes

# Extending METE from Static to Dynamic

## ***Static systems :***

***MaxEnt*** adequately predicts the form of many of the metrics of macroecology

***Lacking is theory describing Rates of Change in these Metrics during the Processes of:***

- ***Speciation and Extinction***
- ***Succession***
- ***Adaptive Responses (e.g., to “global change”)***

## **Possible approaches:**

1. **Maximum Entropy Production**
2. **Non-extensive entropy**
3. **Dynamic, stochastic theory of state variables: use “master equation” incorporating dominant mechanisms as transition probabilities**
4. **Maximum resource allocation entropy**



# Extension of METE to higher taxonomic levels

Example: inclusion of genus as a category (AGSNE)

## State Variables:

$G_0$  = # genera

$S_0$  = # species

$N_0$  = # individuals

$E_0$  = total metabolic rate

The probability function  $Q$  replaces  $R$

$Q(m, n, \varepsilon | G_0, S_0, N_0, E_0)$ , defined as follows:

Pick a genus;

$Q$  is the probability it has  $m$  species

and if you pick one of those species from that genus,

that it has  $n$  individuals,

and that if you pick one of those individuals from that species,

that it has metabolic rate  $\varepsilon$ .

The constraints:

$$\langle m \rangle = \frac{S_0}{G_0} = \sum_{m, n, \varepsilon} m Q$$

$$\langle n_G \rangle = \frac{N_0}{G_0} = \sum_{m, n, \varepsilon} m n Q$$

$$\langle \varepsilon_G \rangle = \frac{E_0}{G_0} = \sum_{m, n, \varepsilon} m n \varepsilon Q$$

Now, with AGSNE, we can predict the “old” metrics that the ASNE theory predicts:

Species abundance distribution

Species-area relationship

Endemics-area relationship

Energy distribution over individuals and species

## **And also some new metrics:**

The distribution of species over genera

The genus-area relationship

Distribution of abundances and metabolic rates over species within a genus with  $m$  species.

# Solutions of AGSNE:

$$Q(m, n, \varepsilon) = \frac{1}{Z(\lambda_1, \lambda_2, \lambda_3)} e^{-\lambda_1 m} e^{-\lambda_2 mn} e^{-\lambda_3 mn \varepsilon}$$

Master Distribution

$$\langle m \rangle = \frac{S_0}{G_0} \approx \frac{1}{\lambda_1 \ln(\lambda_1^{-1})} \quad \langle n_G \rangle = \frac{N_0}{G_0} \approx \frac{1}{\beta \ln(\beta^{-1})} \quad \lambda_3 = \frac{G_0}{E_0 - N_0}$$

Determining Lagrange multipliers ( $\beta = \lambda_1 + \lambda_2$ )

$$\Gamma(m) \approx \frac{e^{-\lambda_1 m}}{m \log(\lambda_1^{-1})}$$

Distribution of Species over Genera

$$\Phi(n) \cong \frac{\lambda_1 \cdot e^{-(\lambda_1 + \beta n)}}{n \ln(\beta^{-1}) (1 - e^{-(\lambda_1 + \beta n)})}$$

Distribution of Individuals over Species

$$\Psi(\varepsilon) \approx \frac{\beta \lambda_3 \cdot \ln(\lambda_1 + \gamma(\varepsilon))}{\gamma^2(\varepsilon) \ln(\beta + \lambda_1)}$$

Distribution of Metabolic Rates over individuals  
( $\gamma(\varepsilon) = \lambda_2 + \lambda_3 \varepsilon$ )

$$\Theta(\varepsilon \mid m, n) = \lambda_3 m n e^{-\lambda_3 m n (\varepsilon - 1)}$$

Distribution of metabolic rates in species with n individuals in a genus with m species